

# **BUDGET MANAGEMENT REPORT QUARTER 3, 2017**



**King County**

**KING COUNTY  
OFFICE OF PERFORMANCE, STRATEGY  
AND BUDGET**

# Budget Management Report

## Quarter 3, 2017

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Fund	Fund Description		2017/2018 Budget (Per EBS G/L)	Q3 2017 Actuals (Per EBS G/L)	% of Budget (37.5% benchmark)
000000010	CURRENT EXPENSE SUB-FUND	Expense Revenue	\$ 1,672,165,244 \$ 1,640,560,914	\$ 603,249,597 \$ 499,560,858	36.1% 30.5%
000000016	INMATE WELFARE FUND	Expense Revenue	\$ 2,609,369 \$ 1,907,440	\$ 1,066,126 \$ 810,512	40.9% 42.5%
000001030	COUNTY ROAD FUND	Expense Revenue	\$ 231,618,714 \$ 222,493,459	\$ 67,464,334 \$ 69,595,683	29.1% 31.3%
000001040	SW POST CLOSURE LF MAINT	Expense Revenue	\$ 3,420,221 \$ 2,492,451	\$ 1,079,820 \$ 41,681	31.6% 1.7%
000001060	VETERANS RELIEF	Expense Revenue	\$ 6,172,996 \$ 6,113,184	\$ 2,005,164 \$ 1,698,080	32.5% 27.8%
000001070	DEVELOPMENTAL DISABILITY	Expense Revenue	\$ 76,906,187 \$ 75,093,592	\$ 23,304,174 \$ 24,589,316	30.3% 32.7%
000001080	DCHS ADMINISTRATION	Expense Revenue	\$ 11,678,295 \$ 11,392,670	\$ 4,876,767 \$ 4,292,128	41.8% 37.7%
000001090	RECORDER'S O & M FUND	Expense Revenue	\$ 4,262,126 \$ 3,610,241	\$ 1,200,173 \$ 1,459,798	28.2% 40.4%
000001110	EMERGENCY TELEPHONE E911	Expense Revenue	\$ 61,984,905 \$ 46,501,314	\$ 15,932,664 \$ 19,167,553	25.7% 41.2%
000001120	BEHAVIORAL HEALTH	Expense Revenue	\$ 857,917,362 \$ 861,349,871	\$ 215,063,065 \$ 220,554,924	25.1% 25.6%
000001135	MIDD	Expense Revenue	\$ 139,067,666 \$ 134,073,353	\$ 41,221,166 \$ 47,063,429	29.6% 35.1%
000001141	VETERANS AND FAMILY LEVY	Expense Revenue	\$ 9,539,939 \$ 9,226,241	\$ 6,668,829 \$ 5,265,857	69.9% 57.1%
000001142	HUMAN SERVICES LEVY	Expense Revenue	\$ 9,749,940 \$ 9,220,726	\$ 6,343,275 \$ 5,230,407	65.1% 56.7%
000001170	ARTS & CULTURAL DEV FUND	Expense Revenue	\$ 8,396,000 \$ 8,396,000	\$ 4,165,803 \$ 4,239,610	49.6% 50.5%
000001171	2016 LTGO EXEMPT BONDS FOR CDA BLDG	Expense Revenue	\$ 0 \$ 0	\$ 300 \$ 1,335	
000001172	2016 LTGO TAXABLE BONDS FOR CDA BLD	Expense Revenue	\$ 0 \$ 0	\$ 300 \$ 17,240	
000001190	EMERGENCY MEDICAL SERVICE	Expense Revenue	\$ 162,344,649 \$ 156,839,573	\$ 49,721,513 \$ 43,012,710	30.6% 27.4%
000001200	TREASURERS O & M	Expense Revenue	\$ 0 \$ 0	\$ 255,316 \$ 355,925	
000001210	SHARED SERVICES FUND	Expense Revenue	\$ 73,032,238 \$ 72,639,215	\$ 25,299,975 \$ 24,649,459	34.6% 33.9%
000001211	SURFACE WATER MGT FUND	Expense Revenue	\$ 73,415,220 \$ 70,423,796	\$ 27,587,975 \$ 21,382,276	37.6% 30.4%
000001220	AUTO FINGERPRINT IDENT FD	Expense Revenue	\$ 45,495,269 \$ 42,913,847	\$ 12,113,851 \$ 11,923,790	26.6% 27.8%

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000001280	LOCAL HAZARDOUS WASTE FD	Expense	\$ 38,727,788	\$ 7,320,504	18.9%
		Revenue	\$ 32,608,596	\$ 9,228,619	28.3%
000001290	YTH AMATEUR SPRTS FUND	Expense	\$ 10,706,002	\$ 948,767	8.9%
		Revenue	\$ 10,157,745	\$ 4,351,280	42.8%
000001311	NOXIOUS WEED CONTROL	Expense	\$ 5,629,576	\$ 1,901,633	33.8%
		Revenue	\$ 5,107,382	\$ 1,365,216	26.7%
000001340	DEPT OF PERMITTING & ENV REVIEW	Expense	\$ 28,917,203	\$ 10,573,274	36.6%
		Revenue	\$ 29,156,000	\$ 11,265,353	38.6%
000001341	DPER ABATEMENT SUBFUND	Expense	\$ 1,317,698	\$ 464,717	35.3%
		Revenue	\$ 1,317,697	\$ 212,732	16.1%
000001345	DPER PERMITTING INTEGRATION	Revenue	\$ 0	\$ 58	
000001346	DPER GENERAL PUBLIC SERVICES	Expense	\$ 3,802,629	\$ 1,304,482	34.3%
		Revenue	\$ 3,802,270	\$ 1,421,678	37.4%
000001381	PRKS TRUST & CONTRIBUTION	Revenue	\$ 0	\$ 3,610	
000001396	RISK ABATEMENT/2006 FUND	Expense	\$ 0	\$ 75,014	
		Revenue	\$ 0	\$ 7,185	
000001411	RAINY DAY RESERVE FUND	Revenue	\$ 0	\$ 4,640,447	
000001415	PARKING FACILITIES	Expense	\$ 7,437,000	\$ 1,415,483	19.0%
		Revenue	\$ 7,437,000	\$ 2,180,709	29.3%
000001421	COMMUNITY SERVICES OPERATING FUND	Expense	\$ 13,400,885	\$ 4,919,146	36.7%
		Revenue	\$ 12,542,026	\$ 5,879,484	46.9%
000001431	ANIMAL SERVICES FND	Expense	\$ 14,645,494	\$ 4,761,574	32.5%
		Revenue	\$ 14,474,883	\$ 4,209,539	29.1%
000001432	ANIMAL BEQUEST FND	Expense	\$ 380,000	\$ 0	0.0%
		Revenue	\$ 280,000	\$ 210,700	75.3%
000001451	PARKS OPERATING LEVY	Expense	\$ 87,817,412	\$ 28,777,779	32.8%
		Revenue	\$ 88,003,012	\$ 23,178,605	26.3%
000001452	OS TRAILS & ZOO LEVY SUBF	Revenue	\$ 0	\$ 4,690	
000001453	PARKS OPEN SPACE AND TRAILS LEVY	Expense	\$ 143,658,271	\$ 38,184,987	26.6%
		Revenue	\$ 142,668,675	\$ 39,468,802	27.7%
000001471	HISTORCL PRSRVTN & H PRGM	Expense	\$ 1,520,284	\$ 309,711	20.4%
		Revenue	\$ 1,025,838	\$ 354,692	34.6%
000001480	BEST START FOR KIDS LEVY	Expense	\$ 136,169,797	\$ 30,353,092	22.3%
		Revenue	\$ 129,282,617	\$ 35,162,207	27.2%
000001511	PUGET SOUND EMERGENCY RADIO NETWORK	Expense	\$ 61,364,752	\$ 16,281,353	26.5%
		Revenue	\$ 61,332,443	\$ 17,074,584	27.8%
000001561	KC FLD CNTRL OPR CONTRACT	Expense	\$ 202,645,080	\$ 6,287,956	3.1%
		Revenue	\$ 202,645,083	\$ 4,701,529	2.3%
000001800	PUBLIC HEALTH	Expense	\$ 393,046,430	\$ 109,114,504	27.8%
		Revenue	\$ 396,960,849	\$ 117,822,619	29.7%
000001820	INTERCOUNTY RIVER IMPROV	Expense	\$ 100,000	\$ 1,919	1.9%
		Revenue	\$ 100,000	\$ 29,214	29.2%
000001850	ENVIRONMENTAL HEALTH FUND	Expense	\$ 51,710,013	\$ 17,647,646	34.1%
		Revenue	\$ 51,788,431	\$ 23,661,622	45.7%

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000001890	PUBLIC HEALTH ADMINISTRATION FUND	Expense	\$ 64,233,427	\$ 10,268,494	16.0%
		Revenue	\$ 64,436,517	\$ 9,835,770	15.3%
000002140	GRANTS FUND	Expense	\$ 32,257,772	\$ 8,247,992	25.6%
		Revenue	\$ 32,257,763	\$ 6,605,879	20.5%
000002169	BYRNE JAG GRANT FFY 2014	Expense	\$ 0	\$ 13,934	
		Revenue	\$ 0	\$ 13,454	
000002170	BYRNE JAG GRANT FFY 2015	Expense	\$ 0	\$ 31,850	
		Revenue	\$ 0	\$ 31,850	
000002171	BYRNE JAG GRANT FFY 2016	Expense	\$ 203,000	\$ 84,578	41.7%
		Revenue	\$ 203,000	\$ 42,293	20.8%
000002240	EMPLOYMENT & EDUCATN RESOURCES FD	Expense	\$ 33,732,269	\$ 9,754,283	28.9%
		Revenue	\$ 33,070,111	\$ 12,189,647	36.9%
000002460	FED HOUSNG & COMM DEV FND	Expense	\$ 182,945,091	\$ 48,645,294	26.6%
		Revenue	\$ 176,337,533	\$ 48,853,787	27.7%
000002462	CDBG GREENBRIDGE LN REPAY	Expense	\$ 0	\$ 287,909	
		Revenue	\$ 0	\$ 294,733	
000002463	HOUSING OPPORTUNITY LOANS	Expense	\$ 0	\$ 28,178	
		Revenue	\$ 0	\$ 1,000	
000003151	CONSERV FUTURES SUB-FUND	Expense	\$ 0	\$ 6,547,106	
		Revenue	\$ 0	\$ 6,074,758	
000003160	FMD-PARKS,REC,OPEN SPACE	Expense	\$ 0	\$ 4,613,983	
		Revenue	\$ 0	\$ 5,020,397	
000003220	HOUSING OPPORTUNITY ACQSN	Expense	\$ 0	\$ 81,719	
000003292	SWM CIP NON-BOND SUBFUND	Expense	\$ 0	\$ 9,075,364	
		Revenue	\$ 0	\$ 7,032,737	
000003296	SWM GO BONDS 2014	Expense	\$ 0	\$ 547,713	
		Revenue	\$ 0	\$ 5,063	
000003310	LONG-TERM LEASES	Expense	\$ 0	\$ 15,386,933	
		Revenue	\$ 0	\$ 12,590,865	
000003313	LT LEASE SALES TAX DEFERRAL	Revenue	\$ 0	\$ 8,475	
000003350	YOUTH SRVS FACILTS CONST	Expense	\$ 0	\$ 11,249,037	
		Revenue	\$ 0	\$ 14,146,683	
000003361	PUGET SOUND EMERGENCY RADIO NETWORK	Expense	\$ 0	\$ 7,093,328	
		Revenue	\$ 0	\$ 16,406,291	
000003380	AIRPORT CONSTRUCTION	Expense	\$ 0	\$ 2,461,865	
		Revenue	\$ 0	\$ 163,365	
000003403	URBAN RESTOR & HBTAT RSTR	Revenue	\$ 0	\$ 5,401	
000003421	MJR MNTNCE RSRV SUB-FUND	Expense	\$ 0	\$ 6,551,095	
		Revenue	\$ 0	\$ 12,643,680	
000003425	LTGO BOND - F3421	Expense	\$ 0	\$ 4,141,064	
		Revenue	\$ 0	\$ 6,842,150	
000003461	REGIONAL JUST CTR PRJCTS	Expense	\$ 0	\$ 62,413	
		Revenue	\$ 0	\$ 5,626	

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000003473	RADIO COMM SRVS CIP FUND	Expense	\$ 0	\$ 28,198	
		Revenue	\$ 0	\$ 469,542	
000003490	FMD-PARKS FACILITY REHAB	Expense	\$ 0	\$ 449,037	
		Revenue	\$ 0	\$ 513,732	
000003521	OS KC BOND FUNDED SUBFUND	Revenue	\$ 0	\$ 2,865	
000003522	OS KC NON BND FND SUBFUND	Expense	\$ 0	\$ 1,162,619	
		Revenue	\$ 0	\$ 386,538	
000003571	KC FLD CNTRL CAP CONTRACT	Expense	\$ 0	\$ 23,937,204	
		Revenue	\$ 0	\$ 19,699,804	
000003581	PARKS CAPITAL FUND	Expense	\$ 0	\$ 18,560,384	
		Revenue	\$ 0	\$ 16,856,156	
000003582	LTGO BONDS PARKS	Expense	\$ 0	\$ 2,168	
		Revenue	\$ 0	\$ 416,706	
000003591	KC MARINE CONST	Expense	\$ 0	\$ 1,285,649	
		Revenue	\$ 0	\$ 1,613,434	
000003611	WATER QUALITY CONST-UNRES	Expense	\$ 0	\$ 96,711,985	
		Revenue	\$ 0	\$ 18,504,051	
000003612	WTD INTERNALLY FINANCED PROJECTS FU	Expense	\$ 0	\$ 3,666,219	
000003641	PUBLIC TRANS CONST-UNREST	Expense	\$ 0	\$ 30,100,591	
		Revenue	\$ 0	\$ 73,335,638	
000003642	PUBLIC TRANS REVENUE FLEET CAPITAL	Expense	\$ 0	\$ 50,410,099	
		Revenue	\$ 0	\$ 51,066,852	
000003672	ENVIRONMENTAL RESOURCE	Revenue	\$ 0	\$ 3,450	
000003673	CRITICAL AREAS MITIGATION	Expense	\$ 0	\$ 432,938	
		Revenue	\$ 0	\$ 5,143,630	
000003681	REAL ESTATE EXCISE TX CAP	Expense	\$ 0	\$ 4,085,795	
		Revenue	\$ 0	\$ 6,094,939	
000003682	REAL ESTATE EXCISE TX 2	Expense	\$ 0	\$ 3,168,120	
		Revenue	\$ 0	\$ 6,100,397	
000003691	TRNSF OF DEV CREDIT PROG	Expense	\$ 0	\$ 4,879,176	
		Revenue	\$ 0	\$ 9,305,658	
000003721	GRNRVR FLD MTGTN TRNSFRS	Expense	\$ 0	\$ 78,095	
		Revenue	\$ 0	\$ 6,335	
000003771	OIRM CAPITAL PROJECTS	Expense	\$ 0	\$ 11,685,881	
		Revenue	\$ 0	\$ 12,302,907	
000003775	2015 LTGO SERIES B - KCIT	Revenue	\$ 0	\$ -2,910,221	
000003776	2017B LTGO BOND SUB FUND - KCIT	Expense	\$ 0	\$ 88,456	
		Revenue	\$ 0	\$ 9,118,239	
000003781	ITS CAPITAL	Expense	\$ 0	\$ 2,564,887	
		Revenue	\$ 0	\$ 2,120,157	
000003791	HMC/MEI 2000 PROJECTS	Expense	\$ 0	\$ 541,575	
		Revenue	\$ 0	\$ 100,376	
000003795	HMC/MEI 04B BND PROCEEDS	Revenue	\$ 0	\$ -76	

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000003810	SW CAP EQUIP REPLACEMENT	Expense Revenue	\$ 0 \$ 0	\$ 3,561,041 \$ 213,285	
000003840	FARMLAND & OPEN SPACE ACQ	Expense Revenue	\$ 0 \$ 0	\$ 626,917 \$ 15,837	
000003850	RENTON MAINTENANCE FACIL	Expense Revenue	\$ 0 \$ 0	\$ 2,215,344 \$ 700,259	
000003855	COUNTY ROAD MAJOR MAINTENANCE FUND	Expense Revenue	\$ 0 \$ 0	\$ 9,398,704 \$ 13,587,499	
000003860	COUNTY ROAD CONSTRUCTION	Expense Revenue	\$ 0 \$ 0	\$ 13,337,271 \$ 3,197,025	
000003862	2010 GO BONDS-ROADS	Revenue	\$ 0	\$ 600	
000003865	KING COUNTY ROAD CONSTRUCTION	Expense Revenue	\$ 0 \$ 0	\$ 261,380 \$ 1,384,516	
000003901	SOLID WASTE CONSTRUCTION	Expense Revenue	\$ 0 \$ 0	\$ 8,431,928 \$ 13,384,669	
000003908	SOLID WASTE CONSTR 2017 LTGO BND	Expense Revenue	\$ 0 \$ 0	\$ 263,659 \$ 22,168,222	
000003910	LANDFILL RESERVE FUND	Expense Revenue	\$ 0 \$ 0	\$ 18,088,515 \$ 8,564,377	
000003951	BLDG REPAIR/REPL SUBFUND	Expense Revenue	\$ 0 \$ 0	\$ 18,881,670 \$ 22,545,227	
000003952	LTGO BOND - F3951	Expense Revenue	\$ 0 \$ 0	\$ 2,856,932 \$ 14,764,580	
000003954	2015 LTGO SERIES B - FMD	Revenue	\$ 0	\$ 263	
000003959	2015B GO BONDS FRED FMD	Expense Revenue	\$ 0 \$ 0	\$ 605 \$ 920	
000003961	HMC REPAIR AND REPLAC FD	Expense Revenue	\$ 0 \$ 0	\$ 8,299,530 \$ 3,528,342	
000004040	SOLID WASTE OPERATING	Expense Revenue	\$ 291,969,398 \$ 268,970,693	\$ 81,509,692 \$ 108,304,950	27.9% 40.3%
000004041	CONSTRUCTION AND DEMO PROGRAM	Expense Revenue	\$ 0 \$ 0	\$ 368,981 \$ 490,422	
000004042	SW OPER 2015 FRED BOND	Revenue	\$ 0	\$ -69,723	
000004043	SW OPER 2017B FRED BOND	Expense Revenue	\$ 0 \$ 0	\$ 836 \$ 107	
000004290	AIRPORT	Expense Revenue	\$ 41,408,176 \$ 42,146,499	\$ 11,889,348 \$ 16,004,794	28.7% 38.0%
000004501	RADIO COMM OPRTNG FND	Expense Revenue	\$ 8,793,250 \$ 9,289,353	\$ 2,409,317 \$ 3,758,495	27.4% 40.5%
000004503	RCS COMMON EQPT MAINT SUB	Revenue	\$ 0	\$ 143,810	
000004531	I-NET OPERATING	Expense Revenue	\$ 6,516,015 \$ 6,298,836	\$ 2,446,733 \$ 2,257,702	37.5% 35.8%
000004551	LINK RISK FUND	Revenue	\$ 0	\$ 3,989	

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000004591	MARINE OPERATING FUND	Expense	\$ 18,162,739	\$ 6,366,140	35.1%
		Revenue	\$ 17,990,715	\$ 5,013,091	27.9%
000004611	WATER QUALITY OPERATING	Expense	\$ 305,145,625	\$ 130,227,989	42.7%
		Revenue	\$ 971,121,855	\$ 370,331,439	38.1%
000004641	PUBLIC TRANSPORTATION OP	Expense	\$ 1,594,776,188	\$ 558,466,061	35.0%
		Revenue	\$ 1,785,462,187	\$ 565,935,294	31.7%
000004643	PUBLIC TRANS REVENUE STABILIZATION	Revenue	\$ 2,000,000	\$ 439,667	22.0%
000005420	SAFETY & WORKERS' COMP	Expense	\$ 73,398,067	\$ 19,594,276	26.7%
		Revenue	\$ 54,780,000	\$ 20,945,921	38.2%
000005441	WWTR EQ RNT&RVLVG FD	Expense	\$ 10,079,530	\$ 1,756,019	17.4%
		Revenue	\$ 9,095,493	\$ 3,269,421	35.9%
000005450	FINANCE & BUS OPERATIONS	Expense	\$ 62,084,158	\$ 21,659,697	34.9%
		Revenue	\$ 63,694,914	\$ 24,087,280	37.8%
000005457	EMPLOYEES DEF COMP ADMIN	Expense	\$ 0	\$ 181	
		Revenue	\$ 0	\$ 1,194	
000005481	KING COUNTY GIS FUND	Expense	\$ 17,406,732	\$ 5,297,735	30.4%
		Revenue	\$ 16,838,769	\$ 5,363,327	31.9%
000005490	BUSINESS RESOURCE CENTER	Expense	\$ 36,259,487	\$ 11,752,539	32.4%
		Revenue	\$ 40,220,670	\$ 15,082,751	37.5%
000005500	EMPLOYEE BENEFITS PROGRAM	Expense	\$ 566,616,344	\$ 179,711,057	31.7%
		Revenue	\$ 537,570,446	\$ 194,499,215	36.2%
000005511	FACILITIES MANAGEMENT SUB	Expense	\$ 113,324,449	\$ 36,490,265	32.2%
		Revenue	\$ 112,836,566	\$ 38,400,588	34.0%
000005520	INSURANCE	Expense	\$ 85,103,175	\$ 22,457,393	26.4%
		Revenue	\$ 43,518,264	\$ 16,374,932	37.6%
000005531	DATA PROCESSING SERVICES	Expense	\$ 191,082,030	\$ 66,763,247	34.9%
		Revenue	\$ 186,743,577	\$ 74,305,218	39.8%
000005570	PUBLIC WORKS EQUIP RENTAL	Expense	\$ 29,604,824	\$ 8,916,207	30.1%
		Revenue	\$ 22,588,371	\$ 11,384,682	50.4%
000005580	MOTOR POOL EQUIP RENTAL	Expense	\$ 36,565,564	\$ 9,041,378	24.7%
		Revenue	\$ 30,062,329	\$ 12,434,555	41.4%
000008400	LIMITED G O BOND REDEMPT.	Expense	\$ 246,256,291	\$ 63,475,104	25.8%
		Revenue	\$ 244,314,755	\$ 66,425,904	27.2%
000008401	CONSERV FUTURES LEVY CLG.	Revenue	\$ 0	\$ -2,051,773	
000008407	HUD SEC 108 LOAN REPAYMNT	Expense	\$ 576,907	\$ 287,909	49.9%
		Revenue	\$ 589,466	\$ 287,909	48.8%
000008430	PUBLIC TRANSPORTATION BONDS	Expense	\$ 44,613,999	\$ 7,745,390	17.4%
		Revenue	\$ 44,976,939	\$ 18,168,582	40.4%
000008500	UNLIMITED G O BOND REDEM.	Expense	\$ 34,337,900	\$ 3,514,325	10.2%
		Revenue	\$ 34,180,000	\$ 9,380,325	27.4%
000008920	WATER QUALITY REV BOND	Expense	\$ 536,056,519	\$ 108,908,404	20.3%
		Revenue	\$ 0	\$ 436,347	
000008921	WASTEWATER REVENUE BOND RESERVES	Revenue	\$ 0	\$ 1,061,933	
000008922	WASTEWATER STATE LOAN RESERVES	Revenue	\$ 0	\$ 72,076	



# Summary of 2017-2018 General Fund (10) Financial Plan (in millions)

Summary includes Inmate Welfare (16) and Goat Hill Garage Operations (1415) subfunds as reported in CAFR

	2015-2016 Actuals <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017-2018 Current Budget <sup>2</sup>	2017-2018 Biennial-to-Date Actuals	2017-2018 Estimated	2019-2020 Projected	2021-2022 Projected
<b>BEGINNING FUND BALANCE</b>	<b>83.1</b>	<b>66.3</b>	<b>105.1</b>	<b>105.1</b>	<b>105.1</b>	<b>94.8</b>	<b>80.7</b>
<b>REVENUES<sup>3</sup></b>							
Property Tax <sup>4</sup>	653.5	683.5	684.9	189.5	684.9	719.2	751.2
Sales Tax <sup>5</sup>	246.3	272.8	269.7	96.8	269.7	296.6	306.6
Intergovernmental Receipts	205.4	197.2	210.5	56.7	210.5	217.0	229.2
Federal and State Revenue	43.0	43.4	42.1	13.7	42.1	42.4	42.4
Fines, Fees, Transfers	64.8	79.1	81.6	25.0	81.6	85.7	86.8
Charges for Services	262.2	303.5	302.0	85.9	302.0	312.2	329.8
Other Taxes	70.4	65.5	65.5	26.0	65.5	67.1	68.4
Interest		5.6	7.5	7.9	7.5	9.9	12.1
<b>General Fund Revenues</b>	<b>1,545.5</b>	<b>1,650.6</b>	<b>1,663.8</b>	<b>501.5</b>	<b>1,663.8</b>	<b>1,749.9</b>	<b>1,826.5</b>
<b>EXPENDITURES</b>							
Operating Expenditures <sup>6</sup>	(1,474.9)	(1,601.5)	(1,601.5)	(580.1)	(1,601.5)	(1,732.0)	(1,836.4)
CIP Expenditures <sup>7</sup>	(12.8)	(10.7)	(10.7)	(3.5)	(10.7)	(3.0)	(4.0)
Debt Service <sup>8</sup>	(44.4)	(55.5)	(55.5)	(22.1)	(55.3)	(61.2)	(63.7)
Supplementals/Carryover/Reappropriations	0.0	0.0	(14.5)	0.0	(38.6)	0.0	0.0
Underexpenditures <sup>9</sup>	0.0	20.0	20.0	0.0	32.0	32.2	32.4
<b>General Fund Expenditures</b>	<b>(1,532.1)</b>	<b>(1,647.7)</b>	<b>(1,662.2)</b>	<b>(605.7)</b>	<b>(1,674.1)</b>	<b>(1,764.0)</b>	<b>(1,871.7)</b>
Other Fund Transactions <sup>10</sup>	8.6	0.0	0.0	0.0	0.0	0.0	0.0
<b>Ending Fund Balance</b>	<b>105.1</b>	<b>69.1</b>	<b>106.7</b>	<b>0.9</b>	<b>94.8</b>	<b>80.7</b>	<b>35.4</b>
<b>DESIGNATIONS AND SUBFUNDS<sup>11</sup></b>							
Designations	(11.6)	(5.2)	(5.1)	(5.1)	(5.1)	(5.1)	(5.1)
Subfund Balances	(3.8)	(3.0)	(3.0)	(3.0)	(3.0)	(2.3)	(1.6)
<b>EXPENDITURE RESERVES</b>							
Carryover and Reappropriation	(13.2)	0.0	(12.0)	0.0	(12.0)	(12.2)	(12.4)
CIP Capital Supplemental Reserve	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Credit Rating Reserve <sup>12</sup>	0.0	(1.3)	(1.3)	0.0	(1.3)	(2.5)	(3.7)
Executive Contingency	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)	(0.1)
South Park Bridge Post Annexation Operations <sup>13</sup>	0.0	(1.0)	(1.0)	(1.0)	(1.0)	(3.0)	(5.0)
Risk Reserve <sup>14</sup>	(19.7)	(10.9)	(21.2)	(21.2)	(21.2)	(16.2)	(17.5)
<b>Reserves</b>	<b>(48.4)</b>	<b>(21.4)</b>	<b>(43.6)</b>	<b>(30.4)</b>	<b>(43.6)</b>	<b>(41.3)</b>	<b>(45.3)</b>
<b>Ending Undesignated Fund Balance<sup>15</sup></b>	<b>56.7</b>	<b>47.8</b>	<b>63.0</b>	<b>(29.5)</b>	<b>51.1</b>	<b>39.3</b>	<b>(9.9)</b>
6% Undesignated Fund Balance Minimum	36.0	38.2	38.4	38.4	38.4	40.3	42.0
Over/(Under) 6% Minimum	20.7	9.6	24.7	(69.1)	12.8	(0.9)	(51.9)
<b>Over/(Under) 7.5%</b>	<b>11.7</b>	<b>0.0</b>	<b>15.1</b>	<b>(78.7)</b>	<b>3.2</b>	<b>(11.0)</b>	<b>(62.4)</b>
<b>Over/(Under) 8.0%</b>	<b>8.7</b>	<b>(3.2)</b>	<b>11.9</b>	<b>(81.9)</b>	<b>(0.0)</b>	<b>(14.4)</b>	<b>(65.9)</b>
Rainy Day Reserve	20.4	20.4	20.4	20.5	25.2	25.4	25.5

**Financial Plan Third Quarter 2017 Monitoring as of September 30, 2017**  
**LANDFILL POST-CLOSURE / 000001040**

Category	2015-2016 Actuals <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017-2018 Current Budget <sup>3</sup>	2017-2018 Biennial-to- Date Actuals <sup>4</sup>	2017-2018 Estimated <sup>5</sup>	2019-2020 Projected <sup>6</sup>	2021-2022 Projected <sup>6</sup>
<b>Beginning Fund Balance</b>	<b>8,420,837</b>	<b>5,881,811</b>	<b>5,205,838</b>	<b>5,205,838</b>	<b>5,205,838</b>	<b>4,442,459</b>	<b>3,428,515</b>
<b>Revenues</b>							
Investment Earnings <sup>8</sup>	(74,843)	42,451	42,451	41,681	54,873	15,000	15,000
Transfer from SWD Operating 4040	-	2,450,000	2,450,000		2,066,500	2,589,650	2,745,029
Other	3,699						
<b>Total Revenues</b>	<b>(71,144)</b>	<b>2,492,451</b>	<b>2,492,451</b>	<b>41,681</b>	<b>2,121,373</b>	<b>2,604,650</b>	<b>2,760,029</b>
<b>Expenditures</b>							
Post-Closure Maint. Expenditures	(3,133,898)	(3,420,221)	(3,420,221)	(1,079,820)	(2,884,752)	(3,618,594)	(3,842,946)
<b>Total Expenditures</b>	<b>(3,133,898)</b>	<b>(3,420,221)</b>	<b>(3,420,221)</b>	<b>(1,079,820)</b>	<b>(2,884,752)</b>	<b>(3,618,594)</b>	<b>(3,842,946)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
Accounting Adjustments	(9,957)						
<b>Total Other Fund Transactions</b>	<b>(9,957)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>5,205,838</b>	<b>4,954,041</b>	<b>4,278,068</b>	<b>4,167,699</b>	<b>4,442,459</b>	<b>3,428,515</b>	<b>2,345,598</b>
<b>Reserves<sup>7</sup></b>							
Custodial Landfill Post-Closure	(1,405,576)	(1,337,591)	(1,155,078)	(1,125,279)	(1,199,464)	(925,699)	(633,311)
Closed Landfill Post-Closure	(3,644,087)	(3,467,829)	(2,994,648)	(2,917,389)	(3,109,721)	(2,399,961)	(1,641,919)
Program Contingency	(156,175)	(148,621)	(128,342)	(125,031)	(133,274)	(102,855)	(70,368)
<b>Total Reserves</b>	<b>(5,205,838)</b>	<b>(4,954,041)</b>	<b>(4,278,068)</b>	<b>(4,167,699)</b>	<b>(4,442,459)</b>	<b>(3,428,515)</b>	<b>(2,345,598)</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Financial Plan Notes**

<sup>1</sup> 2015-2016 Actuals reflect year end information from EBS, using September 2017 GL\_30 data and are consistent with the Budgetary Fund Balance figures published by FBOD.

<sup>2</sup> 2017-2018 Adopted Budget is based on ordinance 18409.

<sup>3</sup> 2017-2018 Current Budget includes supplemental appropriations and any known changes to revenue forecasts, if applicable.

<sup>4</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report(s) GL\_30.

<sup>5</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 09/30/2017, including the impacts of proposed supplementals if applicable.

Investment earnings based on average of beginning and ending fund balance and 2017 KC pool nominal rate. Expenditures based on straight-line spending per first 9 months of biennium.

<sup>6</sup> Outyear projections are based on the adopted 2017-2018 budget financial plan.

<sup>7</sup> Program contingency reserves are set at 3% and the custodial and closed Landfill Post-Closure percentages are based on historical patterns and anticipated needs of 27% and 70% respectively.

<sup>8</sup> Interest was negative in 2015-2016 because FBOD identified an error that was causing some general fund interest to accrue to this fund instead of the general fund in error for the period 1/1/2012 to 5/31/2015. This was corrected in 2015.

This plan was updated by Ester Abenojar on 10/19/2017 for Q3 2017 Financial Monitoring.

**Q3 2017 Monitoring Financial Plan  
E-911 Program / Fund 000001110**

<b>Category</b>	<b>2015-2016 Actuals<sup>1</sup></b>	<b>2017-2018 Adopted Budget<sup>2</sup></b>	<b>2017-2018 Current Budget<sup>3</sup></b>	<b>2017-2018 Biennial-to-Date Actuals<sup>4</sup></b>	<b>2017-2018 Estimated<sup>5</sup></b>	<b>2019-2020 Projected<sup>6</sup></b>	<b>2021-2022 Projected<sup>6</sup></b>
<b>Beginning Fund Balance</b>	<b>27,618,848</b>	<b>17,404,548</b>	<b>27,930,671</b>	<b>27,930,671</b>	<b>27,930,671</b>	<b>22,669,530</b>	<b>15,876,987</b>
<b>Revenues</b>							
E911 Switched Access Line Excise Tax	7,114,708	5,726,251	5,772,039	2,345,374	5,772,039	4,497,503	3,453,124
E911 Wireless Access Line Excise Tax	29,593,215	30,188,934	30,822,043	11,468,461	30,822,043	31,172,843	31,473,288
E911 Wireless Prepaid Excise Tax	3,613,104	3,681,387	3,689,606	1,417,378	3,689,606	3,711,743	3,734,047
E911 VoIP Access Line Excise Tax	6,117,623	6,565,278	6,922,624	2,897,588	6,922,624	6,692,087	7,057,574
State E911 Support	148,120	189,000	189,000	66,050	189,000	189,000	189,000
Investment Interest	386,756	150,462	150,462	200,858	350,000	200,000	70,000
Other Interfund-Emergency Comm Sys	1,534,996	-					
Miscellaneous	780						
<b>Total Revenues</b>	<b>48,509,303</b>	<b>46,501,313</b>	<b>47,545,774</b>	<b>18,395,709</b>	<b>47,745,312</b>	<b>46,463,176</b>	<b>45,977,032</b>
<b>Expenditures</b>							
Wages, Benefits and Retirement	(4,263,357)	(3,249,700)	(3,249,700)	(1,245,000)	(3,249,700)	(3,434,933)	(3,641,029)
Supplies	(203,941)	(243,632)	(243,632)	(61,000)	(200,000)	(257,763)	(272,455)
Direct Services	(34,729,890)	(41,290,846)	(41,290,846)	(11,135,000)	(37,190,846)	(38,033,642)	(40,201,560)
Intergovernmental Services	(5,583,608)	(6,602,477)	(6,602,477)	(2,980,000)	(6,602,477)	(7,051,445)	(7,262,989)
Capital	(2,890,811)	(10,146,093)	(10,146,093)	(45,000)	(4,850,000)	(4,000,000)	(11,000,000)
Intragovernmental Contributions	(478,873)	(4,728)	(4,728)	(466,000)	(466,000)	(5,002)	(5,287)
Contras / Contingencies		(447,430)	(447,430)		(447,430)	(472,934)	(499,891)
<b>Total Expenditures</b>	<b>(48,150,480)</b>	<b>(61,984,906)</b>	<b>(61,984,906)</b>	<b>(15,932,000)</b>	<b>(53,006,453)</b>	<b>(53,255,719)</b>	<b>(62,883,211)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
GAAP Adjustment	(47,000)			15,196			
<b>Total Other Fund Transactions</b>	<b>(47,000)</b>	<b>-</b>	<b>-</b>	<b>15,196</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>27,930,671</b>	<b>1,920,956</b>	<b>13,491,539</b>	<b>30,409,576</b>	<b>22,669,530</b>	<b>15,876,987</b>	<b>(1,029,192)</b>
<b>Reserves<sup>7</sup></b>							
Next Generation 911 Reserve	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)		
Capital Reserve						(3,500,000)	(3,500,000)
Rainy Day Reserve	(2,172,464)	(2,488,263)	(2,488,263)	(2,488,263)	(2,311,510)	(2,364,275)	(2,490,394)
<b>Total Reserves</b>	<b>(2,672,464)</b>	<b>(2,988,263)</b>	<b>(2,988,263)</b>	<b>(2,988,263)</b>	<b>(2,811,510)</b>	<b>(5,864,275)</b>	<b>(5,990,394)</b>
Reserve Shortfall	-	1,067,307	-	-	-	-	7,019,586
<b>Ending Undesignated Fund Balance</b>	<b>25,258,206</b>	<b>-</b>	<b>10,503,276</b>	<b>27,421,313</b>	<b>19,858,020</b>	<b>10,012,712</b>	<b>-</b>

**Financial Plan Notes**

2015-2016 Actuals reflect year end information from EBS using report GL\_010.

2017-2018 Adopted Budget is based on Ordinance 18409.

2017-2018 Current Budget has been updated with the August OEFA revenue forecast.

2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 9/30/2017 for Q3 2017, using EBS report GL\_010. Actual revenues have been adjusted to reflect an accounting error that is expected to be corrected by year end.

2017-2018 Estimated reflects updated revenue estimates from the August OEFA forecast. Direct service estimates have been reduced by \$4.1 million for revised forecasts of maintenance, Smart911, network, security and contingency costs. Capital costs have been reduced by \$5.3 million due to delays of the Security and ESINet II projects and a reduction in capital contingency.

Outyear ongoing expenditure projections are based on growth assumptions provided by PSB. One-time costs have been removed from outyear projections. Outyear capital costs are based on forecasted capital expenditures projected in the 2017 E911 Strategic Plan model. Transfers to Capital are represented on a Pay as You Go basis. Outyear revenue assumptions are from the August 2017 OEFA forecast.

The Next Generation 911 Reserve is removed in 2019-2020 with the estimated start of the Regional Platform project. A capital reserve of \$3.5 million is established for the Security Project which is currently on hold. The Rainy Day Reserve is calculated as 35 days of operating expenditures and excludes capital costs.

This plan was update by Kate Davis on 11/15/2017 for Q3 2017 Financial Monitoring.

**2017 Q3 Financial Monitoring  
Local Hazardous Waste /000001280**

<b>Category</b>	<b>2015-2016 Actuals<sup>1</sup></b>	<b>2017-2018 Adopted Budget<sup>2</sup></b>	<b>2017-2018 Current Budget<sup>3</sup></b>	<b>2017-2018 Biennial-to- Date Actuals<sup>4</sup></b>	<b>2017-2018 Estimated<sup>5</sup></b>	<b>2019-2020 Projected<sup>6</sup></b>	<b>2021-2022 Projected<sup>6</sup></b>
<b>Beginning Fund Balance</b>	<b>13,244,176</b>	<b>15,416,033</b>	<b>15,416,033</b>	<b>15,416,033</b>	<b>15,416,033</b>	<b>9,291,920</b>	<b>8,196,049</b>
<b>Revenues</b>							
State Grants	926,732	427,859	427,859	427,766	427,859	-	
Intergovernmental Payment	20,761,645	20,372,123	20,372,123	5,888,577	20,386,329	25,507,418	25,803,613
Charges for Services	11,797,577	11,738,754	11,738,754	2,803,222	11,787,173	14,556,805	14,586,504
Miscellaneous Revenue	199,636	69,860	69,860	106,660	215,531	244,197	282,237
<b>Total Revenues</b>	<b>33,685,589</b>	<b>32,608,596</b>	<b>32,608,596</b>	<b>9,226,225</b>	<b>32,816,892</b>	<b>40,308,420</b>	<b>40,672,354</b>
<b>Expenditures</b>							
Services & Other Charges	(7,612,706)	(8,321,577)	(8,321,577)	(2,068,112)	(8,534,794)	(9,444,424)	(10,108,340)
Intragovernmental Service	(23,878,138)	(30,406,211)	(30,406,211)	(5,252,392)	(30,406,211)	(31,959,864)	(34,902,453)
<b>Total Expenditures</b>	<b>(31,490,844)</b>	<b>(38,727,788)</b>	<b>(38,727,788)</b>	<b>(7,320,504)</b>	<b>(38,941,005)</b>	<b>(41,404,288)</b>	<b>(45,010,793)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
GAAP Adjustment <sup>7</sup>	(22,889)	-	-	2,394	-	-	-
<b>Total Other Fund Transactions</b>	<b>(22,889)</b>	<b>-</b>	<b>-</b>	<b>2,394</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>15,416,033</b>	<b>9,296,841</b>	<b>9,296,841</b>	<b>17,324,148</b>	<b>9,291,920</b>	<b>8,196,049</b>	<b>3,857,608</b>
<b>Reserves</b>							
Rainy Day Reserve <sup>8</sup>	(2,588,289)	(3,183,106)	(3,183,106)	(3,183,106)	(3,200,631)	(3,403,092)	(3,699,517)
<b>Total Reserves</b>	<b>(2,588,289)</b>	<b>(3,183,106)</b>	<b>(3,183,106)</b>	<b>(3,183,106)</b>	<b>(3,200,631)</b>	<b>(3,403,092)</b>	<b>(3,699,517)</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>12,827,744</b>	<b>6,113,735</b>	<b>6,113,735</b>	<b>14,141,042</b>	<b>6,091,289</b>	<b>4,792,957</b>	<b>158,091</b>

**Financial Plan Notes**

<sup>1</sup> 2015-2016 Actuals reflect year-end information from EBS and are consistent with the Budgetary Fund Balance figures published by FBOD.

<sup>2</sup> 2017-2018 Adopted Budget was approved by Program's Board -Management Coordination Committee- and King County Council.

<sup>3</sup> 2017-2018 Current Budget remains unchanged from 2017-2018 Adopted Budget.

<sup>4</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL 10. Most expenditures are typically charged in 4th quarter.

<sup>5</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 10/23/2017.

<sup>6</sup> 2019-2021 outyear projections assume flat revenue and expenditure growth of 3.3% average based on growth assumptions provided by PSB and program partner projections. In

<sup>7</sup> Other Fund Transactions reflect the 2016 year-end accounting GAAP adjustment by King County Finance and Business Operations Division.

<sup>8</sup> Per Local Hazardous Waste Management Financial Policy to maintain a 2-month operating target fund balance.

<sup>9</sup> This plan was updated by Madelaine Yun on 10/23/2017 for Q3-2017 Financial Monitoring.

**DPER Q3 2017 Quarterly Report**  
**DPER Operating Fund / 000001340 and subfunds 1341, 1345 and 1346**

Category	2015-2016 Actuals <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017-2018 Current Budget <sup>3</sup>	2017-2018 Biennial-to- Date Actuals <sup>4</sup>	2017-2018 Estimated <sup>5</sup>	2019-2020 Projected <sup>6</sup>	2021-2022 Projected <sup>6</sup>
<b>Beginning Fund Balance</b>							
Planning & Permitting (1340)	776,309	(1,152,641)	(1,152,641)	(1,152,641)	(1,152,641)	(944,641)	773,359
Permitting Integration (1345)	5,670	9,135	9,135	9,135	9,135	9,135	9,135
General Government Services (1346)	26,919	37,093	37,093	37,093	37,093	62,093	62,093
Abatement Services (1341)	351,146	643,586	643,586	643,586	643,586	643,586	643,586
<b>Total Beginning Fund Balance</b>	<b>1,160,044</b>	<b>(462,827)</b>	<b>(462,827)</b>	<b>(462,827)</b>	<b>(462,827)</b>	<b>(229,827)</b>	<b>1,488,173</b>
<b>Revenues</b>							
Planning & Permitting (A32510)	25,661,212	29,156,000	29,156,000	11,275,000	28,964,000	26,240,000	26,529,000
Permitting Integration (A32520)	-	-	-	-	-	-	-
General Government Services (A32530)	4,051,254	3,802,270	3,802,270	1,422,000	3,803,000	4,030,000	4,272,000
Abatement Services (A52500)	376,420	1,318,000	1,318,000	213,000	779,000	500,000	500,000
<b>Total Revenues</b>	<b>30,088,886</b>	<b>34,276,270</b>	<b>34,276,270</b>	<b>12,910,000</b>	<b>33,546,000</b>	<b>30,770,000</b>	<b>31,301,000</b>
<b>Expenditures</b>							
Planning & Permitting (A32510)	(26,535,961)	(28,917,204)	(28,917,204)	(10,414,000)	(28,756,000)	(24,522,000)	(25,993,000)
Permitting Integration (A32520)	-	-	-	-	-	-	-
General Government Services (A32530)	(4,041,080)	(3,801,688)	(3,801,688)	(1,288,000)	(3,778,000)	(4,030,000)	(4,272,000)
Abatement Services (A52500)	(331,564)	(1,318,000)	(1,318,000)	(462,000)	(779,000)	(500,000)	(500,000)
<b>Total Expenditures</b>	<b>(30,908,605)</b>	<b>(34,036,892)</b>	<b>(34,036,892)</b>	<b>(12,164,000)</b>	<b>(33,313,000)</b>	<b>(29,052,000)</b>	<b>(30,765,000)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
Planning & Permitting (A32510) <sup>7</sup>	(1,054,201)	-	-	(159,359)	-	-	-
Permitting Integration (A32520) <sup>8</sup>	3,465	-	-	57	-	-	-
General Government Services (A32530)	-	-	-	(16,804)	-	-	-
Abatement Services (A52500) <sup>9</sup>	247,584	-	-	(2,985)	-	-	-
<b>Total Other Fund Transactions</b>	<b>(803,152)</b>	<b>-</b>	<b>-</b>	<b>(179,091)</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>							
Planning & Permitting (1340)	(1,152,641)	(913,845)	(913,845)	(451,000)	(944,641)	773,359	1,309,359
Permitting Integration (1345)	9,135	9,135	9,135	9,192	9,135	9,135	9,135
General Government Services (1346)	37,093	37,675	37,675	154,289	62,093	62,093	62,093
Abatement Services (1341)	643,586	643,586	643,586	391,601	643,586	643,586	643,586
<b>Total Ending Fund Balance</b>	<b>(462,827)</b>	<b>(223,449)</b>	<b>(223,449)</b>	<b>104,082</b>	<b>(229,827)</b>	<b>1,488,173</b>	<b>2,024,173</b>
<b>Reserves</b>							
Planning & Permitting (1340) <sup>10</sup>	(1,635,778)	(1,782,567)	(1,782,567)	(641,959)	(1,772,630)	(1,511,630)	(1,602,308)
Permitting Integration (1345)	-	-	-	-	-	-	-
General Government Services (1346)	-	-	-	-	-	-	-
Abatement Services (1341)	-	-	-	-	-	-	-
<b>Total Reserves</b>	<b>(1,635,778)</b>	<b>(1,782,567)</b>	<b>(1,782,567)</b>	<b>(641,959)</b>	<b>(1,772,630)</b>	<b>(1,511,630)</b>	<b>(1,602,308)</b>
Reserve Shortfall	2,098,605	2,006,016	2,006,016	537,877	2,002,457	23,457	-
<b>Ending Undesignated Fund Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>421,865</b>

**Financial Plan Notes**

<sup>1</sup> 2015/2016 Actuals reflect year end information from EBS and are consistent with the Budgetary Fund Balance figures published by FBOD.

<sup>2</sup> 2017/2018 Adopted Budget is based on ordinance 18409.

<sup>3</sup> 2017/2018 Because there have been no supplemental appropriations to DPER, Current Budget is equal to the Adopted Budget.

<sup>4</sup> 2017/2018 Actuals from EBS Report GL\_010 on 10/19/2017.

<sup>5</sup> 2017-18 Estimated based on BTD actuals plus updated forecasts of permitting activity, staffing, consultant, and other spending to the end of the biennium.

<sup>6</sup> 2019-20 and 2021-22 projections assume annexation and business cycle downturn.

<sup>7</sup> Net receivable discounts and revenue deferral (2015-16).

<sup>8</sup> Miscellaneous account adjustments at 2016 YE.

<sup>9</sup> Receivable income from abatement charges and fines certified to the tax rolls.

<sup>10</sup> Rainy day reserve is 45 days of operating expenditures.

This plan was updated by Warren Cheney on 10/26/2017.

Financial Plan Q3 2017 Financial Monitoring  
Rainy Day Reserve Fund / 000001411

Category	2015-2016 Actual <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017/2018 Current Budget <sup>3</sup>	2017/2018 Biennial- to-Date Actuals <sup>4</sup>	2017/2018 Estimated <sup>5</sup>	2019-2020 Projected	2021-2022 Projected
<b>Beginning Fund Balance</b>	<b>20,150,841</b>	<b>20,300,000</b>	<b>20,393,576</b>	<b>20,393,576</b>	<b>20,393,576</b>	<b>25,343,576</b>	<b>25,943,576</b>
<b>Revenues</b>							
Interest Earnings	242,735	250,000	250,000	205,941	450,000	600,000	600,000
Transfer from GF			4,500,000	4,500,000	4,500,000		
<b>Total Revenues</b>	<b>242,735</b>	<b>250,000</b>	<b>4,750,000</b>	<b>4,705,941</b>	<b>4,950,000</b>	<b>600,000</b>	<b>600,000</b>
<b>Expenditures</b>							
	-	-	-	-	-	-	-
<b>Total Expenditures</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
GAAP Transactions							
<b>Total Other Fund Transactions</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>20,393,576</b>	<b>20,550,000</b>	<b>25,143,576</b>	<b>25,099,517</b>	<b>25,343,576</b>	<b>25,943,576</b>	<b>26,543,576</b>
<b>Reserves</b>							
<b>Total Reserves</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>20,393,576</b>	<b>20,550,000</b>	<b>25,143,576</b>	<b>25,099,517</b>	<b>25,343,576</b>	<b>25,943,576</b>	<b>26,543,576</b>

**Financial Plan Notes**

<sup>1</sup> 2015/2016 Actual from EBS GL 10 report as of 10/31/2017.

<sup>2</sup> The Rainy Day Reserve Fund does not have any expenditures in the 2017-2018 Adopted Budget.

<sup>3</sup> 2017/2018 Current Budget includes approved transfer from the General Fund.

<sup>4</sup> 2017/2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of October 2017, using EBS report GL10.

<sup>5</sup> This plan was updated by Aaron Rubardt on 10/12/2017.

**Financial Plan Q2 2017 Monitoring**  
**Best Start for Kids (BSK) Fund/000001480**

Category	2015/2016 BTD Actuals <sup>1</sup>	2017/2018 Adopted Budget <sup>2</sup>	2017/2018 Current Budget <sup>3</sup>	2017/2018 Biennial-to- Date Actuals <sup>4</sup>	2017/2018 Estimated <sup>5</sup>	2019/2020 Projected <sup>6</sup>	2021/2022 Projected <sup>6</sup>
<b>Beginning Fund Balance</b>	-	46,720,000	46,720,000	46,720,000	49,183,044	49,992,803	28,534,216
<b>Revenues</b>							
Local	59,016,694	126,257,435	126,257,435	32,925,897	126,952,154	139,555,413	74,312,436
Other	111,130	227,034	227,034	335,726	671,451.18	700,000	350,000
<b>Total Revenues</b>	<b>59,127,823</b>	<b>126,484,469</b>	<b>126,484,469</b>	<b>33,261,623</b>	<b>127,623,605</b>	<b>140,255,413</b>	<b>74,662,436</b>
<b>Expenditures</b>							
Salaries, Wages & Benefits	(521,888)	(6,335,587)	(6,335,587)	(421,784)	(6,335,587)	(6,695,000)	(3,441,000)
Supplies and Other	(19,324)	(72,239)	(72,239)	(6,992)	(72,239)	(80,000)	(50,000)
Contracted Services	(609,618)	(57,026,147)	(23,560,455)	(496,324)	(17,702,651)	(31,925,000)	(16,984,000)
Intergovernmental Services	(55,856)	(3,146,355)	(3,146,355)	(302,084)	(3,146,355)	(3,260,000)	(1,679,000)
Interfund Transfers	(8,738,093)	(63,217,523)	(99,557,014)	(16,694,616)	(99,557,014)	(119,754,000)	(65,616,000)
<b>Total Expenditures</b>	<b>(9,944,779)</b>	<b>(129,797,851)</b>	<b>(132,671,650)</b>	<b>(17,921,800)</b>	<b>(126,813,846)</b>	<b>(161,714,000)</b>	<b>(87,770,000)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
<b>Total Other Fund Transactions</b>	-	-	-	-	-	-	-
<b>Ending Fund Balance</b>	49,183,044	43,406,618	40,532,819	62,059,823	49,992,803	28,534,216	15,426,652
<b>Reserves</b>							
Youth and Family Homelessness Reserve <sup>7</sup>	(15,833,000)	(9,499,000)	(9,499,000)	(9,499,000)	(9,499,000)	(3,165,000)	-
Reserve for Committed Projects <sup>8</sup>					(5,857,804)		-
Expenditure Reserve (s) <sup>9</sup>	(31,692,581)	(23,091,131)	(19,977,848)	(41,504,852)	(24,068,179)	(11,893,050)	(8,112,486)
Rainy Day Reserve (60 days) <sup>10</sup>	(1,657,463)	(10,816,488)	(11,055,971)	(11,055,971)	(10,567,820)	(13,476,167)	(7,314,167)
<b>Total Reserves</b>	<b>(49,183,044)</b>	<b>(43,406,618)</b>	<b>(40,532,819)</b>	<b>(62,059,823)</b>	<b>(49,992,803)</b>	<b>(28,534,216)</b>	<b>(15,426,652)</b>
Reserve Shortfall	-	-	-			-	-
<b>Ending Undesignated Fund Balance</b>	-	-	-	-	-	-	-

**Financial Plan Notes:**

<sup>1</sup> 2015/2016 Actuals reflects actual revenues and expenditures through December 31, 2016, using EBS report GL\_010.

<sup>2</sup> 2017/2018 Adopted Budget is based on ordinance 18409.

<sup>3</sup> 2017/2018 Current Budget reflects Council adopted budget amended by Ordinance 18544 with supplemental appropriation

<sup>4</sup> 2017/2018 Actuals reflects actual revenue and expenditures as of 06/30/2017, using EBS report GL 10.

<sup>5</sup> 2017/2018 Estimated Budget reflects updated revenue and expenditure estimates as of 6/30/2017.

<sup>6</sup> Outyear projections are based on OEFA projections as of March 2017, and approved BSK Implementation Plan.

<sup>7</sup> The Youth and Family Homelessness Prevention (YFHP) Reserve represents \$19 million set aside in 2016, less estimated YFHP expenditures.

<sup>8</sup> The Reserve for committed projects reflects amounts that will be awarded to contracts that will carry forward to the next biennium budget and pending appropriations.

Park District Services Reserve approved appropriation authorized by ordinances 18207, 18239, 18287, 18378, and 18409, plus additional reserves for expenditures included in BSK Implementation Plan.

<sup>10</sup> The Rainy Day reserve represents 60 days of estimated expenditures.

Financial Plan Prepared by DCHS Fiscal Staff on 08/15/2017

**Financial Plan Q3 2017 Financial Monitoring**  
**PSERN Levy Fund /000001511**

Category	2015-2016 Actual <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017/2018 Current Budget <sup>3</sup>	2017/2018 Biennial-to-Date Actuals <sup>4</sup>	2017/2018 Estimated <sup>5</sup>	2019-2020 Projected <sup>5</sup>	2021-2022 Projected <sup>5</sup>
<b>Beginning Fund Balance</b>	-	278,090	1,435,383	1,435,383	1,435,383	2,126,283	0
<b>Revenues</b>							
Levy Proceeds	29,403,926	61,332,443	62,055,650	17,056,906	62,055,650	65,184,219	68,073,688
Interest Earnings	71,635			17,678			
<b>Total Revenues</b>	<b>29,475,561</b>	<b>61,332,443</b>	<b>62,055,650</b>	<b>17,074,584</b>	<b>62,055,650</b>	<b>64,039,009</b>	<b>66,993,813</b>
<b>Expenditures</b>							
Election Cost	(1,741,063)						
Fire Service Protection <sup>6</sup>		(2,000,000)	(2,000,000)		(2,000,000)	(2,000,000)	
Transition Cost <sup>7</sup>						(750,000)	
Misc. cost (partner reimbursement, int)	(431,165)						
Transfer to Capital <sup>9</sup>	(25,980,008)	(59,364,750)	(59,364,750)	(16,281,353)	(59,364,750)	(63,415,292)	(62,374,466)
<b>Total Expenditures</b>	<b>(28,152,237)</b>	<b>(61,364,750)</b>	<b>(61,364,750)</b>	<b>(16,281,353)</b>	<b>(61,364,750)</b>	<b>(66,165,292)</b>	<b>(62,374,466)</b>
<b>Estimated Underexpenditures</b>				281,386,440			
<b>Other Fund Transactions</b>							
GAAP Transactions	112,059						
<b>Total Other Fund Transactions</b>	<b>112,059</b>	-	-	-	-	-	-
<b>Ending Fund Balance</b>	<b>1,435,383</b>	<b>245,783</b>	<b>2,126,283</b>	<b>2,228,614</b>	<b>2,126,283</b>	<b>0</b>	<b>4,619,347</b>
<b>Reserves</b>							
Rate Stabilization Reserve(s) <sup>8</sup>							(2,619,347)
Reserve for Fire Service Protection <sup>6</sup>	(1,000,000)			(1,000,000)	(1,000,000)	-	-
<b>Total Reserves</b>	<b>(1,000,000)</b>	-	-	<b>(1,000,000)</b>	<b>(1,000,000)</b>	-	<b>(2,619,347)</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>435,383</b>	<b>245,783</b>	<b>2,126,283</b>	<b>1,228,614</b>	<b>1,126,283</b>	<b>0</b>	<b>2,000,000</b>

**Financial Plan Notes**

<sup>1</sup> 2015/2016 Actual from FRS GL 10 report as of 10/12/2017

<sup>2</sup> 2017-2018 Adopted Budget is based on ordinance 18409

<sup>3</sup> 2017/2018 Current Budget includes approved supplemental appropriations and the most recent revenue forecasts

<sup>4</sup> 2017/2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of September 2017 using FRS report GL 10

<sup>5</sup> Outyear projections assume revenue growth based on OEFA Aug 2017 forecast.

<sup>6</sup> Assumed the payments associated with fire district service protection, \$1M annually. If the payments are not made each year, the funds become available for use by the project.

<sup>7</sup> Transition Cost - This reserve funds the transition costs to the new radio network

<sup>8</sup> Rate Stabilization reserve is for mitigation of operating costs for the new system.

<sup>9</sup> The transfer to capital line includes the payments to the radio communications funds (2.7M to RCS Ops 000004501, 1.8M to RCS CIP 000003473) for the money borrowed for the PSERN phase II project. The total amount transfer to the PSERN capital fund was 21.4M in 2016

<sup>10</sup> This plan was updated by Junko Keesecker on 10/12/2017.



**2017-2018 Financial Plan / 2017 Q3 Monitoring  
Public Health Operating Fund / 000001800**

Category	2015/2016 Actuals <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017-2018 Current Budget <sup>3</sup>	2017-2018 Biennial-to-Date Actuals <sup>4</sup>	2017 Q1 Estimated	2017 Q2 Estimated	2017 Q3 Estimated	2019-2020 Projected <sup>5</sup>	2021-2022 Projected <sup>5</sup>
<b>Beginning Fund Balance</b>	<b>\$ (11,727,782)</b>	<b>1,522,486</b>	<b>1,517,527</b>	<b>1,517,527</b>	<b>1,517,527</b>	<b>1,517,527</b>	<b>1,517,527</b>	<b>699,151</b>	<b>(8,713,021)</b>
Revenues									
City of Seattle <sup>6</sup>	43,307,590	41,832,635	43,616,515	11,255,775	41,832,635	43,483,203	44,187,673	43,939,603	47,584,040
Fees for Services <sup>7</sup>	15,493,971	14,171,157	14,171,157	5,063,979	14,171,157	14,229,997	13,786,881	14,339,224	14,339,224
Grants <sup>8</sup>	92,947,843	83,144,699	85,218,389	23,509,869	83,144,699	82,214,746	81,716,867	83,407,575	88,598,156
Intragovernmental <sup>9</sup>	12,429,594	11,907,656	12,738,056	4,118,596	11,907,656	12,738,056	13,442,413	12,367,762	13,137,427
Medicaid Administration <sup>10</sup>	9,927,179	7,610,881	7,610,881	(3,109,114)	7,610,881	7,254,857	7,254,857	7,613,048	7,613,048
Other Revenues	4,650,850	2,431,150	2,431,150	109,672	2,431,150	2,538,765	2,341,850	2,533,426	2,691,085
Patient Generated Revenue <sup>11</sup>	78,936,830	76,920,446	76,920,446	27,997,323	76,920,446	73,273,501	69,879,649	79,269,671	80,543,059
State Flexible	24,983,310	24,595,572	24,595,572	12,297,790	24,595,572	24,595,572	24,595,572	24,595,572	24,595,572
KC General Fund Flexible	56,245,302	49,993,628	49,993,628	16,592,539	49,993,628	49,993,628	49,993,629	52,524,480	55,793,159
Best Starts for Kids Levy Revenue	4,246,000	56,046,221	67,721,155	32,804,681	55,609,184	67,408,994	67,408,994	67,949,526	76,119,490
HVMC Contract	5,000,000	10,000,000	10,000,000	-	10,000,000	10,000,000	10,000,000	10,000,000	10,000,000
Foundational Public Health Revenue <sup>12</sup>	-	-	-	-	-	1,080,000	2,811,685	2,811,685	2,811,685
One time Revenues <sup>13</sup>	5,628,526	1,943,900	1,943,900	-	1,943,900	2,067,000	2,067,000	-	-
<b>Total Revenues</b>	<b>353,796,996</b>	<b>380,597,945</b>	<b>396,960,849</b>	<b>130,641,110</b>	<b>380,160,908</b>	<b>390,878,319</b>	<b>389,487,070</b>	<b>401,351,573</b>	<b>423,825,945</b>
Expenditures									
Personnel <sup>14</sup>	(192,473,973)	(186,618,847)	(189,332,120)	(64,944,586)	(185,253,847)	(184,621,199)	(185,738,703)	(199,402,873)	(211,531,831)
Contracts <sup>15</sup>	(85,602,045)	(115,285,383)	(127,813,767)	(17,806,827)	(115,385,837)	(127,528,678)	(128,011,190)	(132,471,589)	(150,540,910)
Overhead <sup>16</sup>	(25,993,788)	(42,805,917)	(43,037,825)	(14,286,492)	(42,805,917)	(41,783,422)	(41,744,761)	(45,607,930)	(49,807,006)
Facilities and Motor Vehicle <sup>17</sup>	(14,901,206)	(14,337,234)	(14,536,398)	(5,316,999)	(14,337,234)	(14,980,152)	(14,492,764)	(15,124,688)	(16,517,203)
Supplies and Office Equipment	(4,615,332)	(3,609,845)	(3,637,907)	(1,528,495)	(3,609,845)	(4,098,606)	(4,264,765)	(3,613,982)	(3,838,886)
Medical Supplies & Pharmaceuticals <sup>18</sup>	(8,801,406)	(9,760,459)	(9,983,341)	(3,512,943)	(9,760,459)	(9,839,468)	(10,318,865)	(10,318,444)	(10,960,576)
Contingencies and Contras	-	(66,840)	(66,840)	-	(66,840)	-	-	(66,840)	(66,840)
Other Expense	(4,982,298)	(4,610,666)	(4,638,232)	(1,727,233)	(4,610,666)	(5,030,160)	(5,034,400)	(4,157,398)	(4,157,398)
One time Expenditures	(3,176,680)	-	-	-	(900,000)	(900,000)	(700,000)	-	-
<b>Total Expenditures</b>	<b>(340,546,728)</b>	<b>(377,095,191)</b>	<b>(393,046,430)</b>	<b>(109,123,577)</b>	<b>(376,730,191)</b>	<b>(388,781,685)</b>	<b>(390,305,446)</b>	<b>(410,763,745)</b>	<b>(447,420,651)</b>
GAAP Adjustments	(4,959)	-	-	-	-	-	-	-	-
<b>Ending Fund Balance</b>	<b>1,517,527</b>	<b>5,025,240</b>	<b>5,431,946</b>	<b>23,035,061</b>	<b>4,948,244</b>	<b>3,614,161</b>	<b>699,151</b>	<b>(8,713,021)</b>	<b>(32,307,726)</b>
<b>Reserves</b>									
Expenditure Reserve					-				
Cash Flow Reserve									
Rainy Day Reserve (30 days) <sup>19</sup>	(11,018,715)	(10,797,820)	(10,941,400)	(2,316,990)	(10,763,322)	(10,739,209)	(10,781,684)	(11,580,082)	(12,598,774)
<b>Total Reserves</b>	<b>(11,018,715)</b>	<b>(10,797,820)</b>	<b>(10,941,400)</b>	<b>(2,316,990)</b>	<b>(10,763,322)</b>	<b>(10,739,209)</b>	<b>(10,781,684)</b>	<b>(11,580,082)</b>	<b>(12,598,774)</b>
Reserve Shortfall	9,501,187	5,772,580	5,509,453	-	5,815,078	7,125,047	10,082,533	20,293,103	44,906,500
<b>Ending Undesignated Fund Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>20,718,071</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Financial Plan Notes**

2015/2016 Actuals reflect year end information from EBS and are consistent with the Budgetary Fund Balance figures published by FBOD.

2017-2018 Adopted Budget reflect the financial plan adopted based on budget Ordinance 18409, adjusted for the revised ending fund balance at the end of 2016.

2017/2018 Current Budget reflects the Operating Budget in EBS using report GL10 last run on October 23, 2017.

2017/2018 Biennial-to-Date Actuals reflects actual revenues and expenditures through September 30, 2017, using EBS report GL10 last run on October 23, 2017.

Out year projections assume average annual revenue and expenditure growth by category, reflecting the most recent estimates, including the out year impact of approved supplemental.

City of Seattle includes 2nd Omnibus City of Seattle \$404 for CHS, and 2% increase for Prev and CDIP reflection of actual budget. \$108 and \$102 respectively.

Fees for Services reduction is a technical adjustment to be netted against the increase to Intragovernmental.

Grants reduction reflects a pregnancy prevention grant loss (\$500k), and WIC grant reduction (\$255k ), netted against a \$330k grant add for HIV.

Intragovernmental includes revenue from the Vets & Human Services Levy for the entire biennium under the assumption that the levy will be renewed beyond its current end date of December 31, 2017. Additional increase is a technical adjustment tied to the reduction to Fee for Services and Other Revenues.

The 2017-2018 Medicaid Administration budget proposal is based on 2015-2016 estimated revenue that was right sized to account for the anticipated changes in the claiming for allowable expenditures as agreed between the Centers for Medicare & Medicaid Services (CMS); the Washington State HCA, and other Washington State Local Health Jurisdictions.

Patient Generated Revenue(PGR) projection in the 2017-2018 Adopted budget was based on 2015-16 assumptions related to visits, patient mix and payer mix based on recent experiences. The reimbursement rate under the Federally Qualified Health Center (FQHC) will likely be rebased in 2018. The current projection is based on 2017 patient visit trend and has been reduced accordingly.

PHSKC is partnering with other Washington State local health jurisdictions and the State Department of Health (DOH) on legislative efforts regarding Foundational Public Health Services. PHSKC received 2.8M for the 2017-18 biennia. PHSKC anticipates an additional investment from the Washington State Legislature into foundational public health services beginning in 2019-2020.

One time revenues includes the following adjustments:

2015/2016: \$2.4M property sales, \$1.1M in additional General Fund for employee separation costs, and \$2.2M in partner funding for the Public Health Clinics and DPH programs.

2017/2018 Estimated: \$2.0M in anticipated property sales

Personnel reflects \$1.1M added for FPHS. This is netted against some salary savings for vacancies.

Contracts includes 2nd Omnibus City of Seattle \$493K addition.

The creation of the Public Health Administration Fund (000001890) in the 2017-2018 Adopted Budget realigned the expenses in the Public Health Fund, resulting in an increase to the Overhead Expense Category while reducing costs in other categories, including Personnel.

Facilities and Motor Vehicle adjustment better reflects expected expenditures.

Medical Supplies and Pharmaceuticals reflects additional costs SBHC has to pay non-340B price for meds. This adjustment better reflects expected expenditures.

The rainy day reserve was calculated using a 60 day expenditures average, adjusted for inter County Revenues which maintain separate reserves, including KC General Funds, Best Starts for Kids Levy, the Mental Illness and Drug Dependency Levy, and the Vets & Human Services Levy.

This plan was updated by Michelle Larson on 10/30/2017

**Financial Plan 2017 Q3 Monitoring**  
**Environmental Health Fund / 000001850**

Category	2015-2016 Actuals <sup>1</sup>	2017-2018 Adopted Budget <sup>2</sup>	2017-2018 Current Budget <sup>3</sup>	2017-2018 Biennial-to-Date Actuals <sup>4</sup>	2017-2018 Estimated <sup>5</sup>	2019-2020 Projected <sup>6</sup>	2021-2022 Projected <sup>6</sup>
<b>Beginning Fund Balance</b>	<b>9,926,646</b>	<b>13,839,698</b>	<b>13,839,698</b>	<b>13,839,698</b>	<b>13,839,698</b>	<b>13,918,112</b>	<b>14,055,725</b>
<b>Revenues</b>							
Licenses and Permits	30,429,126	32,611,979	33,360,779	19,319,302	34,434,762	34,503,474	36,642,689
Federal Grants Indirect	320,148	50,000	50,000	(1,189)	50,000	52,900	56,180
State Grants	789,816	1,056,000	1,056,000	260,519	1,056,000	1,117,248	1,186,517
State Entitlements	387,732	775,462	775,462	387,731	775,462	820,439	871,306
Intergovernmental Payments	1,003,679	9,680,135	980,400	330,033	980,400	1,037,263	1,101,573
Charge for Services	12,321,795	5,601,462	13,552,397	2,547,082	13,552,397	15,130,666	16,068,768
Fines and Forfeits	359,637	215,574	215,574	175,395	215,574	228,077	242,218
Miscellaneous Revenue (incl. interest)	64,431	276,360	276,360	309,793	309,793	359,246	361,598
Non Revenue Receipts (incl. contingencies)	-	407,860	407,860	-	-		
Other Financial Sources (incl. GF/BSK) <sup>6</sup>	428,776	1,113,599	1,113,599	332,954	1,113,599	1,178,188	1,251,235
<b>Total Revenues</b>	<b>46,105,140</b>	<b>51,788,431</b>	<b>51,788,431</b>	<b>23,661,622</b>	<b>52,487,987</b>	<b>54,427,500</b>	<b>57,782,085</b>
<b>Expenditures</b>							
Wages and Benefits	(30,217,570)	(37,484,550)	(37,484,550)	(12,944,954)	(36,594,878)	(39,621,169)	(41,998,439)
Supplies	(398,415)	(405,675)	(405,675)	(109,615)	(405,675)	(429,204)	(455,815)
Services-Other Charges	(2,018,919)	(2,342,375)	(2,342,375)	(811,095)	(2,437,920)	(2,478,233)	(2,631,883)
Intragovernmental Services	(9,386,088)	(10,451,629)	(10,451,629)	(3,697,623)	(10,451,629)	(11,319,114)	(12,383,111)
Capital Outlay/Contra Expenditures/Contingency	(8,402)	(1,025,788)	(1,025,788)	(19,182)	(1,025,788)	(442,168)	(204,082)
Applied Overhead	(162,694)	-		(64,817)	(172,845)		
<b>Total Expenditures</b>	<b>(42,192,088)</b>	<b>(51,710,017)</b>	<b>(51,710,017)</b>	<b>(17,647,286)</b>	<b>(51,088,735)</b>	<b>(54,289,888)</b>	<b>(57,673,330)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
<b>Total Other Fund Transactions</b>	-	-	-	-	-	-	-
<b>Ending Fund Balance</b>	<b>13,839,698</b>	<b>13,918,112</b>	<b>13,918,112</b>	<b>19,854,034</b>	<b>15,238,950</b>	<b>14,055,725</b>	<b>14,164,480</b>
<b>Reserves<sup>7</sup></b>							
Rainy Day Reserves (60 Days)		(4,130,886)	(4,130,886)	(4,130,886)	(4,130,886)	(4,389,128)	(4,684,834)
Expenditure Reserve(s)							
Capital & IT Project Reserve		(900,000)	(1,500,000)	(1,500,000)	(1,500,000)	(900,000)	(400,000)
Program Reserve		(2,250,313)	(7,708,811)	(7,708,811)	(7,708,811)	(7,708,811)	(7,708,811)
Property Abatement		-	(500,000)	(500,000)	(500,000)	(500,000)	(500,000)
<b>Total Reserves</b>	-	<b>(7,281,199)</b>	<b>(13,839,697)</b>	<b>(13,839,697)</b>	<b>(13,839,697)</b>	<b>(13,497,939)</b>	<b>(13,293,645)</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>13,839,698</b>	<b>6,636,913</b>	<b>78,416</b>	<b>6,014,337</b>	<b>1,399,253</b>	<b>557,786</b>	<b>870,835</b>

**Financial Plan Notes**

<sup>1</sup> 2015-2016 Actuals reflect year end information from EBS and are consistent with the Budgetary Fund Balance figures published by FBOD.

<sup>2</sup> 2017-2018 Adopted Budget is based on ordinance 18409.

<sup>3</sup> 2017-2018 Current Budget is the same as the adopted budget as of 2017 Q3.

<sup>4</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 9/30/2017, using EBS report GL010.

<sup>5</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 9/30/2017.

<sup>6</sup> Outyear projections are based on growth assumptions provided by PSB and/or OEFA and assume revenue and expenditure growth of 5.8% to 6.2%.

<sup>7</sup> Add note here on reserves as applicable.

Capital & IT Project Reserve: This reserve provides funding for capital expenditure replacement of the major application used in EH. Also included in the reserve are purchase of vehicles for additional staff and tenant improvements.

Program Reserve: This reserve sets aside funds collected from clients for multi-year services as well as programmatic fund balance carried forward from prior years. Also included in the reserve is a set-aside fund for program quality and efficiency improvements and program abatement.

Rainy Day Reserve: This reserve currently reflects 60 days of operating funds for 2017-2018. This does not include KC General Fund Revenue, Capital Outlay, contingencies or Contract Expenditures.

<sup>8</sup> This plan was update by Alex Yoon on 10/30/2017 for Q3 2017 Finanical Monitoring.

**Financial Plan 2017/2018 September 2017 - PSB Monitoring  
Employment and Education Resources (EER) Fund /000002240**

<b>Category</b>	<b>2015-2016 Actuals <sup>1</sup></b>	<b>2017-2018 Adopted<sup>2</sup></b>	<b>2017-2018 Current Budget <sup>3</sup></b>	<b>2017-2018 Biennium-to- Date Actuals <sup>4</sup></b>	<b>2017-2018 Estimated <sup>5</sup></b>	<b>2019-2020 Projected <sup>6</sup></b>	<b>2021-2022 Projected <sup>6</sup></b>
<b>Beginning Fund Balance</b>	<b>1,452,856</b>	<b>1,304,347</b>	<b>1,632,847</b>	<b>1,632,847</b>	<b>1,632,847</b>	<b>108,319</b>	<b>(479,788)</b>
<b>Revenues</b>							
Federal	7,587,996	6,972,904	6,972,904	1,533,818	5,577,530	5,807,923	6,168,014
State <sup>7</sup>	1,269,809	1,502,000	1,502,000	470,270	1,382,000	1,462,156	1,552,810
General Fund <sup>8</sup>	7,203,594	7,618,000	7,618,000	2,961,919	7,618,000	8,059,844	8,559,554
Intergovernmental	933,330	868,293	194,293	313,906	582,841	616,646	654,878
Interfund Transfers	4,545,426	4,269,704	4,148,704	2,332,744	4,520,654	4,359,651	4,629,950
Interfund Transfers - BSK	662,387	-	11,330,355	3,698,882	11,566,843	11,862,130	12,597,582
Other <sup>9</sup>	862,010	801,855	1,303,855	878,108	1,061,283	335,080	355,855
<b>Total Revenues</b>	<b>23,064,552</b>	<b>22,032,756</b>	<b>33,070,111</b>	<b>12,189,647</b>	<b>32,309,150</b>	<b>32,503,430</b>	<b>34,518,643</b>
<b>Expenditures</b>						61%	
Salaries, Wages & Benefits	(9,407,906)	(9,777,259)	(10,555,977)	(4,220,307)	(9,697,760)	(9,761,278)	(10,366,477)
Supplies and Other	(124,359)	(107,427)	(159,427)	(46,925)	(139,532)	(92,608)	(98,350)
Contracted Services	(7,622,750)	(7,284,553)	(17,859,190)	(2,972,589)	(18,493,075)	(17,826,771)	(18,932,031)
Intergovernmental Services	(4,488,173)	(4,068,995)	(4,068,995)	(1,860,197)	(4,112,916)	(4,024,265)	(4,273,769)
Participant Costs	(1,241,374)	(1,088,683)	(1,088,683)	(654,264)	(1,390,396)	(1,386,615)	(1,472,585)
<b>Total Expenditures</b>	<b>(22,884,561)</b>	<b>(22,326,917)</b>	<b>(33,732,272)</b>	<b>(9,754,283)</b>	<b>(33,833,679)</b>	<b>(33,091,537)</b>	<b>(35,143,212)</b>
<b>Estimated Underexpenditures</b>							
<b>Other Fund Transactions</b>							
<b>Total Other Fund Transactions</b>	-	-				-	-
<b>Ending Fund Balance</b>	<b>1,632,847</b>	<b>1,010,186</b>	<b>970,687</b>	<b>4,068,211</b>	<b>108,319</b>	<b>(479,788)</b>	<b>(1,104,357)</b>
<b>Reserves</b>							
Expenditure Reserve (s)							
Cash Flow Reserve(s)							
Carryforward Reserve(s) <sup>10</sup>	(722,902)		-	-	-	-	-
Rainy Day Reserve (60 days) <sup>11</sup>	(1,251,548)	(1,225,743)	(1,231,993)	(257,790)	(1,220,736)	(1,097,464)	(1,165,506)
<b>Total Reserves</b>	<b>(1,974,450)</b>	<b>(1,225,743)</b>	<b>(1,231,993)</b>	<b>(257,790)</b>	<b>(1,220,736)</b>	<b>(1,097,464)</b>	<b>(1,165,506)</b>
Reserve Shortfall	341,603	215,557	261,306	-	1,112,417	1,577,251	2,269,864
<b>Ending Undesignated Fund Balance</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,810,421</b>	<b>-</b>	<b>-</b>	<b>-</b>

**Financial Plan Notes**

<sup>1</sup> 2015/2016 Biennium-to-Date Actuals reflects actual revenues and expenditures as of December 31, 2016, using EBS report GL010 2016 BTD run 3/29/17 and King County's

<sup>2</sup> 2017/2018 Adopted Budget is based on ordinance 18409, Section 104 and the DCHS EER Budget template.

<sup>3</sup> Includes Adopted Budget Ordinance 18409 Section 104, plus Ordinance 18544 Section 61 supplemental which includes: Ballmer Foundation Grant, Reappropriate 2016

<sup>4</sup> 2017/2018 Biennium to Date Actuals as of September reflect GL010 for Fund 2240 run on October 11, 2017.

<sup>5</sup> 2017/2018 Also included \$337,000 revenue from VETS Program for the repayment of 2016 rent. Adjusted WIOA grant revenue and expense down \$689,069 due to grant

<sup>6</sup> Out year projections assume revenue and expenditure growth based upon the 2017/2018 current budget column adjusting by OEFA's projections. 2019/2020 supplies &

<sup>7</sup> Included in State revenues are the revenues from local state community colleges.

<sup>8</sup> 2015-2016 General Fund includes \$6,827,594 from the Adopted Ordinance 17941 and \$376,000 from Supplemental Ordinance 18319 for a total of \$7,203,594.

<sup>9</sup> Included in Other revenue is revenue and grants from private foundations including the Raikes Foundation - \$351K, Ballmer Foundation - \$502K, and United Way - \$44K&

<sup>10</sup> \$722,902 in 2015-2016 Actuals represents \$376,000 from General Fund Supplemental for Mentorship Services for Youth and Juvenile Justice Case Management & Outreach

<sup>11</sup> The Rainy Day Reserve reflects 60 days of expenditures. Total expenditures for the biennium excluding general funds and BSK.

Updated by DCHS Staff Nov 3, 2017.

**Financial Plan Q3 2017 Financial Monitoring**  
**E-911 Capital Fund /000003170**

**Capital Improvement Program (CIP) Budget**

	2015-2016 Carryforward (YE ITD Balance) <sup>1</sup>	2017-2018 Current Budget <sup>2</sup>	2017-2018 Total (Balance + Budget)	2019-2020 Estimated <sup>3</sup>	2021-2022 Estimated <sup>3</sup>
<b>Capital Budget Revenue Sources:</b>					
Revenue Backing from Fund Balance	-	-	-	-	-
Transfer from Operating Fund 1110	-	5,100,000	5,100,000	-	-
	-	-	-	-	-
	-	-	-	-	-
	-	-	-	-	-
<b>Total Capital Revenue</b>	\$ -	\$ 5,100,000	\$ 5,100,000	\$ -	\$ -
<b>Capital Appropriation:</b>					
E911 Security System	-	(3,500,000)	(3,500,000)	-	-
PSAP Viper Refreshes	-	(1,600,000)	(1,600,000)	-	-
	-	-	-	-	-
	-	-	-	-	-
	-	-	-	-	-
<b>Total Capital Appropriation</b>	\$ -	\$ (5,100,000)	\$ (5,100,000)	\$ -	\$ -

**CIP Fund Financial Position**

	2015-2016 Actuals <sup>4</sup>	2017-2018 Estimated at Budget Development <sup>5</sup>	2017-2018 Biennial to Date Actual <sup>6</sup>	2017-2018 Estimated <sup>7</sup>	2019-2020 Estimated <sup>8</sup>	2021-2022 Estimated <sup>8</sup>
<b>Beginning Fund Balance</b>		-	-	-	-	-
<b>Capital Funding Sources</b>						
Revenue Backing from Fund Balance		-	-	-	-	-
Transfer from Operating Fund 1110		5,100,000	-	1,600,000	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
<b>Total Capital Revenue</b>	\$ -	\$ 5,100,000	\$ -	\$ 1,600,000	\$ -	\$ -
<b>Capital Expenditures</b>						
E911 Security System		(3,500,000)	-	-	-	-
PSAP Viper Refreshes		(1,600,000)	-	(1,600,000)	-	-
Regional Platform		-	-	-	-	-
Small Projects		-	-	-	-	-
		-	-	-	-	-
<b>Total Capital Expenditures</b>	\$ -	\$ (5,100,000)	\$ -	\$ (1,600,000)	\$ -	\$ -
<b>Other Fund Transactions<sup>9</sup></b>						
		-	-	-		
<b>Ending Fund Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Fund Balance designated to current projects<sup>10</sup></b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Reserves<sup>11</sup></b>						
Grant Contingency						
Cash Flow						
<b>Total Reserves</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Projected Shortfall</b>	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

**Financial Plan Notes**

<sup>1</sup> 2015-2016 Carryover reflects estimates of year end inception to date appropriation balances or actual balance as reported by the PA\_103 report.

<sup>2</sup> 2017-2018 Current Budget is aligned with 2017-2018 adopted and proposed supplementals.

<sup>3</sup> Outyear budget estimates are consistent with Attachment A out years estimates with exceptions noted.

<sup>4</sup> 2015-2016 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 11/15/2017. The beginning and ending fund balance are consistent with the fund balance reported by FBOD.

<sup>5</sup> 2017-2018 Estimated at Budget Development reflects estimates reported in the 2017-2018 Adopted Financial Plan.

<sup>6</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures.

<sup>7</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 11/15/2017.

<sup>8</sup> Outyear revenue and spending estimates are based on current revenue estimates and project spending plans. The Security Project is on hold and expenditures are not included in outyear estimates. Funds are reserved in the E-911 Operating Fund for this expense.

<sup>9</sup> Other fund transactions include accounting adjustments to balance to budgetary fund balance.

<sup>10</sup> Fund balance designated to current projects is for projects that are already appropriated. This fund balance cannot be used for other projects without disappropriating projects or creating a shortfall in the fund.

<sup>11</sup> This fund has no reserves.

<sup>12</sup> This plan was update by Kate Davis on 11/15/2017.

**Financial Plan (Q3 2017 Financial Monitoring)**  
**PSERN Capital Fund /000003361**

**Capital Improvement Program (CIP) Budget**

	<b>2015-2016 Carryforward<sup>1</sup> (YE ITD Balance)</b>	<b>2017-2018 Budget<sup>2</sup></b>	<b>2017-2018 Total (Balance + Budget)</b>	<b>2019-2020 Estimated<sup>3</sup></b>	<b>2021-2022 Estimated<sup>3</sup></b>
<b>Capital Budget Revenue Sources:</b>					
Levy Proceeds Transfer from the PSERN Levy Fund	-	59,364,750	59,364,750	63,415,292	62,374,466
Fund Balance	11,602,256		11,602,256		
<b>Total Capital Revenue</b>	<b>\$ 11,602,256</b>	<b>\$ 59,364,750</b>	<b>\$ 70,967,006</b>	<b>\$ 63,415,292</b>	<b>\$ 62,374,466</b>
<b>Capital Appropriation:</b>					
PSERN Capital Project	45,286,672	-	45,286,672		
2018 Planned Supplemental Request	-	204,564,993	204,564,993	-	-
2018 Planned Disappropriation Request - Oversight		(18,087)	(18,087)		
Capital Oversight	18,087	-	18,087		
			-	-	-
<b>Total Capital Appropriation</b>	<b>\$ 45,304,759</b>	<b>\$ 204,546,907</b>	<b>\$ 249,851,665</b>	<b>\$ -</b>	<b>\$ -</b>

**CIP Fund Financial Position**

	<b>2015-2016 Actuals<sup>4</sup></b>	<b>2017-2018 Biennial to Date Actuals<sup>5</sup></b>	<b>2017-2018 Estimated<sup>6</sup></b>	<b>2019-2020 Estimated<sup>7</sup></b>	<b>2021-2022 Estimated<sup>7</sup></b>
<b>Beginning Fund Balance</b>	-	11,602,256	11,602,256	(46,412,087)	(69,809,756)
<b>Capital Funding Sources</b>					
Levy Proceeds Transfer from the PSERN Levy Fund	21,457,474	16,281,353	59,364,750	63,415,292	62,374,466
Misc. Revenue, including Interest Earnings	13,760	124,938			
<b>Total Capital Revenue</b>	<b>\$ 21,471,234</b>	<b>\$ 16,406,291</b>	<b>\$ 59,364,750</b>	<b>\$ 63,415,292</b>	<b>\$ 62,374,466</b>
<b>Capital Expenditures</b>					
51000-WAGES AND BENEFITS	(2,281,807)	(1,523,575)	(5,947,676)	(7,479,907)	(4,359,111)
52000-SUPPLIES	(20,028)	(5,710)	(319,383)	(48,829)	(39,078)
53000-SERVICES-OTHER CHARGES	(6,136,156)	(4,245,531)	(7,244,043)	(12,821,764)	(1,737,423)
55000-INTRAGOVERNMENTAL SERVICES	(1,204,094)	(666,773)	(2,580,679)	(1,865,504)	(1,419,266)
56000-CAPITAL OUTLAY	(70,218)	(747,761)	(101,287,313)	(64,596,957)	(269,743)
Contingency		-			(37,834,990)
Oversight fees	(130,675)		-		
<b>Total Capital Expenditures</b>	<b>\$ (9,842,978)</b>	<b>\$ (7,189,350)</b>	<b>\$ (117,379,093)</b>	<b>\$ (86,812,961)</b>	<b>\$ (45,659,612)</b>
<b>Other Fund Transactions<sup>8</sup></b>					
GAAP Transactions	(26,000)				
<b>Ending Fund Balance</b>	<b>\$ 11,602,256</b>	<b>\$ 20,819,197</b>	<b>\$ (46,412,087)</b>	<b>\$ (69,809,756)</b>	<b>\$ (53,094,901)</b>
<b>Fund Balance designated to current projects<sup>10</sup></b>	<b>\$ (11,602,256)</b>	<b>\$ (20,819,197)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Reserves</b>					
Restricted for the PSERN project			-		
<b>Total Reserves</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Projected Shortfall	-		46,412,087	69,809,756	53,094,901
<b>Ending Undesignated Fund Balance</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Financial Plan Notes (samples below)**

<sup>1</sup> 2015-2016 carryover is based on the ordinance 18076 minus Actual spent per GL 10.

<sup>2</sup> 2017-2018 Current Budget is aligned with 2017-2018 planned supplemental.

<sup>3</sup> Outyear revenue budget is from the Aug OEFA report.

<sup>4</sup> 2015-2016 Actual reflects Q4 2016 revenue and expenditure as of 7/17/2017 from GL 10 report.

<sup>5</sup> 2017-18 BTD Actual reflects Q3 2017 revenue and expenditures from the PA\_103 report and the GL\_report.

<sup>6</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of October 2017.

<sup>7</sup> Outyear revenue estimates are from the Aug OEFA report and the spending estimates are based on the rebasedlined project budget.

<sup>8</sup> Other fund transactions include GAAP transactions.

<sup>9</sup> Fund balance designated to current projects is for projects that are already appropriated. This fund balance cannot be used for other projects without disappropriating projects or

<sup>10</sup> This plan was updated by Junko Keesecker on 10/24/2017.

**Wastewater Enterprise Financial Plan**  
**Wastewater Enterprise Financial Plan / 4611, 3611, 8920**

Category	2015/2016 Actuals <sup>1</sup>	2017/2018 Budget <sup>2</sup>	2017/2018 Current Budget <sup>3</sup>	2017/2018 Biennial to 9/30/2017 <sup>4</sup>	2017/2018 Estimated <sup>5</sup>	2019/2020 Projected <sup>5</sup>	2021/2022 Projected <sup>5</sup>
<b>Beginning Fund Balance</b>	<b>\$ 294,583,592</b>	<b>\$ 493,184,762</b>	<b>\$ 493,184,762</b>	<b>\$ 493,184,762</b>	<b>493,184,762</b>	<b>394,562,646</b>	<b>368,033,325</b>
<b>Revenue and Financing (inflows)</b>							
Fees and other income	909,947,177	965,006,768	965,006,768	369,428,141	980,821,000	1,042,113,675	1,105,261,065
Bond Proceeds	121,900,000	55,989,283	55,989,283	0	50,011,185	115,531,828	243,495,650
Loans and Grants; Brightwater Settlement (after 2019)	71,481,219	29,633,508	29,633,508	26,470,917	58,071,000	97,626,000	49,313,000
Investment Income	7,313,000	6,115,086	6,115,086	3,593,792	11,760,644	16,893,144	19,130,218
<b>Total Revenues</b>	<b>1,110,641,396</b>	<b>1,056,744,645</b>	<b>1,056,744,645</b>	<b>399,492,850</b>	<b>1,100,663,829</b>	<b>1,272,164,646</b>	<b>1,417,199,933</b>
<b>Expenditures and Debt Service (outflows)</b>							
Operating	(265,247,000)	(301,489,000)	(305,146,000)	(113,127,988)	(307,146,000)	(329,376,278)	(357,127,383)
Debt Service Parity Debt	(328,650,749)	(325,036,450)	(325,036,450)	(120,415,885)	(315,502,675)	(330,897,095)	(359,666,634)
Debt Service Parity Lien Obligations	(95,228,385)	(108,423,994)	(108,423,994)	(39,886,181)	(104,503,869)	(100,444,394)	(104,180,544)
Subordinate Debt Service	(40,631,778)	(102,596,076)	(102,596,076)	(24,025,946)	(81,875,193)	(104,135,474)	(103,861,163)
Debt issuance costs	(769,318)	(266,686)	(266,686)	-	(250,056)	(2,237,559)	(4,606,900)
Capital Expenditures (at 85% accomplishment rate)	(320,328,000)	(349,378,000)	(373,912,432)	(93,393,982)	(377,589,534)	(460,462,392)	(480,514,469)
Adjustments <sup>6</sup>	9,236,482	31,823,445	31,823,445	-	24,831,679	28,859,224	24,099,428
<b>Total Expenditures</b>	<b>(1,041,618,748)</b>	<b>(1,155,366,761)</b>	<b>(1,183,558,193)</b>	<b>(390,849,982)</b>	<b>(1,162,035,647)</b>	<b>(1,298,693,967)</b>	<b>(1,385,857,665)</b>
<b>Estimated Under (Over) expenditures</b>	<b>69,022,648</b>	<b>(98,622,116)</b>	<b>(126,813,548)</b>	<b>8,642,868</b>	<b>(61,371,818)</b>	<b>(26,529,321)</b>	<b>31,342,268</b>
<b>Other Fund Transactions</b>							
Brightwater Settlement	129,578,522				15,360,657		
<b>Total Other Fund Transactions</b>							
<b>Ending Fund Balance</b>	<b>493,184,762</b>	<b>394,562,646</b>	<b>366,371,214</b>	<b>501,827,630</b>	<b>447,173,601</b>	<b>368,033,325</b>	<b>399,375,593</b>
<b>Reserves</b>							
Expenditure Reserves							
Emergency Capital Reserve <sup>7</sup>	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000
Construction Cash Balance Reserve <sup>8</sup>	92,978,519	5,689,627	5,689,627	73,174,917	35,070,390	5,000,000	5,000,000
Brightwater Settlement <sup>9</sup>	129,578,522		-	144,939,179	144,939,179	48,313,000	-
Cash Flow Reserves							
Liquidity Reserve <sup>10</sup>	13,632,100	15,310,000	15,310,000	13,632,053	15,524,900	16,791,732	18,249,337
Mandated Reserves							
Bond Reserve <sup>11</sup>	160,491,113	143,428,000	143,428,000	150,491,113	140,491,113	139,110,924	155,845,236
State Loan Reserve <sup>12</sup>	10,351,641	13,816,448	13,816,448	11,058,307	12,872,313	13,665,565	12,898,200
Rate Stabilization Reserve <sup>13</sup>	46,250,000	43,750,000	43,750,000	46,250,000	46,250,000	46,250,000	46,250,000
<b>Total Cash Reserves</b>	<b>468,281,894</b>	<b>236,994,075</b>	<b>236,994,075</b>	<b>454,545,569</b>	<b>410,147,894</b>	<b>284,131,221</b>	<b>253,242,772</b>
<b>Undesignated Balances</b>	<b>24,902,868</b>	<b>157,568,571</b>	<b>129,377,139</b>	<b>47,282,061</b>	<b>37,025,707</b>	<b>83,902,104</b>	<b>146,132,821</b>
<b>Other Items</b>	<b>2016 DSC</b>	<b>2018 DSC</b>			<b>2018 DSC</b>	<b>2020 DSC</b>	<b>2022 DSC</b>
Debt Service Coverage Ratio Parity Debt	2.07	2.17			2.17	2.17	2.11
Debt Service Coverage Ratio Total Payments	1.40	1.40			1.40	1.43	1.40
Operating Transfers to Capital	163,009,961	168,332,000	164,952,812	34,000,000	197,158,014	218,885,345	208,279,342

**Financial Plan Notes**

- 2015/2016 based on 2015 and 2016 audit results.
- 2017-2018 Adopted Budget is based on ordinance 18409.
- 2017/2018 budget amended for North Creek supplemental of \$24,534,432. Operating expenses includes \$3,379,188 carryforward for unspent Waterworks grants approved in 2015-2016 budget.
- Year-to-date through September 30, 2017. 2017 Operating Expenses exclude \$17.1 million of West Point remediation costs. Debt service expenditures based on cash transfers between 4611 and 8920.
- Estimated and projected results through 2022 based on the 2018 Adopted Sewer Rate Plan, updated for savings from 2017 LTGO refunding and with use of Brightwater settlement to fund CIP in 2019-2021.
- Adjustment to variable rate interest expense for the Operating Transfers to Capital. Other adjustments for 2016 audit results.
- Emergency Capital Reserve authorized by Motion 13798 of December 11, 2012. To be used for unanticipated system repairs or equipment replacement in the event of a natural disaster or some unforeseen system failure.
- Equals ending cash balances in Funds 3611 and 4611, with adjustments, plus \$5 million of the Liquidity Reserve.
- Represents final 2017 & 2017 State Supreme Court rulings in favor of King County, allowing Brightwater settlement to be brought into the capital program. WTD will include use of the settlement to fund its capital program in the 2019 rate proposal.
- 15% of operating expenses as authorized by Motion 13798 of December 11, 2012, less \$5.0 million portion included in Construction Fund.
- Parity Bond Reserve under Ordinance 18116 of September 21, 2015.
- Reserves required by loan agreements with the Dept. of Ecology. See Ordinances 18020 and 18541.
- As established by Ordinance 12314, Section 13.D.

Prepared by Dan Kaplan on 10/20/2017. Revised by DK, 10/30/2017

**Financial Plan Third Quarter 2017 Monitoring as of September 30, 2017**  
**CAPITAL EQUIPMENT RECOVERY FUND / Fund 000003810**

**Capital Improvement Program (CIP) Budget**

	2015-2016 Carryforward (YE ITD Balance) <sup>1</sup>	2017-2018 Current Budget <sup>2</sup>	2017-2018 Total (Balance + Budget)	2019-2020 Estimated <sup>3</sup>	2021-2022 Estimated <sup>3</sup>
<b>Capital Budget Revenue Sources:</b>					
Revenue Backing from Fund Balance	7,718,754	-	7,718,754	-	-
Transfer from Solid Waste Operating Fund 000004040	-	13,800,000	13,800,000	14,827,600	11,284,715
Sale of Surplus Equipment	-	1,738,500	1,738,500	1,528,900	950,285
Interest Revenue	-	261,500	261,500	443,500	-
Other Revenue	-	-	-	-	-
<b>Total Capital Revenue</b>	<b>\$ 7,718,754</b>	<b>\$ 15,800,000</b>	<b>\$ 23,518,754</b>	<b>\$ 16,800,000</b>	<b>\$ 12,235,000</b>
<b>Capital Appropriation:</b>					
SW CERP REPAIRS	(1,270,154)	(2,400,000)	(3,670,154)	(3,400,000)	(3,400,000)
SW CERP EQUIPMENT REPLACEMENT	(6,448,600)	(13,400,000)	(19,848,600)	(13,400,000)	(8,835,000)
Other Capital Projects	-	-	-	-	-
<b>Total Capital Appropriation</b>	<b>\$ (7,718,754)</b>	<b>\$ (15,800,000)</b>	<b>\$ (23,518,754)</b>	<b>\$ (16,800,000)</b>	<b>\$ (12,235,000)</b>

**CIP Fund Financial Position**

	2015-2016 Actuals <sup>4</sup>	2017-2018 Estimated at Budget Development <sup>5</sup>	2017-2018 Biennial to Date Actual <sup>6</sup>	2017-2018 Estimated <sup>7</sup>	2019-2020 Estimated <sup>8</sup>	2021-2022 Estimated <sup>8</sup>
<b>Beginning Fund Balance</b>	<b>18,443,525</b>	<b>17,892,730</b>	<b>17,901,619</b>	<b>17,901,619</b>	<b>16,537,451</b>	<b>13,620,851</b>
<b>Capital Funding Sources</b>						
Revenue Backing From Fund Balance	-	-	-	-	-	-
Transfer from Solid Waste Operating Fund	6,999,984	13,800,000	90,000	13,800,000	13,800,000	12,600,000
Sale of Surplus Equipment	477,912	1,738,500	-	1,738,500	1,528,900	950,285
Interest Revenue	232,244	300,000	123,285	300,000	443,500	622,332
Other Revenue	472,238	-	-	-	-	-
<b>Total Capital Revenue</b>	<b>\$ 8,182,378</b>	<b>\$ 15,838,500</b>	<b>\$ 213,285</b>	<b>\$ 15,838,500</b>	<b>\$ 15,772,400</b>	<b>\$ 14,172,617</b>
<b>Capital Expenditures</b>						
SW CERP REPAIRS	(1,188,634)	(3,400,000)	-	(2,266,666)	(3,400,000)	(3,400,000)
SW CERP EQUIPMENT REPLACEMENT	(7,482,920)	(17,385,000)	(3,560,746)	(14,936,002)	(15,289,000)	(9,502,850)
Other Capital Projects	-	-	(383)	-	-	-
<b>Total Capital Expenditures</b>	<b>\$ (8,671,554)</b>	<b>\$ (20,785,000)</b>	<b>\$ (3,561,129)</b>	<b>\$ (17,202,668)</b>	<b>\$ (18,689,000)</b>	<b>\$ (12,902,850)</b>
<b>Other Fund Transactions<sup>9</sup></b>						
Accounting Adjustments	(52,730)	-	-	-	-	-
<b>Ending Fund Balance</b>	<b>\$ 17,901,619</b>	<b>\$ 12,946,230</b>	<b>\$ 14,553,775</b>	<b>\$ 16,537,451</b>	<b>\$ 13,620,851</b>	<b>\$ 14,890,618</b>
<b>Fund Balance designated to current projects<sup>10</sup></b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Reserves<sup>11</sup></b>						
Rainy Day Reserve (15% of rolling stock value)	(9,556,515)	(10,052,050)	-	(10,052,050)	(10,636,262)	(11,294,969)
Cash Flow	-	-	-	-	-	-
<b>Total Reserves</b>	<b>\$ (9,556,515)</b>	<b>\$ (10,052,050)</b>	<b>\$ -</b>	<b>\$ (10,052,050)</b>	<b>\$ (10,636,262)</b>	<b>\$ (11,294,969)</b>
Projected Shortfall	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>\$ 8,345,104</b>	<b>\$ 2,894,180</b>	<b>\$ 14,553,775</b>	<b>\$ 6,485,401</b>	<b>\$ 2,984,589</b>	<b>\$ 3,595,649</b>

**Financial Plan Notes (samples below)**

<sup>1</sup> 2015-2016 Carryover reflects estimates of year end inception to date appropriation balances or actual balance as reported by the PA\_103 report.

<sup>2</sup> 2017-2018 Current Budget is aligned with 2017-2018 adopted and proposed supplementals.

<sup>3</sup> Outyear budget estimates are consistent with Attachment A out years estimates with exceptions noted.

<sup>4</sup> 2015-2016 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30. The beginning and ending fund balance are consistent with the fund balance reported by FBOD.

<sup>5</sup> 2017-2018 Estimated at Budget Development reflects estimates reported in the 2017-2018 Adopted Financial Plan.

<sup>6</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30.

<sup>7</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 09/30/2017.

<sup>8</sup> Outyear revenue and spending estimates are based on current revenue estimates and project spending plans.

<sup>9</sup> Other fund transactions include accounting adjustments to balance to budgetary fund balance.

<sup>10</sup> Fund balance designated to current projects is for projects that are already appropriated. This fund balance cannot be used for other projects without disappropriating projects or creating a shortfall in the fund.

<sup>11</sup> Rainy Day Reserve protects the fund against unanticipated asset retirements and is set at 15% of the replacement value of the rolling stock in the program.

This plan was updated by Ester Abenojar on 10/23/2017.



**Financial Plan Third Quarter 2017 Monitoring as of September 30, 2017**  
**Solid Waste Construction Fund / 000003901**

**Capital Improvement Program (CIP) Budget**

	2015-2016 Carryforward (YE ITD Balance) <sup>1</sup>	2017-2018 Current Budget <sup>2</sup>	2017-2018 Total (Balance + Budget)	2019-2020 Estimated <sup>3</sup>	2021-2022 Estimated <sup>3</sup>
<b>Capital Budget Revenue Sources:</b>					
Revenue Backing from Fund Balance	16,056,078	1,510,442	17,566,520	-	-
Prior Bond Proceeds	30,000,000		30,000,000	-	-
New Bond Proceeds				80,000,000	67,000,000
Transfer From Solid Waste Opt. Fund 4040	2,000,000	-	2,000,000	3,356,346	272,661
Surplus Property Sale <sup>12</sup>	-	3,550,357	3,550,357	-	-
Other Revenue	-	160,000	160,000	-	-
<b>Total Capital Revenue</b>	<b>\$ 48,056,078</b>	<b>\$ 5,220,799</b>	<b>\$ 53,276,877</b>	<b>\$ 83,356,346</b>	<b>\$ 67,272,661</b>
<b>Capital Appropriation:</b>					
SW Bow Lake Recycling and TS	(4,565,963)		(4,565,963)	-	-
SW Factoria Recycling and TS	(11,470,817)	-	(11,470,817)	(188,657)	-
SW Northeast Recycling & TS	(149,044)	-	(149,044)	(37,864,698)	(8,929,516)
SW South County Recycling & TS	(15,376,958)	-	(15,376,958)	(40,595,187)	(55,844,578)
Other Capital Projects	(16,493,296)	(5,220,799)	(21,714,095)	(4,707,804)	(4,498,567)
<b>Total Capital Appropriation</b>	<b>\$ (48,056,078)</b>	<b>\$ (5,220,799)</b>	<b>\$ (53,276,877)</b>	<b>\$ (83,356,346)</b>	<b>\$ (69,272,661)</b>

**CIP Fund Financial Position**

	2015-2016 Actuals <sup>4</sup>	2017-2018 Estimated at Budget Development <sup>5</sup>	2017-2018 Biennial to Date Actual <sup>6</sup>	2017-2018 Estimated <sup>7</sup>	2019-2020 Estimated <sup>8</sup>	2021-2022 Estimated <sup>8</sup>
<b>Beginning Fund Balance</b>	<b>14,117,116</b>	<b>1,617,074</b>	<b>(3,706,919)</b>	<b>(3,706,919)</b>	<b>11,358,765</b>	<b>12,162,419</b>
<b>Capital Funding Sources</b>						
Revenue Backing from Fund Balance	-	-	-	-	-	-
Bond Proceeds	40,002,986	30,000,000	35,456,116	35,456,116	80,000,000	67,000,000
Transfer From Solid Waste Opt. Fund 4040 or						
Surplus Property Sale	2,000,000	8,000,000		8,000,000	4,000,000	4,000,000
Other Revenue	149,106	160,000	96,774	160,000	160,000	160,000
<b>Total Capital Revenue</b>	<b>\$ 42,152,092</b>	<b>\$ 38,160,000</b>	<b>\$ 35,552,890</b>	<b>\$ 43,616,116</b>	<b>\$ 84,160,000</b>	<b>\$ 71,160,000</b>
<b>Capital Expenditures</b>						
SW Bow Lake Recycling and TS	(536,254)	-	-	-	-	-
SW Factoria Recycling and TS	(53,583,864)	(522,725)	(7,281,549)	(11,972,871)	(188,657)	-
SW Northeast Recycling & TS	(124,083)	-	(7,665)	(7,665)	(37,864,698)	(8,929,516)
SW South County Recycling & TS	(1,061,169)	(8,784,733)	(208,684)	(7,279,870)	(40,595,187)	(55,844,578)
Other Capital Projects	(4,675,457)	(8,353,594)	(1,197,689)	(9,290,026)	(4,707,804)	(4,498,567)
<b>Total Capital Expenditures</b>	<b>\$ (59,980,827)</b>	<b>\$ (17,661,052)</b>	<b>\$ (8,695,587)</b>	<b>\$ (28,550,432)</b>	<b>\$ (83,356,346)</b>	<b>\$ (69,272,661)</b>
<b>Other Fund Transactions<sup>9</sup></b>						
Accounting Adjustment	4,700	-	-	-	-	-
<b>Ending Fund Balance</b>	<b>\$ (3,706,919)</b>	<b>\$ 22,116,022</b>	<b>\$ 23,150,384</b>	<b>\$ 11,358,765</b>	<b>\$ 12,162,419</b>	<b>\$ 14,049,758</b>
<b>Fund Balance designated to current projects<sup>10</sup></b>	<b>\$ -</b>	<b>\$ (22,116,022)</b>	<b>\$ (23,150,415)</b>	<b>\$ (11,358,796)</b>	<b>\$ (12,162,450)</b>	<b>\$ (14,049,789)</b>
<b>Reserves<sup>11</sup></b>						
Grant Contingency						
Cash Flow						
<b>Total Reserves</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Projected Shortfall	-	-	31	31	31	31
<b>Ending Undesignated Fund Balance</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**Financial Plan Notes (samples below)**

<sup>1</sup> 2015-2016 Carryover reflects estimates of year end inception to date appropriation balances or actual balance as reported by the PA\_103 report.

<sup>2</sup> 2017-2018 Current Budget is aligned with 2017-2018 adopted and proposed supplementals if applicable.

<sup>3</sup> Outyear budget estimates are consistent with Attachment A out years estimates with exceptions noted.

<sup>4</sup> 2015-2016 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30. The beginning and ending fund balance are consistent with the fund balance reported by FBOD.

<sup>5</sup> 2017-2018 Estimated at Budget Development reflects estimates reported in the 2017-2018 Adopted Financial Plan.

<sup>6</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30.

<sup>7</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 04/30/2017 also uses bond funds from 2017 LTGO Bond/3908. 2014 LTGO Bond/3906 and 2015 LTGO Bond/3907 funds were shuttered and balances zeroed out.

<sup>8</sup> Outyear revenue and spending estimates are based on current revenue estimates and project spending plans.

<sup>9</sup> Other fund transactions include accounting adjustments to balance to budgetary fund balance to FBOD report.

<sup>10</sup> Fund balance designated to current projects is for projects that are already appropriated. This fund balance cannot be used for other projects without disappropriating projects or creating a shortfall in the fund.

<sup>11</sup> Provide a footnote that describes each reserve.

<sup>12</sup> Represents construction staging property adjacent to the Factoria Recycling and Transfer Station which will become surplus following completion of constructions activities at the site.

<sup>13</sup> This plan was update by Ester Abenojar on 10/23/2017.



**Financial Plan Third Quarter 2017 Monitoring as of September 30, 2017**  
**LANDFILL RESERVE FUND /00003910**

<b>Capital Improvement Program (CIP) Budget</b>					
	<b>Carryforward (YE ITD Balance)<sup>1</sup></b>	<b>2017-2018 Current Budget<sup>2</sup></b>	<b>2017-2018 Total (Balance + Budget)</b>	<b>2019-2020 Estimated<sup>3</sup></b>	<b>2021-2022 Estimated<sup>3</sup></b>
<b>Capital Budget Revenue Sources:</b>					
Revenue Backing from Fund Balance	8,276,880	9,188,608	17,465,488	-	-
Transfer From Solid Waste Opt. Fund 4040	19,173,775	40,575,498	59,749,273	30,894,013	23,123,985
Interest Revenue	-	-	-	-	-
Other Revenue	-	-	-	-	-
<b>Total Capital Revenue</b>	<b>\$ 27,450,655</b>	<b>\$ 49,764,106</b>	<b>\$ 77,214,761</b>	<b>\$ 30,894,013</b>	<b>\$ 23,123,985</b>
<b>Capital Appropriation:</b>					
SW A8 DEV/FACILITY RELOCATION	(5,696,110)	(37,046,951)	(42,743,061)	(4,825,014)	-
SW CH AREA 6 CLOSURE	(8,387,470)		(8,387,470)		
SW CH AREA 7 CLOSURE	(5,071,896)	(7,035,071)	(12,106,967)	(19,176,572)	(528,428)
SW CH AREA 8 CLOSURE			-	(1,071,233)	(15,362,727)
SW CH LFG PIPELINE UPGRADE	(1,048,401)		(1,048,401)		
Other Capital Projects	(7,246,778)	(5,682,084)	(12,928,862)	(5,821,194)	(7,232,830)
<b>Total Capital Appropriation</b>	<b>\$ (27,450,655)</b>	<b>\$ (49,764,106)</b>	<b>\$ (77,214,761)</b>	<b>\$ (30,894,013)</b>	<b>\$ (23,123,985)</b>

<b>CIP Fund Financial Position</b>						
	<b>2015-2016 Actuals<sup>4</sup></b>	<b>2017-2018 Estimated at Budget Development<sup>5</sup></b>	<b>2017-2018 Biennial to Date Actual<sup>6</sup></b>	<b>2017-2018 Estimated<sup>7</sup></b>	<b>2019-2020 Estimated<sup>8</sup></b>	<b>2021-2022 Estimated<sup>8</sup></b>
<b>Beginning Fund Balance</b>	<b>47,100,369</b>	<b>37,988,303</b>	<b>38,334,704</b>	<b>38,334,704</b>	<b>23,374,062</b>	<b>26,780,048</b>
<b>Capital Funding Sources</b>						
Revenue Backing from Fund Balance	-	-	-	-	-	-
Transfer From Solid Waste Opt. Fund 4040	27,914,020	40,578,496	8,260,474	41,902,750	34,000,000	38,840,000
Interest Revenue	600,481	300,000	303,904	300,000	300,000	300,000
Other Revenue	39,201	-	-	-	-	-
<b>Total Capital Revenue</b>	<b>\$ 28,553,702</b>	<b>\$ 40,878,496</b>	<b>\$ 8,564,377</b>	<b>\$ 42,202,750</b>	<b>\$ 34,300,000</b>	<b>\$ 39,140,000</b>
<b>Capital Expenditures</b>						
SW A8 DEV/FACILITY RELOCATION	(24,006,658)	(40,740,092)	(14,084,105)	(40,236,596)	(4,825,014)	-
SW CH AREA 6 CLOSURE	(11,215)					
SW CH AREA 7 CLOSURE	(4,518,487)	(12,124,054)	(2,219,588)	(10,070,204)	(19,176,572)	(528,428)
SW CH AREA 8 CLOSURE		-			(1,071,233)	(15,362,727)
SW CH LFG PIPELINE UPGRADE	(4,585,486)		(1,288,281)	(1,300,000)		
Other Capital Projects	(4,110,943)	(9,216,399)	(1,784,822)	(5,556,592)	(5,821,194)	(7,232,830)
<b>Total Capital Expenditures</b>	<b>\$ (37,232,789)</b>	<b>\$ (62,080,545)</b>	<b>\$ (19,376,796)</b>	<b>\$ (57,163,392)</b>	<b>\$ (30,894,014)</b>	<b>\$ (23,123,985)</b>
<b>Other Fund Transactions<sup>9</sup></b>						
Accounting Adjustments	(86,578)	-	-	-		
<b>Ending Fund Balance</b>	<b>\$ 38,334,704</b>	<b>\$ 16,786,254</b>	<b>\$ 27,522,285</b>	<b>\$ 23,374,062</b>	<b>\$ 26,780,048</b>	<b>\$ 42,796,064</b>
<b>Fund Balance designated to current projects<sup>10</sup></b>	<b>\$ (38,334,705)</b>	<b>\$ (16,786,254)</b>	<b>\$ (27,522,285)</b>	<b>\$ (22,049,808)</b>	<b>\$ (25,455,794)</b>	<b>\$ (41,471,810)</b>
<b>Reserves<sup>11</sup></b>						
Grant Contingency						
Cash Flow						
<b>Total Reserves</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
Projected Shortfall	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 0</b>	<b>\$ 1,324,254</b>	<b>\$ 1,324,254</b>	<b>\$ 1,324,254</b>

**Financial Plan Notes (samples below)**

<sup>1</sup> 2015-2016 Carryover reflects estimates of year end inception to date appropriation balances or actual balance as reported by the PA\_103 report.

<sup>2</sup> 2017-2018 Current Budget is aligned with 2017-2018 adopted and proposed supplementals if applicable.

<sup>3</sup> Outyear budget estimates are consistent with Attachment A out years estimates with exceptions noted.

<sup>4</sup> 2015-2016 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30. The beginning and ending fund balance are consistent with the fund balance reported by FBOD.

<sup>5</sup> 2017-2018 Estimated at Budget Development reflects estimates reported in the 2017-2018 Adopted Financial Plan.

<sup>6</sup> 2017-2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 09/30/2017, using EBS report GL\_30.

<sup>7</sup> 2017-2018 Estimated reflects updated revenue and expenditure estimates as of 04/30/2017.

<sup>8</sup> Outyear revenue and spending estimates are based on current revenue estimates and project spending plans.

<sup>9</sup> Other fund transactions include accounting adjustments to balance to budgetary fund balance to FBOD report.

<sup>10</sup> Fund balance designated to current projects is for projects that are already appropriated. This fund balance cannot be used for other projects without disappropriating projects or creating a shortfall in the fund.

<sup>11</sup> There are no reserves in this fund

This plan was update by Ester Abenojar on 10/17/2017.

**Solid Waste Division, Quarter 3, 2017 Financial Plan for Monitoring**  
**Solid Waste Division /000004040**

Category	2015/2016 Actuals <sup>1</sup>	2017/2018 Adopted Budget <sup>2</sup>	2017/2018 Current Budget <sup>3</sup>	2017/2018 Biennial-to-Date Actuals <sup>4</sup>	2017/2018 Estimated <sup>5</sup>	2019/2020 Projected <sup>6</sup>	2021/2022 Projected <sup>6</sup>
<b>Beginning Fund Balance</b>	<b>35,489,614</b>	<b>41,540,411</b>	<b>49,361,650</b>	<b>49,361,650</b>	<b>49,361,650</b>	<b>39,505,385</b>	<b>27,963,862</b>
<b>Revenues</b>							
Disposal Fees	221,259,463	229,867,111	229,867,111	99,814,812	247,282,581	254,609,512	284,517,094
Moderate Risk Waste (MRW)	6,287,790	8,072,119	8,072,119	1,274,992	7,323,656	8,103,020	8,430,000
Recycling Revenues (excluding MRW)	572,340	720,000	720,000	253,915	686,043	-	-
Grants	725,796	677,000	677,000	30,001	357,301	426,000	435,043
Landfill Gas to Energy	5,537,033	2,000,000	2,000,000	1,898,764	3,800,000	2,000,000	2,000,000
Harbor Island Rental Income	1,370,438	1,190,456	1,190,456	296,780	889,968	1,251,566	1,309,470
Construction and Demolition	1,425,062	1,373,352	1,373,352	490,386	1,314,916	1,373,352	1,392,314
SWD - One-Time Revenues (Sale of Land)	-	8,000,000	8,000,000	-	8,000,000	-	-
SWD Other Revenues	822,070	733,657	733,657	436,229	1,110,300	1,198,543	1,387,541
DNR Administration (0381)	13,403,450	16,308,592	16,308,592	5,711,399	16,308,592	17,254,490	18,324,269
<b>Total Revenues</b>	<b>251,403,442</b>	<b>268,942,287</b>	<b>268,942,287</b>	<b>110,207,278</b>	<b>287,073,357</b>	<b>286,216,483</b>	<b>317,795,730</b>
<b>Expenditures</b>							
SWD Operating Expenditures	(159,617,185)	(177,755,947)	(177,451,947)	(63,073,206)	(182,880,182)	(188,277,360)	(199,574,622)
Landfill Reserve Fund Transfer <sup>7</sup>	(27,914,020)	(40,578,496)	(40,578,496)	(8,260,474)	(41,902,750)	(34,000,000)	(38,840,000)
Capital & Equipment Replacement Fund (CERP)	(6,999,984)	(13,800,000)	(13,800,000)	(90,000)	(13,800,000)	(13,800,000)	(12,600,000)
Debt Service	(20,562,600)	(26,327,000)	(26,327,000)	(3,077,313)	(25,570,692)	(35,261,482)	(38,417,491)
Construction Fund Transfer	(2,000,000)	(8,000,000)	(8,000,000)	-	(8,000,000)	(4,000,000)	(4,000,000)
Landfill Post-Closure Maint. Fund Transfer	-	(2,450,000)	(2,450,000)	-	(2,450,000)	(2,589,650)	(2,745,029)
Cedar Hills Landfill Rent	(6,075,785)	(5,989,000)	(5,989,000)	(2,238,448)	(5,989,000)	(6,170,000)	(6,357,000)
DNR Administration (0381)	(13,343,925)	(16,308,583)	(16,336,998)	(5,768,446)	(16,336,998)	(17,254,481)	(17,772,115)
<b>Total Expenditures</b>	<b>(236,513,498)</b>	<b>(291,209,026)</b>	<b>(290,933,441)</b>	<b>(82,507,887)</b>	<b>(296,929,622)</b>	<b>(301,352,973)</b>	<b>(320,306,257)</b>
<b>Estimated Underexpenditures <sup>8</sup></b>		<b>3,373,777</b>	<b>3,374,057</b>			<b>3,594,967</b>	<b>3,814,192</b>
<b>Other Fund Transactions <sup>9</sup></b>							
GAAP adjustments	(1,017,908)	-	-	-	-	-	-
<b>Total Other Fund Transactions</b>	<b>(1,017,908)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Ending Fund Balance</b>	<b>49,361,650</b>	<b>22,647,449</b>	<b>30,744,552</b>	<b>77,061,041</b>	<b>39,505,385</b>	<b>27,963,862</b>	<b>29,267,526</b>
<b>Reserves <sup>10</sup></b>							
Expenditure Reserve (s)	(10,000,000)	-	-	-	-	-	-
Cash Flow Reserve(s)	-	-	-	-	-	-	-
Rate Stabilization Reserve(s)	(23,962,368)	(14,346,086)	(22,405,029)	-	(30,943,105)	(18,806,119)	(19,521,566)
Rainy Day Reserve (30 days)	(7,395,936)	(8,301,363)	(8,288,870)	-	(8,511,625)	(9,107,089)	(9,695,306)
<b>Total Reserves</b>	<b>(41,358,304)</b>	<b>(22,647,449)</b>	<b>(30,693,899)</b>	<b>-</b>	<b>(39,454,730)</b>	<b>(27,913,208)</b>	<b>(29,216,872)</b>
Reserve Shortfall	-	-	-	-	-	-	-
<b>Ending Undesignated Fund Balance <sup>11</sup></b>	<b>8,003,346</b>	<b>-</b>	<b>50,654</b>	<b>77,061,041</b>	<b>50,654</b>	<b>50,654</b>	<b>50,654</b>

**Financial Plan Notes (samples below)**

<sup>1</sup> 2015/2016 Actuals reflect year end information from EBS and are consistent with the Budgetary Fund Balance figures published by FBOD.

<sup>2</sup> 2017/2018 Adopted Budget is based on ordinance 18409.

<sup>3</sup> 2017/2018 Current Budget includes supplemental appropriations from Council adopted ordinances 18544 and 18577.

<sup>4</sup> 2017/2018 Biennial-to-Date Actuals reflects actual revenues and expenditures as of 10/11/2017, using EBS report GL010.

<sup>5</sup> 2017/2018 Estimates calculated using the division's trend model which uses prior biennium financial data to predict current biennia results.

<sup>6</sup> Outyear projections were based on recent estimates from the division. Projected rates are: \$134.59/ton in 2017/2018, \$141.66/ton in 2019/2020 \$147.33/ton in 2021/22. Revenue is based on disposal tonnage of: 881,525 in 2015; 922,003 in 2016; and, forecast of 850,967 in 2017; 855,259 in 2018; 878,200 in 2019; 916,940 in 2020; 948,237 in 2021; and 980,775 in 2022.

<sup>7</sup> Includes a one-time transfer of \$10M included in the 2017-2018 budget to transfer one-time Shoreline revenues to the Cedar Hills Landfill post-closure account in the Landfill Reserve Fund

<sup>8</sup> Underexpenditures calculated based on 2% of the Solid Waste Division's operating expenditures excluding grant-funded expenditures.

<sup>9</sup> Other fund transactions include accounting adjustments to balance to budgetary fund balance.

<sup>10</sup> The Rainy Day Reserve balance will remain above the 30 day cash reserve policy as agreed on (select SWD operating expenditures \* 30/360)

<sup>11</sup> The rate stabilization Reserves will be reflected in future financial plans and will effectively negate any Ending Undesignated Fund Balance.

This plan was updated by Lisa Brandt on 10/20/2017.

## Public Health Donations Report

The below report contains donations received by Public Health, Seattle & King County, during 2017 Q3, per the K.C.C 4A.100.100.

*D.1.g. list all new donations to the department of public health of two thousand dollars or more, as described in K.C.C. 2.35A.200, including the name of the person making the donation, the amount of the donation, and the public health purpose for which it is intended to be expended. In any case where the donation originates from social media activity such as crowdsourcing, the list shall include the name of the person sponsoring this activity.*

N/A – Nothing to Report

Division	Program	Date Received	Amount	Purpose	Are Funds Restricted?	Donated by
N/A	N/A	N/A	N/A	N/A	N/A	N/A

Emergent Need Project Information

Agency (Division)	Project Number	Project Name	Current ITD Budget
DES FMD	1124202	BR&R Emergent Need	\$ 55,000

For Standalone Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Adopted ITD Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Revised ITD Budget	Estimate at Completion	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer
DES-FMD	1123609	Chinook HVAC Repair	\$ 339,014	\$ -	\$ 30,000	\$ 369,014	\$ 369,014	8%	No	Decision to upgrade main HVAC building control panel rather than separate add-ons to the main panel.

For Master and Admin Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Balance Carry Over into Biennium	Current Biennial Adopted Budget Plus Supplemental	Total Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Total Revised Budget	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer

Quarterly Budget Management Report:  
Emergent Need Contingency Use

3 Q 2017

(update for current reporting quarter)

Emergent Need Project Information

Agency (Division)	Project Number	Project Name	Current ITD Budget
DES FMD HMC	1117817	Management Reserve	\$ 516,986

For Standalone Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Adopted ITD Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Revised ITD Budget	Estimate at Completion	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer
DES FMD HMC	1117819	Diagnostic Equipment Installation	\$ 3,110,000	0	\$ 100,000	\$ 3,210,000	\$ 3,210,000	3%	No	Unanticipated Additional Project Costs
DES FMD HMC	1122163	8th Avenue Ill and Way	\$ 203,000	0	\$ 12,192	\$ 215,192	\$ 215,192	6%	No	Unanticipated Additional Project Costs
DES FMD HMC	1127438	Clinic Lobby	\$ 320,000	0	\$ 45,245	\$ 365,245	\$ 365,245	12%	No	Unanticipated Additional Project Costs
DES FMD HMC	1046220	Clinic Exam Room	\$ 1,135,007	0	\$ 150,000	\$ 1,285,007	\$ 1,285,007	12%	No	Unanticipated Additional Project Costs
DES FMD HMC	1046232	Condensed Water	\$ 1,460,007	0	\$ 18,409	\$ 1,478,416	\$ 1,478,416	1%	No	Unanticipated Additional Project Costs

For Master and Admin Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Balance Carry Over into Biennium	Current Biennial Adopted Budget Plus Supplemental	Total Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Total Revised Budget	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer

Emergent Need Project Information

Agency (Division)	Project Number	Project Name	Current ITD Budget
Roads - Fund 3865	1129592	Emergent Need Project 3865	\$ 600,000

For Standalone Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Adopted ITD Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Revised ITD Budget	Estimate at Completion	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer
Roads - Fund 3865	1131237	RSD SW 102 ST & AVE SW RNDABT	\$ -	\$ -	\$ 39,822	\$ 39,822	\$ 398,218	10%	No	A standalone was approved as a part of the 1st Omnibus. The use of emergent need reflects the local match of grant award.

For Master and Admin Projects Receiving Emergent Needs Contingency

Agency (Division)	Project Number	Project Name	Balance Carry Over into Biennium	Current Biennial Adopted Budget Plus Supplemental	Total Budget	Previous Emergent Need Requests this Biennium	Current Emergent Need Request	Total Revised Budget	Emergent Need Transfer %*	Emergent Need Letter Required	Reason for Transfer

\*Any projects that have an Emergent Need Transfer % of 15% or greater must submit an Emergent Need Notification Letter.

Grant Contingency Project Information

Agency (Division)	Project Number	Project Name	Beginning Quarter ITD Budget	Undesignated Ending Quarter ITD Budget
Roads - Fund 3865	1129593	RSD GRANT CONTINGENCY 3865	\$ 5,000,000	\$ 4,641,604

For Existing Projects Receiving Grant Contingency

Agency (Division)	Project Number	Project Name	Adopted ITD Budget	Current Grant Contingency Request	Revised ITD Budget	Estimate at Completion	Was this grant identified in your CAP? (Yes or No)	KC Percentage Match	Reason for Transfer
Roads - Fund 3865	1131237	RSD SW 102 ST&8 AVE SW RNDABT	\$ -	\$ 358,396	\$ 398,218	\$ -	Yes	10%	A standalone was approved as a part of the 1st Omnibus. The use of grant contingency reflects the grant awarded.

For New Projects Receiving Grant Contingency

Agency (Division)	Project Number	Project Name	Proposed Contingency Transfer	Estimate at Completion	Was this grant identified in your CAP? (Yes or No)	KC Percentage Match	Scope	Reason for Transfer

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

**1130085 DES FMD REPLACE KCCH ELECTRICAL DISTRUBUTION/RESPOND TO LIFE SAFETY EMERGRNCY (DES FMD KCCH ELECTRICAL DISTR)**  
STANDALONE

Target Baseline Date	11/22/2016
Actual Baseline Date	01/23/2017
Council District(s)	8
Department	EXECUTIVE SERVICES
Agency	Facilities Mgmt
Contact	David Brossard
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by KC\brossd on 10/18/2017 10:21:16 AM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	8/23/2016	10/31/2016	Completed	\$18,334	\$30,897	\$119,765
2 Preliminary Design	8/23/2016	10/31/2016	Completed	\$42,933	\$42,933	\$350,863
3 Final Design	10/11/2016	11/22/2016	Completed	\$286,278	\$292,921	\$801,142
4 Implementation	11/22/2016	9/13/2017	In Progress	\$5,324,567	\$3,761,102	\$10,275,350
5 Closeout	9/14/2017	11/14/2017	Not Started	\$88,033	\$0	\$82,867
6 Acquisition			Not Started	\$0	\$0	\$0
<b>Total</b>				<b>\$5,760,145</b>	<b>\$4,127,853</b>	<b>\$11,629,987</b>

Current Substantial Completion 9/18/2017

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	8/23/2016	10/31/2016	\$119,765
2 Preliminary Design	8/23/2016	10/31/2016	\$350,863
3 Final Design	10/11/2016	11/22/2016	\$801,142
4 Implementation	11/22/2016	9/13/2017	\$10,275,350
5 Closeout	9/14/2017	11/14/2017	\$82,867
6 Acquisition			\$0
<b>Total</b>			<b>\$11,629,987</b>

Baseline Substantial Completion 9/18/2017

Scope



Green



# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

**1130085 DES FMD REPLACE KCCH ELECTRICAL DISTRUBUTION/RESPOND TO LIFE SAFETY EMERGRNCY (DES FMD KCCH ELECTRICAL DISTR)**  
**STANDALONE**

## Scope Variance Comment

### Current Scope

Implement replacement of entire 480 volt electrical bus duct including transformers bus plugs and disconnects, creating new electrical rooms stacked vertically through the building, provide access to electrical RM E213A, conduct arc flash assessment and labeling program throughout electrical system, install 3 plumbing chase work platforms. Includes all associated architectural, mechanical and plumbing work.

### Baseline Scope

Implement replacement of entire 480 volt electrical buss duct including transformers bus plugs and disconnects, creating new electrical rooms stacked vertically thru the building, provide access to electrical RM E213A, conduct arc flash assessment and labeling program throughout electrical system; install 3 plumbing chase work platforms. Includes all associated architectural mechanical and plumbing work.

## Schedule



Green

## Schedule Variance Comment

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	8/23/2016	10/31/2016	69	8/23/2016	10/31/2016	69	Completed
2 Preliminary Design	8/23/2016	10/31/2016	69	8/23/2016	10/31/2016	69	Completed
3 Final Design	10/11/2016	11/22/2016	42	10/11/2016	11/22/2016	42	Completed
4 Implementation	11/22/2016	9/13/2017	295	11/22/2016	9/13/2017	295	In Progress
5 Closeout	9/14/2017	11/14/2017	61	9/14/2017	11/14/2017	61	Not Started
6 Acquisition							Not Started
Substantial Completion Date	9/18/2017			9/18/2017			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	10/11/2016	9/18/2017	342	0	0.00 %
Current Schedule	10/11/2016	9/18/2017	342		

## Cost



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

**1130085 DES FMD REPLACE KCCH ELECTRICAL DISTRUBUTION/RESPOND TO LIFE SAFETY EMERGRNCY (DES FMD KCCH ELECTRICAL DISTR)  
STANDALONE**

## Cost Variance Comment

### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$119,765	\$30,897	\$18,334	(\$101,431)	-85.00 %
2 Preliminary Design	\$350,863	\$42,933	\$42,933	(\$307,930)	-88.00 %
3 Final Design	\$801,142	\$292,921	\$286,278	(\$514,864)	-64.00 %
4 Implementation	\$10,275,350	\$3,761,102	\$5,324,567	(\$4,950,783)	-48.00 %
5 Closeout	\$82,867	\$0	\$88,033	\$5,166	6.00 %
6 Acquisition	\$0	\$0	\$0	\$0	0.00 %
<b>Total</b>	<b>\$11,629,987</b>	<b>\$4,127,853</b>	<b>\$5,760,145</b>	<b>(\$5,869,842)</b>	<b>-50.47 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Clark Design Group	Design/Engineering	\$569,382	08/18/2016	09/18/2017	0	\$0
Skanska USA Building Inc	Other	\$135,140	09/13/2016	12/12/2016	0	\$0
Skanska USA Building Inc.	Construction	\$7,528,277	12/12/2016	12/12/2017	0	\$0
Glumac (commissioning)	Other	\$2,000	07/10/2017	08/31/2017	0	\$0
	<b>Total</b>	<b>\$8,234,799</b>			<b>0</b>	<b>\$0</b>

### MPA-2. Contract Change Explanation

### MPA-3. Current Quarter's Key Activities

Current activities include replacement of emergency system panelboards. Work is scheduled for substantial completion 10-27-2017.

### MPA-4. Next Quarter's Key Activities

Next quarters key activities include demobilization and close out.

### MPA-5. Closely Monitored Issues & Risk Summary

Closely monitored risk issues are minimal at this time.

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047009 PKS S: SCRT W SIDE TRL SEG B PKS South County Regional Tra

Target Baseline Date	11/05/2013
Actual Baseline Date	11/05/2013
Council District(s)	5
Department	NATURAL RESOURCES AND PARKS
Agency	Parks and Recreation
Contact	Jason Rich
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPlchungm on 10/12/2017 2:59:51 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning			Completed	\$9,554	\$9,554	\$0
2 Preliminary Design	9/24/2010	5/18/2011	Completed	\$544,824	\$371,933	\$0
3 Final Design	5/19/2011	8/2/2015	Completed	\$935,700	\$813,633	\$680,000
4 Implementation	8/3/2015	1/24/2017	Completed	\$6,304,485	\$6,535,750	\$1,698
5 Closeout	1/25/2017	1/24/2018	In Progress	\$33,879	\$64,913	\$0
6 Acquisition	3/31/2012	9/30/2014	Completed	\$100,848	\$100,789	\$0
Total				\$7,929,290	\$7,896,571	\$681,698

Current Substantial Completion

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	4/6/2008	6/29/2009	
2 Preliminary Design	9/24/2010	5/18/2011	\$436,443
3 Final Design	5/19/2011	3/31/2014	\$684,704
4 Implementation	4/1/2014	1/16/2015	\$5,529,942
5 Closeout	1/17/2015	4/18/2015	\$33,879
6 Acquisition	3/31/2012	2/15/2013	\$138,000
Total			\$6,822,968

Baseline Substantial Completion

### Scope



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047009 PKS S: SCRT W SIDE TRL SEG B PKS South County Regional Tra

### Scope Variance Comment

#### Current Scope

Segment B will create a 1.45-mile-long separated multiuse path along Des Moines Memorial Drive from South 156th Way in SeaTac to South Normandy Road in Burien. This segment will be part of the ongoing Des Moines Memorial Drive restoration project which will restore Washington's Living Road of Remembrance. It will enhance safe linkages to the Burien Regional Growth Center, SeaTac Airport, Sound Transit LINK Light Rail, local and regional transit services and other regional trails. The Trail will include a 12-foot-wide paved cross-section with a 3-to-5-foot separation from the adjacent street and a 2-foot-wide gravel shoulder on the opposite side of the trail. The project will include necessary drainage, related road and driveway crossings and Manual of Uniform Traffic Control Devices (MUTCD) signage.

#### Baseline Scope

The project constructs a 1.45-mile paved multiuse path (regional trail) within the cities of SeaTac and Burien. The project creates a separated multiuse path along Des Moines Memorial Drive from S. 156th Way (SeaTac) to S. Normandy Road (Burien). This segment of the L2S Trail will be part of the ongoing Des Moines Memorial Drive restoration project and will also provide a much needed safe north-south link with existing non-motorized facilities

### Schedule



Red

#### Schedule Variance Comment

The project is now substantially complete. Overall the schedule was impacted by numerous factors both before and during construction.

Factors that impacted the schedule include: additional time to negotiate interlocal agreements and easements with multiple jurisdictions, coordination with utility companies, delays due to inclement weather, coordination with other projects located within the project site and under performance by subcontractors on the project. The construction contract was awarded on July 9, 2015 and the official Notice to Proceed was given on August 3, 2015. The substantial completion date was Jan. 24, 2017.

#### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	4/6/2008	6/29/2009	449				Completed
2 Preliminary Design	9/24/2010	5/18/2011	236	9/24/2010	5/18/2011	236	Completed
3 Final Design	5/19/2011	3/31/2014	1047	5/19/2011	8/2/2015	1536	Completed
4 Implementation	4/1/2014	1/16/2015	290	8/3/2015	1/24/2017	540	Completed
5 Closeout	1/17/2015	4/18/2015	91	1/25/2017	1/24/2018	364	In Progress
6 Acquisition	3/31/2012	2/15/2013	321	3/31/2012	9/30/2014	913	Completed
Substantial Completion Date	12/16/2014			1/24/2017			

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047009 PKS S: SCRT W SIDE TRL SEG B PKS South County Regional Tra

Schedule Variance Analysis					
	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	5/19/2011	12/16/2014	1307	770	58.00 %
Current Schedule	5/19/2011	1/24/2017	2077		

**Cost**  Red

### Cost Variance Comment

The baseline budget has been impacted by various external factors relating to utilities, right of way agreements, and a competitive bidding climate. The lowest construction bid received was \$401,010 (5%) over the engineer's estimate. In addition, due to the delays from utility relocation, we have had to extend the construction and design contracts resulting in additional cost.

Cost Variance Analysis by Capital Phase					
Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$0	\$9,554	\$9,554	\$9,554	0.00 %
2 Preliminary Design	\$436,443	\$371,933	\$544,824	\$108,381	25.00 %
3 Final Design	\$684,704	\$813,633	\$935,700	\$250,996	37.00 %
4 Implementation	\$5,529,942	\$6,535,750	\$6,304,485	\$774,543	14.00 %
5 Closeout	\$33,879	\$64,913	\$33,879	\$0	0.00 %
6 Acquisition	\$138,000	\$100,789	\$100,848	(\$37,152)	-27.00 %
<b>Total</b>	<b>\$6,822,968</b>	<b>\$7,896,571</b>	<b>\$7,929,290</b>	<b>\$1,106,322</b>	<b>16.21 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Road Construction Northwest, Inc.	Construction	\$4,945,000	08/03/2015	09/19/2017	6	\$498,000
Parametrix, Inc.	Design/Engineering	\$770,000	09/24/2010	08/02/2015	6	\$342,000
Parametrix, Inc.	Construction Management	\$1,102,000	08/03/2015	03/01/2017	6	\$480,000
	<b>Total</b>	<b>\$6,817,000</b>			<b>18</b>	<b>\$1,320,000</b>

### MPA-2. Contract Change Explanation

## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

### 1047009 PKS S: SCRT W SIDE TRL SEG B PKS South County Regional Tra

Change Order #6 was added to the construction contract to compensate the Contractor for underruns in quantities as well as additional items not previously covered in Change Order #4.

#### MPA-3. Current Quarter's Key Activities

Transfer of ownership to the cities of Burien and SeaTac.

#### MPA-4. Next Quarter's Key Activities

Contract Closeout.

#### MPA-5. Closely Monitored Issues & Risk Summary

Contractor may file a claim against the County for delay caused by utilities.

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1116973 PKS S:ELST - NORTH SAMMAMISH

### PKS M:E Lake Samm Trail

Target Baseline Date	09/30/2010
Actual Baseline Date	10/14/2016
Council District(s)	3
Department	NATURAL RESOURCES AND PARKS
Agency	Parks and Recreation
Contact	Gina Auld
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017

Last updated by DNRPIbonenfantj on 10/4/2017 1:01:29 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	3/10/1999	6/30/2007	Completed	\$0	\$267,853	\$3
2 Preliminary Design	7/10/2007	5/31/2010	Completed	\$0	\$12	\$3
3 Final Design	9/1/2011	4/11/2014	Completed	\$1,884,414	\$207,395	\$3
4 Implementation	4/12/2014	7/3/2015	Completed	\$15,890,487	\$12,397,809	\$4
5 Closeout	7/4/2015	12/31/2017	In Progress	\$0	\$224,885	\$5
6 Acquisition			Not Started	\$0	\$437	\$3
Total				\$17,774,901	\$13,098,390	\$21

Current Substantial Completion 7/3/2015

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	3/10/1999	6/30/2007	\$0
2 Preliminary Design	7/10/2007	9/1/2010	\$0
3 Final Design	9/1/2011	9/19/2013	\$2,541,000
4 Implementation	9/20/2013	3/10/2015	\$14,897,000
5 Closeout	4/12/2015	12/31/2015	\$0
6 Acquisition			\$0
Total			\$17,438,000

Baseline Substantial Completion 2/11/2015

## Scope



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1116973 PKS S:ELST - NORTH SAMMAMISH PKS M:E Lake Samm Trail

### Scope Variance Comment

#### Current Scope

The East Lake Sammamish Master Plan Trail project includes design and construction of an alternative, non-motorized transportation corridor and a multi-use recreational trail along 11 miles of a former Burlington Northern Santa Fe (BNSF) railroad corridor on the east side of Lake Sammamish, from Redmond to Issaquah. King County purchased the corridor from BNSF in 1997, and in 2006 opened the existing interim use gravel trail to the public. The King County Parks and Recreation Division spent ten years on master planning, design work, environmental review and resolving legal issues related to this project, with ongoing involvement of a citizen's advisory group and in close coordination with the Cities of Redmond, Sammamish and Issaquah.

The East Lake Sammamish Master Plan Trail is being designed and constructed in phases, or segments, based on budget availability. The Redmond segment, NE 70th Street to 187th Avenue NE was completed and opened to the public in November 2011. The Issaquah segment, SE 43rd Way to Gilman Boulevard, was completed and opened to the public in June 2013. Construction of the North Sammamish Segment began in April 2014 and opened to the public in July 2015.

Safety and accessibility are the County's primary goals for this project. The project utilizes the most up-to-date greenway design and engineering. Environmental improvements include wetland enhancements and fencing, slope stabilization, native landscaping and tree replanting.

#### Baseline Scope

The North Sammamish Trail scope of work includes: The removal of an existing gravel trail and construction of approximately 2.5 miles of 12-foot wide paved trail with gravel shoulders, concrete intersections, and wetland mitigation planting. Other items of work include erosion control, site preparation, grading, gravity block walls, structural earth walls, soldier pile wall, fencing, stormwater conveyance system, fish passable culverts, signage, traffic control, utility adjustments, trail amenity items, and landscaping. The project is located on former railroad track from NE Inglewood Hill Road to 187th Ave NE corridor.

### Schedule



Yellow

#### Schedule Variance Comment

The baselined final design date was scheduled for completion in September 2013. Due to a shoreline permit appeal, trail construction was delayed until April 2014. Substantial completion was impacted due to unforeseen conditions such as permitting, three (3) cultural resource finds, reconstruction of a structural wall due to damage by adjacent property owners, design changes, and change in site conditions at stairs and driveways. The original baseline date for substantial completion was February 11, 2015. Substantial completion was issued to the contractor on July 3, 2015.



# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1116973 PKS S:ELST - NORTH SAMMAMISH PKS M:E Lake Samm Trail

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	3/10/1999	6/30/2007	3034	3/10/1999	6/30/2007	3034	Completed
2 Preliminary Design	7/10/2007	9/1/2010	1149	7/10/2007	5/31/2010	1056	Completed
3 Final Design	9/1/2011	9/19/2013	749	9/1/2011	4/11/2014	953	Completed
4 Implementation	9/20/2013	3/10/2015	536	4/12/2014	7/3/2015	447	Completed
5 Closeout	4/12/2015	12/31/2015	263	7/4/2015	12/31/2017	911	In Progress
6 Acquisition							Not Started
Substantial Completion Date	2/11/2015			7/3/2015			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	9/1/2011	2/11/2015	1259	142	11.00 %
Current Schedule	9/1/2011	7/3/2015	1401		

## Cost



Yellow

### Cost Variance Comment

EBS is not reflecting costs prior to 2014. Budgeting practices were different, and most charges for this project were charged to the master project (1044600). The difference is \$1.049 million. Actual costs should be:

Implementation and closeout: \$14,145,904.03

The construction of the East Lake Sammamish Trail, North Sammamish segment, has been awarded two grants: \$3.0 million by the Federal Highway Administration (FHWA) and a Recreation and Conservation Office state grant in the amount of \$500,000.

During the course of the project, various impacts have resulted in costly changes. These changes are a result of re-design and implementation issues based on extensive communication with the City of Sammamish and residents. Change order items include, but are not limited to: additional tree removal, improved safety measures and traffic control, three (3) cultural resource finds / sites on the project, reconstruction of a structural wall due to damage by adjacent property owners, removal of encroachments, differing site conditions at stairs and driveways, several retaining walls, differing site conditions related to underground utilities, miscellaneous overruns of existing items, erosion control work and pending claims.

Bid results were \$719,232 under the engineer's estimate. The bid savings has been applied to change orders valued at approximately \$3.1 million. The final change order is in process of negotiation with the contractor and is estimated to be approximately \$400K. Significant additional impacts to the overall cost of the project is expected. This is due to unanticipated legal efforts and drainage claims with the City of Sammamish that are currently underway.

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1116973 PKS S:ELST - NORTH SAMMAMISH PKS M:E Lake Samm Trail

Cost Variance Analysis by Capital Phase					
Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$0	\$267,853	\$0	\$0	0.00 %
2 Preliminary Design	\$0	\$12	\$0	\$0	0.00 %
3 Final Design	\$2,541,000	\$207,395	\$1,884,414	(\$656,586)	-26.00 %
4 Implementation	\$14,897,000	\$12,397,809	\$15,890,487	\$993,487	7.00 %
5 Closeout	\$0	\$224,885	\$0	\$0	0.00 %
6 Acquisition	\$0	\$437	\$0	\$0	0.00 %
<b>Total</b>	<b>\$17,438,000</b>	<b>\$13,098,390</b>	<b>\$17,774,901</b>	<b>\$336,901</b>	<b>1.93 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Tristate Construction	Construction	\$8,678,078	03/18/2014	12/31/2017	7	\$2,521,084
Parametrix Inc.	Construction Management	\$2,506,602	04/12/2014	03/10/2018	8	\$1,718,409
Parametrix Inc	Design/Engineering	\$1,884,414	09/01/2011	04/11/2014	3	\$60,504
	<b>Total</b>	<b>\$13,069,094</b>			<b>18</b>	<b>\$4,299,997</b>

### MPA-2. Contract Change Explanation

Final Design changes included services to acquire, move and manage the construction field office along with minor design changes due to funding.

Original construction contract totaled \$6,156,994. Construction change orders to date have added \$3.10 million due to additional bid item quantities. The final change order is being executed and will include delay impact costs valued at approximately \$400K.

Consultant construction support costs have increased due to the length of delay in contractor final reconciliation and other legal support efforts. Added costs to extend office space rental have also been added.

### MPA-3. Current Quarter's Key Activities

Ongoing contract closeout documentation and Final Acceptance

### MPA-4. Next Quarter's Key Activities

Project closeout

### MPA-5. Closely Monitored Issues & Risk Summary

Ensure all required contractor documentation is submitted during closeout process.

## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

**1116973 PKS S:ELST - NORTH SAMMAMISH**  
**PKS M:E Lake Samm Trail**

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1119993 PKS S:ELST S SAMM SEG A PKS M:E Lake Samm Trail

Target Baseline Date	09/30/2010
Actual Baseline Date	01/17/2017
Council District(s)	3
Department	NATURAL RESOURCES AND PARKS
Agency	Parks and Recreation
Contact	Gina Auld
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPlchungm on 10/23/2017 11:09:21 AM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	3/1/1999	6/30/2007	Completed	\$239,588	\$313,935	\$1
2 Preliminary Design	7/10/2007	5/31/2010	Completed	\$46,898	\$46,898	\$1
3 Final Design	7/1/2013	1/9/2017	Completed	\$735,578	\$735,578	\$1
4 Implementation	1/10/2017	11/7/2017	In Progress	\$13,111,660	\$5,213,346	\$1
5 Closeout	11/8/2017	10/29/2018	Not Started	\$50,000	\$0	\$1
6 Acquisition		9/18/1998	Completed	\$9,337	\$9,337	\$1
<b>Total</b>				<b>\$14,193,061</b>	<b>\$6,319,094</b>	<b>\$6</b>

Current Substantial Completion

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning			
2 Preliminary Design			
3 Final Design	1/7/2013	3/31/2015	\$1,246,272
4 Implementation	4/1/2015	2/1/2016	\$12,066,808
5 Closeout			\$50,000
6 Acquisition			\$0
<b>Total</b>			<b>\$13,363,080</b>

Baseline Substantial Completion

Scope



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1119993 PKS S:ELST S SAMM SEG A PKS M:E Lake Samm Trail

### Scope Variance Comment

#### Current Scope

The East Lake Sammamish Master Plan Trail project includes design and construction of an alternative, non-motorized transportation corridor and a multi-use recreational trail along 11 miles of a former Burlington Northern Santa Fe (BNSF) railroad corridor on the east side of Lake Sammamish, from Redmond to Issaquah. King County purchased the corridor in 1997, and in 2006 opened the existing interim gravel trail to the public. The King County Parks and Recreation Division spent ten years on master planning, design work, environmental review and resolving legal issues related to this project, with ongoing involvement of a citizen's advisory group and in close coordination with the Cities of Redmond, Sammamish and Issaquah.

The Redmond segment was completed and opened to the public in November 2011. The Issaquah segment was completed and opened to the public in June 2013. North Sammamish Segment was completed and opened to the public in July 2015. Construction of Segment A began in January 2017 and is anticipated to be completed and open to the public in December 2017. Project Closeout will follow in 2018.

#### Baseline Scope

The East Lake Sammamish Master Plan Trail project includes design and construction of an alternative, non-motorized transportation corridor and a multi-user recreational trail along 11 miles of a former Burlington Northern Santa Fe (BNSF) railroad corridor on the east side of Lake Sammamish, from Redmond to Issaquah. King County purchased the corridor from BNSF in 1997, and in 2006 opened the existing interim use gravel trail to the public. The King County parks and Recreation Division spent ten years on master planning, design work, environmental review and resolving legal issues related to this project, with ongoing involvement of a citizen's advisory group and in close coordination with the Cities of Redmond, Sammamish and Issaquah.

The East Lake Sammamish Master Plan Trail is being designed and constructed in phases, or segments, based on budget availability. The Redmond segment, NE 70th Street to 187th Avenue NE was completed and opened to the public in November 2011. The Issaquah segment, SE 43rd Way to Gilman Boulevard, was completed and opened to the public in June 2013. Construction of the North Sammamish Segment begin in April 2014 and opened to the public in July 2015. Construction of Segment A will begin in January 2017 and to be completed in October, 2018.

### Schedule



Green

### Schedule Variance Comment

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1119993 PKS S:ELST S SAMM SEG A PKS M:E Lake Samm Trail

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning				3/1/1999	6/30/2007	3043	Completed
2 Preliminary Design				7/10/2007	5/31/2010	1056	Completed
3 Final Design	1/7/2013	3/31/2015	813	7/1/2013	1/9/2017	1288	Completed
4 Implementation	4/1/2015	2/1/2016	306	1/10/2017	11/7/2017	301	In Progress
5 Closeout				11/8/2017	10/29/2018	355	Not Started
6 Acquisition					9/18/1998		Completed
Substantial Completion Date	9/28/2017			11/7/2017			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	1/7/2013	9/28/2017	1725	-135	-7.00 %
Current Schedule	7/1/2013	11/7/2017	1590		

**Cost**  Yellow

### Cost Variance Comment

Additional costs have been incurred as a result of the extended permitting and appeal processes which included additional reports, documentation and investigations as required by the City of Sammamish. Additionally, there were two permit hearings, one with the City Hearing Examiner and one with the Shoreline Management Board. Due to the extended permitting process, the County missed the favorable bid climate and the Contractors cost proposal was \$775,635 over the Engineer's estimate.

### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$0	\$313,935	\$239,588	\$239,588	0.00 %
2 Preliminary Design	\$0	\$46,898	\$46,898	\$46,898	0.00 %
3 Final Design	\$1,246,272	\$735,578	\$735,578	(\$510,694)	-41.00 %
4 Implementation	\$12,066,808	\$5,213,346	\$13,111,660	\$1,044,852	9.00 %
5 Closeout	\$50,000	\$0	\$50,000	\$0	0.00 %
6 Acquisition	\$0	\$9,337	\$9,337	\$9,337	0.00 %
<b>Total</b>	<b>\$13,363,080</b>	<b>\$6,319,094</b>	<b>\$14,193,061</b>	<b>\$829,981</b>	<b>6.21 %</b>

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

1119993 PKS S:ELST S SAMM SEG A  
PKS M:E Lake Samm Trail

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Active Construction, Inc.	Construction	\$4,632,000	01/10/2017	10/09/2017	1	\$143,000
Parametrix, Inc.	Design/Engineering	\$961,000	07/10/2007	01/09/2017	5	\$421,000
Parametrix, Inc.	Construction Management	\$2,193,000	01/10/2017	09/28/2017	1	\$6,000
	<b>Total</b>	<b>\$7,786,000</b>			<b>7</b>	<b>\$570,000</b>

### MPA-2. Contract Change Explanation

Current Substantial Completion date is changed to November 7, 2017 from September 28, 2017. This is due to 20 non-working days approved due to wet weather conditions.

### MPA-3. Current Quarter's Key Activities

- a) Paving of driveway approaches
- b) Installation of trail signage
- c) Installation of bollards
- d) Installation of guardrail
- e) Installation of split rail fence
- f) Planting at landscape areas
- g) Planting at mitigation sites
- h) Construction of 33rd St Plaza
- i) Striping at intersections
- j) Working on action item list
- k) Public Outreach
- l) Installation of underground utilities
- m) Construction of retaining walls
- n) Construction of trail intersections
- o) Installation of chain link fencing
- p) Construction of rest stops
- q) Paving the trail
- r) Placing topsoil and mulch in landscape areas
- s) Placing topsoil and mulch in mitigation areas

### MPA-4. Next Quarter's Key Activities

- a) Paving of driveway approaches
- b) Installation of trail signage
- c) Installation of bollards
- d) Installation of guardrail
- e) Installation of split rail fence
- f) Planting at landscape areas
- g) Planting at mitigation sites
- h) Construction of 33rd St Plaza
- i) Striping at intersections
- j) Working on action item list
- k) Public Outreach

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

**1119993 PKS S:ELST S SAMM SEG A**  
**PKS M:E Lake Samm Trail**

## MPA-5. Closely Monitored Issues & Risk Summary

Wet weather impacts to complete trail.



# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1038122 WTC SUNSET HEATH PS FM UPGRADE STANDALONE

Target Baseline Date	04/14/2015
Actual Baseline Date	04/14/2015
Council District(s)	6
Department	NATURAL RESOURCES AND PARKS
Agency	Wastewater Treatment
Contact	Kathy Loland
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPEricksoh on 10/19/2017 4:01:12 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	7/19/2010	2/22/2011	Completed	\$2,495,049	\$2,495,049	\$2,511,674
2 Preliminary Design	2/22/2011	4/14/2015	Completed	\$5,604,352	\$5,715,650	\$5,000,516
3 Final Design	4/14/2015	5/1/2017	Completed	\$9,712,618	\$7,222,211	\$12,986,056
4 Implementation	5/1/2017	8/1/2020	In Progress	\$56,998,771	\$1,752,912	\$48,273,072
5 Closeout	8/1/2020	3/28/2021	Not Started	\$127,088	\$64	\$2
6 Acquisition	3/31/2015	12/20/2016	Completed	\$386,830	\$297,608	\$670,181
<b>Total</b>				<b>\$75,324,708</b>	<b>\$17,483,494</b>	<b>\$69,441,501</b>

Current Substantial Completion

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	2/2/2009	2/22/2011	\$2,464,679
2 Preliminary Design	2/22/2011	4/14/2015	\$6,692,376
3 Final Design	4/14/2015	4/14/2017	\$5,993,235
4 Implementation	4/14/2017	8/1/2020	\$53,446,456
5 Closeout	8/1/2020	3/28/2021	\$788,903
6 Acquisition	8/1/2015	3/11/2016	\$368,842
<b>Total</b>			<b>\$69,754,491</b>

Baseline Substantial Completion

Scope



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1038122 WTC SUNSET HEATH PS FM UPGRADE STANDALONE

### Scope Variance Comment

### Current Scope

Sunset/Heathfield Pump Station Replacement & Forcemain Upgrade - The Sunset/Heathfield Pump Stations and Forcemain Project as planned will increase the pumping capacity of the stations to 30 million gallons per day (mgd). The 2007 Conveyance System Improvements Program Update recommended replacing the current pump stations and either paralleling or replacing the existing force mains.

### Baseline Scope

The Sunset/Heathfield Pump Stations and Forcemain Project as planned will increase the pumping capacity of the stations to as much as 30 million gallons per day (mgd). The 2007 Conveyance System Improvements Program Update recommended replacing the current pump stations and either paralleling or replacing the existing force mains.

### Schedule



Green

### Schedule Variance Comment

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	2/2/2009	2/22/2011	750	7/19/2010	2/22/2011	218	Completed
2 Preliminary Design	2/22/2011	4/14/2015	1512	2/22/2011	4/14/2015	1512	Completed
3 Final Design	4/14/2015	4/14/2017	731	4/14/2015	5/1/2017	748	Completed
4 Implementation	4/14/2017	8/1/2020	1205	5/1/2017	8/1/2020	1188	In Progress
5 Closeout	8/1/2020	3/28/2021	239	8/1/2020	3/28/2021	239	Not Started
6 Acquisition	8/1/2015	3/11/2016	223	3/31/2015	12/20/2016	630	Completed
Substantial Completion Date	3/31/2020			3/31/2020			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	4/14/2015	3/31/2020	1813	0	0.00 %
Current Schedule	4/14/2015	3/31/2020	1813		

### Cost



Yellow

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1038122 WTC SUNSET HEATH PS FM UPGRADE STANDALONE

### Cost Variance Comment

The bid for the construction contract was significantly higher than the engineers estimate.

### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$2,464,679	\$2,495,049	\$2,495,049	\$30,370	1.00 %
2 Preliminary Design	\$6,692,376	\$5,715,650	\$5,604,352	(\$1,088,025)	-16.00 %
3 Final Design	\$5,993,235	\$7,222,211	\$9,712,618	\$3,719,383	62.00 %
4 Implementation	\$53,446,456	\$1,752,912	\$56,998,771	\$3,552,315	7.00 %
5 Closeout	\$788,903	\$64	\$127,088	(\$661,816)	-84.00 %
6 Acquisition	\$368,842	\$297,608	\$386,830	\$17,988	5.00 %
<b>Total</b>	<b>\$69,754,491</b>	<b>\$17,483,494</b>	<b>\$75,324,708</b>	<b>\$5,570,217</b>	<b>7.99 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Flatiron West, Inc	Construction	\$36,600,000	05/01/2017	11/30/2019	1	\$0
Stantec Consulting Services, Inc.	Design/Engineering	\$14,745,772	08/17/2010	01/31/2020	19	\$894,561
Jacobs Project Management Co.	Construction Management	\$2,664,652	06/16/2015	03/31/2020	1	\$0
	<b>Total</b>	<b>\$54,010,424</b>			<b>21</b>	<b>\$894,561</b>

### MPA-2. Contract Change Explanation

Engineering amendments to date are attributed to design complexity and refinements.

### MPA-3. Current Quarter's Key Activities

3rd Quarter 2017 1. Continue with contractor submittals. 2. Began construction activities such as surveying and locates. 3. Held an open house to communicate construction information with the community.

### MPA-4. Next Quarter's Key Activities

4th Quarter 2017 (projected). 1. Continue submittal reviews. 2. Begin horizontal directional drilling (HDD) on the Vasa Park property. 3. Prepare for open cut tunneling activities.

### MPA-5. Closely Monitored Issues & Risk Summary

## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

### 1038122 WTC SUNSET HEATH PS FM UPGRADE STANDALONE

Monitoring: 1. Any delays with equipment procurement so schedule impacts can be minimized. 2. Risks associated with tunneling activities will be closely monitored by tunneling experts. 3. Community impacts, beyond those already planned for and shared with residents.

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047697 WTC FREMONT SIPHON STANDALONE

Target Baseline Date	06/12/2012
Actual Baseline Date	06/12/2012
Council District(s)	4
Department	NATURAL RESOURCES AND PARKS
Agency	Wastewater Treatment
Contact	Kathy Loland
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPEricksoh on 10/19/2017 4:01:12 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	8/25/2008	9/15/2011	Completed	\$1,529,460	\$1,529,717	\$1,079,191
2 Preliminary Design	9/15/2011	6/12/2012	Completed	\$1,426,540	\$1,426,540	\$2,335,222
3 Final Design	6/12/2012	1/20/2015	Completed	\$4,014,958	\$4,024,384	\$5,942,682
4 Implementation	1/20/2015	12/30/2017	In Progress	\$36,894,558	\$31,340,822	\$40,970,944
5 Closeout	12/30/2017	6/29/2018	Not Started	\$151,715	\$2,774	\$731,292
6 Acquisition	10/1/2012	12/31/2015	Completed	\$1,860,507	\$1,860,908	\$505,763
Total				\$45,877,738	\$40,185,144	\$51,565,094

Current Substantial Completion

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	8/25/2008	9/15/2011	\$1,469,198
2 Preliminary Design	9/15/2011	6/12/2012	\$441,413
3 Final Design	6/12/2012	7/29/2014	\$5,193,650
4 Implementation	7/29/2014	5/31/2017	\$35,848,240
5 Closeout	5/31/2017	12/31/2017	\$538,171
6 Acquisition	10/1/2012	2/3/2014	\$2,387,066
Total			\$45,877,737

Baseline Substantial Completion

Scope

 Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047697 WTC FREMONT SIPHON STANDALONE

### Scope Variance Comment

### Current Scope

Fremont Siphon - Design and construct the replacement of the inverted siphon system. The scope will include assessment of alternatives for repair or replacement, the work necessary for connection to the existing interceptor system, coordination with the City of Seattle who owns the tunnel and a 24-inch water main in the tunnel, and coordination with other utilities. The project scope will also include design and construction of an odor control facility at the inlet of the new siphon.

### Baseline Scope

Design and construct the replacement of the inverted siphon system. The scope will include assessment of alternatives for repair or replacement, the work necessary for connection to the existing interceptor system, coordination with the City of Seattle who owns the tunnel and a 24-inch water main in the tunnel, and coordination with other utilities. The project scope will also include design and construction of an odor control facility at the inlet of the new siphon.

### Schedule



Yellow

### Schedule Variance Comment

The schedule variance reflects delayed notice-to-proceed for construction due to extended bid period and bid protest. Additionally, the schedule was updated to reflect the contract-allowed time as well as incorporating executed change orders. The construction contract was completed on-time.

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	8/25/2008	9/15/2011	1116	8/25/2008	9/15/2011	1116	Completed
2 Preliminary Design	9/15/2011	6/12/2012	271	9/15/2011	6/12/2012	271	Completed
3 Final Design	6/12/2012	7/29/2014	777	6/12/2012	1/20/2015	952	Completed
4 Implementation	7/29/2014	5/31/2017	1037	1/20/2015	12/30/2017	1075	In Progress
5 Closeout	5/31/2017	12/31/2017	214	12/30/2017	6/29/2018	181	Not Started
6 Acquisition	10/1/2012	2/3/2014	490	10/1/2012	12/31/2015	1186	Completed
Substantial Completion Date	11/16/2016			5/24/2017			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	6/12/2012	11/16/2016	1618	189	11.00 %
Current Schedule	6/12/2012	5/24/2017	1807		

### Cost



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047697 WTC FREMONT SIPHON STANDALONE

### Cost Variance Comment

### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$1,469,198	\$1,529,717	\$1,529,460	\$60,262	4.00 %
2 Preliminary Design	\$441,413	\$1,426,540	\$1,426,540	\$985,127	223.00 %
3 Final Design	\$5,193,650	\$4,024,384	\$4,014,958	(\$1,178,691)	-23.00 %
4 Implementation	\$35,848,240	\$31,340,822	\$36,894,558	\$1,046,318	3.00 %
5 Closeout	\$538,171	\$2,774	\$151,715	(\$386,456)	-72.00 %
6 Acquisition	\$2,387,066	\$1,860,908	\$1,860,507	(\$526,559)	-22.00 %
<b>Total</b>	<b>\$45,877,737</b>	<b>\$40,185,144</b>	<b>\$45,877,738</b>	<b>\$0</b>	<b>0.00 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
Stellar J Corporation	Construction	\$22,850,899	01/20/2015	05/24/2017	12	\$628,899
Stantec Consulting Services, Inc.	Other	\$7,316,729	02/11/2010	12/31/2017	6	\$68,102
Jacobs Engineering	Construction Management	\$2,105,097	05/07/2013	12/31/2017	1	\$0
	<b>Total</b>	<b>\$32,272,724</b>			<b>19</b>	<b>\$697,000</b>

### MPA-2. Contract Change Explanation

Change order 13 executed: Relocation of the electrical meter; \$20k.

### MPA-3. Current Quarter's Key Activities

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1047697 WTC FREMONT SIPHON STANDALONE

3rd Quarter 2017: 1. The County was requested by the contractor's insurance company to provide additional information regarding the County's expenses associated with SJC's insurance claim; request was fulfilled in September. 2. Met on-site 9/12 with Bowen, Johnson, Sroufe, Coles, and Stantec to review the installation of the odor control unit fan. The main bearing has been replaced twice under warranty. Corrective action was requested of SJC. 3. Record drawings are currently being reviewed by CM staff. 4. As part of a memorandum of agreement with Seattle Public Utilities, the County agreed to provide a bill of sale and quit claim deed their replacement CSO outfall. The City would like to modify the quit claim deed language and thus the MOA has not been closed out. Payments for the replacement work have been made by the City; closeout of the MOA is the only remaining open item. 5. WA State Auditors conducted interviews with Finance, PM, PR, and PCE to gain an understanding for how WTD monitors costs and administers its construction contracts that have State-Revolving-Fund loans. 6. The cashflow forecast for the remainder of the contract was reduced substantially as the level of effort required for the consultants turned out to be substantially less than what was anticipated. Management cash flow review meeting held 8/15. 7. Completed a lessons learned meeting for construction with the KC, consultant designers, and consultant construction management team members. 8. Change order 13 executed: Relocation of the electrical meter; \$20k.

### MPA-4. Next Quarter's Key Activities

4th Quarter 2017 Projected: 1. Issue Final Acceptance for the construction contract 2. Closeout the Seattle Public Utilities memorandum of agreement 3. Resolve odor control unit fan bearing issue.

### MPA-5. Closely Monitored Issues & Risk Summary

1. Completion of the final punchlist Key Risks: 1. SCL's timely completion of installing a new power feed to a Seattle Park irrigation controller.



# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1114382 WTC NORTH CREEK INTERCEPTOR (WTC N CREEK INTERCEPTOR) STANDALONE

Target Baseline Date	07/16/2012
Actual Baseline Date	07/16/2012
Council District(s)	1, 2, 3, 4, 5, 6, 7, 8, 9
Department	NATURAL RESOURCES AND PARKS
Agency	Wastewater Treatment
Contact	Kathy Loland
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPIEricksoh on 10/19/2017 4:01:12 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	4/1/2010	6/14/2010	Completed	\$2,267	\$2,267	\$74,312
2 Preliminary Design	3/21/2011	7/16/2012	Completed	\$553,145	\$553,145	\$1,810,715
3 Final Design	7/16/2012	10/1/2014	Completed	\$3,485,941	\$3,485,941	\$2,997,469
4 Implementation	2/2/2015	7/31/2018	In Progress	\$76,537,527	\$41,950,268	\$51,607,578
5 Closeout	7/31/2018	11/29/2019	Not Started	\$1,930,550	\$1,707,133	\$692,357
6 Acquisition	4/4/2013	6/1/2019	In Progress	\$2,004,214	\$910,632	\$734,801
<b>Total</b>				<b>\$84,513,644</b>	<b>\$48,609,385</b>	<b>\$57,917,232</b>

Current Substantial Completion

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	4/1/2010	3/21/2011	\$0
2 Preliminary Design	3/21/2011	8/31/2012	\$0
3 Final Design	7/16/2012	10/1/2014	\$4,424,632
4 Implementation	3/23/2014	6/9/2017	\$51,018,522
5 Closeout	6/9/2017	12/29/2017	\$693,689
6 Acquisition	2/3/2013	2/12/2014	\$453,816
<b>Total</b>			<b>\$56,590,659</b>

Baseline Substantial Completion

Scope



Green

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1114382 WTC NORTH CREEK INTERCEPTOR (WTC N CREEK INTERCEPTOR) STANDALONE

### Scope Variance Comment

### Current Scope

North Creek Interceptor - This project increases the capacity of part of the North Creek Interceptor Sewer serving southwestern Snohomish County. The project involves replacement of 10,000 LF of existing gravity pipe with larger gravity pipes, 36 to 48 inches in diameter. Both trenchless (open face shield tunneling and pipe ramming) and open trench construction methods are being used.

### Baseline Scope

Complete the design, obtain permits and easements, and construct the new NCI, including about 10,000 feet of new 36 to 48 inch diameter sewer and connection to the existing sewers. Both trenchless (open face shield tunneling and pipe ramming) and open cut construction methods of pipe installation will be considered.

### Schedule



Red

### Schedule Variance Comment

The current schedule reflects the restart of the construction under a new contract.

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	4/1/2010	3/21/2011	354	4/1/2010	6/14/2010	74	Completed
2 Preliminary Design	3/21/2011	8/31/2012	529	3/21/2011	7/16/2012	483	Completed
3 Final Design	7/16/2012	10/1/2014	807	7/16/2012	10/1/2014	807	Completed
4 Implementation	3/23/2014	6/9/2017	1174	2/2/2015	7/31/2018	1275	In Progress
5 Closeout	6/9/2017	12/29/2017	203	7/31/2018	11/29/2019	486	Not Started
6 Acquisition	2/3/2013	2/12/2014	374	4/4/2013	6/1/2019	2249	In Progress
Substantial Completion Date	12/6/2016			6/15/2018			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	7/16/2012	12/6/2016	1604	556	34.00 %
Current Schedule	7/16/2012	6/15/2018	2160		

### Cost



Red

## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

### 1114382 WTC NORTH CREEK INTERCEPTOR (WTC N CREEK INTERCEPTOR) STANDALONE

#### Cost Variance Comment

The original construction contract was terminated with the initial contractor for inability to complete the work. A project-specific work order has been issued under the January 19, 2017 Executive determination of emergency to complete the project. The amount represents increases for construction, consultant, construction management, permitting/easement and staff costs needed to complete the project.

#### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$0	\$2,267	\$2,267	\$2,267	0.00 %
2 Preliminary Design	\$0	\$553,145	\$553,145	\$553,145	0.00 %
3 Final Design	\$4,424,632	\$3,485,941	\$3,485,941	(\$938,691)	-21.00 %
4 Implementation	\$51,018,522	\$41,950,268	\$76,537,527	\$25,519,004	50.00 %
5 Closeout	\$693,689	\$1,707,133	\$1,930,550	\$1,236,862	178.00 %
6 Acquisition	\$453,816	\$910,632	\$2,004,214	\$1,550,398	342.00 %
<b>Total</b>	<b>\$56,590,659</b>	<b>\$48,609,385</b>	<b>\$84,513,644</b>	<b>\$27,922,985</b>	<b>49.34 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
James W. Fowler Co.	Construction	\$20,994,500	03/10/2017	03/10/2018	0	\$0
HDR Engineering, Inc.	Design/Engineering	\$5,625,762	09/03/2010	12/31/2018	9	\$301,282
MWH Constructors, Inc.	Construction Management	\$5,170,338	06/24/2014	07/31/2018	2	\$53,694
	<b>Total</b>	<b>\$31,790,600</b>			<b>11</b>	<b>\$354,976</b>

### MPA-2. Contract Change Explanation

No contract changes in Q3.

### MPA-3. Current Quarter's Key Activities

3rd Quarter 2017 1. Resumed mining between Manholes 4 & 5. 4. Completed mining between Manholes 4 & 5 and installed 72-inch casing. 5. Removed, repaired, and set TBM in access shaft at Manhole 5. 6. Completed mining between Manholes 5 & 6 and installed 72-inch casing. 7. Removed, repaired, and set TBM in access shaft at Manhole 23 8. Completed mining between MH 23 and 22 9. Negotiating extensions of construction easements 10. Continued outreach to property owners, residents and businesses 11. Continued environmental compliance monitoring 12. Continued monitoring schedule and expenditures

### MPA-4. Next Quarter's Key Activities

## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

### 1114382 WTC NORTH CREEK INTERCEPTOR (WTC N CREEK INTERCEPTOR) STANDALONE

4th Quarter 2017 Projected: 1. Removed, repaired, and set TBM in access shaft at Manhole 23. 2. Complete mining between Manholes 23 & 25, thus completing all mining on the project. 3. Install carrier pipe in tunnel casings between Manholes 22, 23, and 25. 4. Finish restoration in south half of project. 6. Monitor schedule and expenditures. 7. Continue outreach to property owners, residents and businesses 8. Continue environmental compliance monitoring 9. Finalize agreements extending easements to complete project. 10. Develop and submit supplemental appropriation budget request.

#### MPA-5. Closely Monitored Issues & Risk Summary

1. Safety: site access, tunneling operations 2. Environmental and cultural resource protection (permit compliance) 3. Monitor construction progress/schedule/budget Key Risks: 1. Tunnel mining under Highway 527.

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1048385 SW FACTORIA RECYCLING and TS STANDALONE

Target Baseline Date	12/20/2012
Actual Baseline Date	12/20/2012
Council District(s)	6
Department	NATURAL RESOURCES AND PARKS
Agency	Solid Waste
Contact	Doug Chin
MPA Reporting	Yes - Reporting Required
Publish Quarter	Q3 2017



Last updated by DNRPlsmoller on 10/20/2017 1:35:58 PM

Current Schedule and Costs						
Phase	Start	End	Status	Current Estimate At Completion (EAC)	ITD Actuals thru SEP-2017	ITD Budget thru SEP-2017
1 Planning	10/1/2007	12/31/2008	Completed	\$110,887	\$110,887	\$0
2 Preliminary Design	1/1/2009	3/10/2011	Completed	\$2,325,481	\$2,325,881	\$0
3 Final Design	4/16/2011	7/11/2014	Completed	\$11,282,229	\$11,282,229	\$12,198,072
4 Implementation	7/11/2014	12/6/2017	In Progress	\$71,184,693	\$67,756,796	\$70,077,652
5 Closeout	12/6/2017	12/31/2019	Not Started	\$623,019	\$0	\$318,517
6 Acquisition	10/1/2007	12/1/2007	Completed	\$8,092,932	\$8,092,932	\$11,025,000
<b>Total</b>				<b>\$93,619,241</b>	<b>\$89,568,726</b>	<b>\$93,619,241</b>

Current Substantial Completion 8/21/2017

Baseline Schedule and Costs			
Phase	Start	End	Baseline Budget At Completion (BAC)
1 Planning	10/1/2007	12/31/2008	\$401,000
2 Preliminary Design	1/1/2009	4/30/2011	\$3,150,000
3 Final Design	4/16/2011	4/21/2014	\$12,061,000
4 Implementation	7/11/2014	11/15/2017	\$62,538,000
5 Closeout	11/16/2017	8/28/2018	\$2,587,000
6 Acquisition	10/1/2007	12/1/2007	\$8,083,000
<b>Total</b>			<b>\$88,820,000</b>

Baseline Substantial Completion 11/15/2017

Scope



Yellow

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1048385 SW FACTORIA RECYCLING and TS STANDALONE

### Scope Variance Comment

Addition of a half bale compactor. The County is negotiating with the construction contractor to identify and mitigate potential impacts to the project completion date, which was documented in change order #9.

### Current Scope

Project will permit, design, and construct a new transfer station and waste processing facility to replace the Factoria Transfer Station. This project has been designated as "high risk" and receives phased appropriation. Appropriations for subsequent phases are approved by the King County Council based upon completion of the current phase and acceptable project cost, schedule, and scope projections.

Additional scope includes the addition of a half bale compactor to meet future service demands. An analysis of program needs that resulted from the 2014 update of the Transfer and Waste Management Plan recommendations showed a benefit will be realized from installing an additional half-bale compactor with the current project.

### Baseline Scope

Project will permit, design, and construct a new transfer station and waste processing facility to replace the Factoria Transfer Station. This project has been designated as "high risk" and receives phased appropriation. Appropriations for subsequent phases are approved by the King County Council based upon completion of the current phase and acceptable project cost, schedule, and scope projections.

### Schedule



Green

### Schedule Variance Comment

From January through March 2016, problems in securing the large (single-bale) compactor caused a 56 calendar day delay to Milestone 2 – "Official NTP2 Start."

Closeout completion extended to end of 2019 to correspond with key warranty items, such as the waste compactors & plant establishment.

### Schedule Comparison: Baseline vs. Current

Schedule	Baseline			Current			
	Start	End	Duration	Start	End	Duration	Status
1 Planning	10/1/2007	12/31/2008	457	10/1/2007	12/31/2008	457	Completed
2 Preliminary Design	1/1/2009	4/30/2011	849	1/1/2009	3/10/2011	798	Completed
3 Final Design	4/16/2011	4/21/2014	1101	4/16/2011	7/11/2014	1182	Completed
4 Implementation	7/11/2014	11/15/2017	1223	7/11/2014	12/6/2017	1244	In Progress
5 Closeout	11/16/2017	8/28/2018	285	12/6/2017	12/31/2019	755	Not Started
6 Acquisition	10/1/2007	12/1/2007	61	10/1/2007	12/1/2007	61	Completed
Substantial Completion Date	11/15/2017			8/21/2017			

### Schedule Variance Analysis

	Final Design Start (FDS)	Substantial Completion Date (SCD)	Duration (Days) = (SCD - FDS)	Variance at Completion (VAC) = Current Duration - Baseline Duration	% VAC = (Current Duration - Baseline Duration) / Baseline Duration
Baseline Schedule	4/16/2011	11/15/2017	2405	-86	-3.00 %
Current Schedule	4/16/2011	8/21/2017	2319		

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1048385 SW FACTORIA RECYCLING and TS STANDALONE

**Cost**  Yellow

### Cost Variance Comment

In 2012, the project was baseline at \$88,820,000. In 2014, a budget appropriation of \$4.8M was approved by an ordinance to authorize an additional half-bale compactor. The cost variance is due to the fact that the project has not been re-baselined.

### Cost Variance Analysis by Capital Phase

Phase	Baseline Budget At Completion (BAC)	ITD Actuals thru SEP-2017	Current Estimate At Completion (EAC)	Cost Variance At Completions (CVAC = EAC-BAC)	% CVAC = (EAC - BAC)/BAC
1 Planning	\$401,000	\$110,887	\$110,887	(\$290,113)	-72.00 %
2 Preliminary Design	\$3,150,000	\$2,325,881	\$2,325,481	(\$824,519)	-26.00 %
3 Final Design	\$12,061,000	\$11,282,229	\$11,282,229	(\$778,771)	-6.00 %
4 Implementation	\$62,538,000	\$67,756,796	\$71,184,693	\$8,646,693	14.00 %
5 Closeout	\$2,587,000	\$0	\$623,019	(\$1,963,981)	-76.00 %
6 Acquisition	\$8,083,000	\$8,092,932	\$8,092,932	\$9,932	0.00 %
<b>Total</b>	<b>\$88,820,000</b>	<b>\$89,568,726</b>	<b>\$93,619,241</b>	<b>\$4,799,241</b>	<b>5.40 %</b>

## Mandatory Phased Appropriation Reporting

### MPA-1. Contracts

Contractor Name	Purpose	Amount	Start Date	End Date	# of Contract Changes	Contract Change Amt
HDR	Design/Engineering	\$12,278,875	11/20/2009	05/18/2018	14	\$10,224,966
Parametrix	Construction Management	\$6,001,808	04/13/2011	10/31/2017	4	\$1,382,890
PCL	Construction	\$57,312,024	07/11/2014	09/28/2017	19	\$2,908,407
	<b>Total</b>	<b>\$75,592,707</b>			<b>37</b>	<b>\$14,516,263</b>

### MPA-2. Contract Change Explanation

# Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

## 1048385 SW FACTORIA RECYCLING and TS STANDALONE

CO #1: \$50,620 - 13 field directives (FD); additional miscellaneous work issued not included in contract scope. \$1,856.60 of amount paid from Dangerous Waste and Hazardous Materials allowed.

CO #2: \$138,033 - 9 miscellaneous FDs; \$78,684.00 was paid from hazardous waste leaking from underground storage tank. \$11,562 for additional dewatering; \$7,978 for scope failure, which resulted in a credit of \$9,558.88 for a fuel allowance.

CO #3: \$123,416 - 13 FDs for work outside contract scope.

CO #4: \$50,380 - 9 miscellaneous FDs issued authorizing the performance of unidentified additional work not included in the contract scope.

CO #5: \$230,564 - Includes 14 FDs for additional work, outside the scope of the contract, to be performed by contractor.

CO #6: \$73,514 - 13 FDs issued for performance of work outside contract scope. \$207,986.09 was paid from additional dewatering allowance.

CO #7: \$235,819 - 5 FDs issued for work, outside of contract scope, performed.

CO #8: \$127,331 - Includes 20 miscellaneous FDs regarding the performance of additional work issued outside of contract scope.

CO #9: \$0 - Additional 56 day time-only CO.

CO #10: \$180,843 - Includes 9 FDs for work performed outside of contractor's scope.

CO #11: \$67,402.31 - 13 FDs issued for additional work to be performed outside contractor's scope. \$250,000 allowance was used up for dewatering effort associated (issued by FD) and an additional \$9,465.09 (included in CO #11).

CO #12: \$130,911.46 - Incorporated 10 FDs for work performed outside of contractor's scope; SE 30th Street sewer conflict resolution.

CO #13: \$706,940.48 - Incorporated 3 FDs for work performed outside of contractor's scope; imported fill material exceeds anticipated bid quantity.

CO #14: \$121,943.01 - Incorporated 15 FDs for work performed outside of contractor's scope; added aerator to improve performance for air entrainment to meet regulatory requirements. Added two electrical Vehicle Charging Stations to reduce the carbon footprint and support reaching sustainability goals.

CO #15: \$101,807.26 - Incorporated 11 FDs for work performed outside of the contractor's scope with the west water tie-in.

CO #16: \$299,635.80 - Incorporated 2 FDs for increase in dirt quantities. Also incorporated pay issues (PI) 293, 294, 295, and 284 for net additional cost.

CO #17: \$66,441.33 - Incorporated 13 FDs; time extension to 12/20/2017; net addition to contract amount.

CO #18: \$66,259.19 - Incorporated 13 FDs and added funds.

CO #19: \$119,425.69 - Incorporated 10 FDs and added funds.

### MPA-3. Current Quarter's Key Activities

1. Completed art work.
2. Completed construction of Household Hazardous Waste (HHW) building.
3. Completed site landscaping.
4. Worked on punch list items.

### MPA-4. Next Quarter's Key Activities

1. Closeout Activities
2. Certificate of Occupancy
3. Final Acceptance

### MPA-5. Closely Monitored Issues & Risk Summary



## Q3 2017 Baseline Detail Report

Agency: All, Fund: All, Year: 2017, Qtr: 3rd Quarter, MPA Only: Yes, Project: All

### 1048385 SW FACTORIA RECYCLING and TS STANDALONE

1. Overall project expense to complete the project are being closely monitored and compared with the remaining project budget.
2. Due to delays in the delivery of the compactors, the overall project schedule is being closely monitored to minimize any further delays with project completion.
3. The waste compactors have been experiencing performance problems with frequent interruptions while in operation. SWD is working with the contractor (PCL) via the construction management consultant (Parametrix) to resolve compactor issues.

# Q3 2017 Baseline Report

Agency: All, Fund:All, Year: 2017, Qtr: 3rd Quarter, Cost Status: All, Schedule Status: All, Scope Status: All, Project: All

Project Number	Project Name	Scope Status	Schedule Status	Current Substantial Completion Date	Baseline Duration	Current Duration	Variance at Completion (VAC)	% VAC	Cost Status	Baseline Budget at Completion (BAC)	Current Estimate At Completion (EAC)	Cost Variance At Completion (CVAC)	% CVAC	Report Date
<b>3292 SWM CIP NON-BOND SUBFUND - Water and Land Resources</b>														
1048126	WLSWC CLOUGH CK SEDIMENT			2/23/2017	274	329	55	20 %		\$2,813,856	\$2,140,835	(\$673,021)	-23 %	Q3 2017
1112219	WLER ELLIOT BRIDGE RESTORATION			9/29/2017	839	1,173	334	39 %		\$3,792,995	\$4,009,427	\$216,432	5 %	Q3 2017
1114123	WLER PORTER LEVEE SETBACK			10/22/2017	584	584	0	0 %		\$5,514,370	\$5,468,827	(\$45,543)	0 %	Q3 2017
1123057	WLSWC FAIRWOOD 4 SW IMPRVMT			10/11/2017	843	356	-487	-57 %		\$2,157,418	\$1,971,023	(\$186,395)	-8 %	Q3 2017
<b>3380 AIRPORT CONSTRUCTION - Airport Division</b>														
1028661	AD ARFF FACILITY IMPROVEMENT			3/31/2017	609	1,040	431	70 %		\$7,192,454	\$6,985,287	(\$207,167)	-2 %	Q3 2017
<b>3421 MJR MNTNCE RSRV SUB-FUND - Facilities Mgmt</b>														
1039725	DES FMD KCCH DOM WATER DISTRBN			4/23/2018	1,196	1,196	0	0 %		\$1,381,051	\$1,381,051	\$0	0 %	Q3 2017
1121223	DES FMD KCCH PANEL REPLACE			2/16/2018	637	653	16	2 %		\$2,069,090	\$2,069,090	\$0	0 %	Q3 2017
1124166	DES FMD KCCH TERM & PKG UNITS			12/19/2018	797	797	0	0 %		\$1,174,068	\$1,174,068	\$0	0 %	Q3 2017
1130085	DES FMD REPLACE KCCH ELECTRICAL DISTRUBUTION/RESPOND TO LIFE SAFETY EMERGRNCY			9/18/2017	342	342	0	0 %		\$11,629,987	\$5,760,145	(\$5,869,842)	-50 %	Q3 2017
<b>3571 KC FLD CNTRL CAP CONTRACT - Water and Land Resources</b>														
1044579	WLFL2 TOLT PIPELINE PROTECTION			10/11/2018	751	1,086	335	44 %		\$8,594,697	\$9,536,313	\$941,616	10 %	Q3 2017
1112046	WLFL2 SINNEMA QUAAL 2011 REPAIR			9/30/2016	422	704	282	66 %		\$6,071,890	\$14,278,957	\$8,207,067	135 %	Q3 2017
1112049	WLFL9 COUNTYLINE TO A STREET			12/31/2018	2,496	2,323	-173	-6 %		\$14,840,000	\$23,315,701	\$8,475,701	57 %	Q3 2017
1122633	WLFL2 SE 19TH WAY REVETMENT			10/12/2018	441	441	0	0 %		\$1,433,259	\$1,433,259	\$0	0 %	Q3 2017
<b>3581 PARKS CAPITAL - Parks and Recreation</b>														
1044668	PKS FOOTHILLS REGIONAL TRAIL			11/18/2019	1,282	1,282	0	0 %		\$9,319,162	\$9,576,171	\$257,009	2 %	Q3 2017
1047009	PKS S: SCRT W SIDE TRL SEG B			1/24/2017	1,307	2,077	770	58 %		\$6,822,968	\$7,929,290	\$1,106,322	16 %	Q3 2017
1114757	PKS S: SCRT TWO RIVERS SEG A			11/22/2018	1,605	1,970	365	22 %		\$4,920,185	\$5,200,340	\$280,155	5 %	Q3 2017
1116973	PKS S:ELST - NORTH SAMMAMISH			7/3/2015	1,259	1,401	142	11 %		\$17,438,000	\$17,774,901	\$336,901	1 %	Q3 2017
1119993	PKS S:ELST S SAMM SEG A			11/7/2017	1,725	1,590	-135	-7 %		\$13,363,080	\$14,193,061	\$829,981	6 %	Q3 2017

# Q3 2017 Baseline Report

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<b>3591 KC MARINE CONST - Marine Division</b>														
1111718	MD SEATTLE FERRY TERMINAL	●	●	12/31/2018	1,035	1,035	0	0 %	●	\$34,490,000	\$34,490,000	\$0	0 %	Q3 2017
<b>3611 WATER QUALITY CONST-UNRES - Wastewater Treatment</b>														
1038122	WTC SUNSET HEATH PS FM UPGRADE	●	●	3/31/2020	1,813	1,813	0	0 %	▲	\$69,754,491	\$75,324,708	\$5,570,217	7 %	Q3 2017
1038124	WTC WP DIGESTER FLOATING LIDS	●	◆	10/31/2018	1,503	2,249	746	49 %	●	\$3,820,277	\$1,681,399	(\$2,138,878)	-55 %	Q3 2017
1047697	WTC FREMONT SIPHON	●	▲	5/24/2017	1,618	1,807	189	11 %	●	\$45,877,737	\$45,877,738	\$0	0 %	Q3 2017
1048077	WTC ENVIR LAB ENERGY IMPROVMNT	●	◆	7/31/2018	566	658	92	16 %	●	\$5,850,874	\$5,786,649	(\$64,225)	-1 %	Q3 2017
1113260	WTC PRIMARY SED TNK GATE WPTP	●	◆	9/15/2018	402	767	365	90 %	●	\$2,450,826	\$1,465,172	(\$985,655)	-40 %	Q3 2017
1114367	WTC SP ASSESS & REPLACE RAW SEWAGE PUMPS, MOTORS AND DRIVES	●	●	3/3/2017	1,413	1,386	-27	-1 %	◆	\$12,118,097	\$15,748,725	\$3,630,628	29 %	Q3 2017
1114368	WTC SOUTH PLANT ETS PEAKING PUMPS VFDs, ASSESS & REPLACE	▲	◆	4/2/2018	1,086	1,392	306	28 %	◆	\$3,941,503	\$4,639,566	\$698,063	17 %	Q3 2017
1114373	WTC REFURBISH/REPLACE DUTY PUMPS VFDS AT SOUTH PLANT ETS	●	◆	4/2/2018	1,086	1,392	306	28 %	▲	\$4,138,186	\$4,372,863	\$234,677	5 %	Q3 2017
1114382	WTC NORTH CREEK INTERCEPTOR	●	◆	6/15/2018	1,604	2,160	556	34 %	◆	\$56,590,659	\$84,513,644	\$27,922,985	49 %	Q3 2017
1116796	WTC SP RECLAIMED H2O FAC MODS	●	●	4/26/2018	925	926	1	0 %	●	\$3,914,248	\$3,914,144	(\$104)	0 %	Q3 2017
1116798	WTC WP OGADS REPLACEMENT	●	▲	8/18/2021	1,802	1,863	61	3 %	●	\$51,734,135	\$50,890,013	(\$844,122)	-1 %	Q3 2017
1116800	WTC N MERCER ENATAI INT PAR	●	●	4/4/2023	2,121	2,121	0	0 %	●	\$116,035,624	\$116,035,624	\$0	0 %	Q3 2017
1116801	WTC LK HILLS&NW LK SAM INTCP	●	●	2/28/2023	1,995	1,995	0	0 %	●	\$119,342,432	\$119,342,432	\$0	0 %	Q3 2017
1116802	WTC HANFD AT RAINIER & BVIEW N	●	▲	2/10/2018	1,287	1,369	82	6 %	▲	\$33,107,404	\$34,190,018	\$1,082,614	3 %	Q3 2017
1117514	WTC WEST POINT C-1 RESERVOIR ACCESS	●	●	5/28/2019	623	623	0	0 %	●	\$1,926,396	\$1,926,396	\$0	0 %	Q3 2017
1117516	WTC BEULAH COVE DRIP FIELD	●	◆	9/29/2017	333	1,110	777	233 %	◆	\$744,575	\$1,133,799	\$389,223	52 %	Q3 2017
1117748	WTC WP INTERMEDIATE, EPS VFD & DEWATERING ENERGY	●	◆	10/15/2019	1,142	2,618	1,476	129 %	●	\$33,541,919	\$24,819,917	(\$8,722,003)	-26 %	Q3 2017
1120861	WTC MOBILE OC UNIT REPLACEMENT	●	◆	4/5/2019	696	871	175	25 %	●	\$3,171,445	\$3,171,407	(\$38)	0 %	Q3 2017
1121402	WTC GEORGETOWN WET WEATHER TREATMENT STATION	●	●	1/8/2022	2,141	2,090	-51	-2 %	▲	\$260,713,113	\$261,957,855	\$1,244,741	0 %	Q3 2017

# Q3 2017 Baseline Report

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<b>3611 WATER QUALITY CONST-UNRES - Wastewater Treatment</b>														
1121403	WTC SP DIGESTER ROOF EQ REPL	Green	Red	10/31/2017	897	1,386	489	54 %	Green	\$5,752,015	\$4,611,619	(\$1,140,397)	-19 %	Q3 2017
1122412	Eastgate Interceptor Rehabilitation Phase III	Green	Yellow	11/20/2018	996	1,015	19	1 %	Green	\$7,353,124	\$7,283,441	(\$69,683)	0 %	Q3 2017
1122515	WTC WP CAPACITOR BANK	Green	Green	8/30/2017	481	450	-31	-6 %	Green	\$1,171,980	\$1,091,525	(\$80,455)	-6 %	Q3 2017
1123517	WTC E FLEET MAINT FAC REPLCMNT	Green	Red	3/4/2019	750	874	124	16 %	Green	\$9,999,584	\$9,998,853	(\$731)	0 %	Q3 2017
1123625	WTC SP HYPO CAUSTIC CHEM STORE	Green	Green	6/22/2018	786	710	-76	-9 %	Green	\$6,574,030	\$5,520,332	(\$1,053,699)	-16 %	Q3 2017
1123626	WTC SP BIOGAS HEAT SYS IMPROVE	Green	Yellow	3/29/2021	1,410	1,448	38	2 %	Green	\$59,897,304	\$59,897,304	\$0	0 %	Q3 2017
1123632	WTC KENT AUBURN PHASE B	Green	Green	6/8/2019	1,369	1,369	0	0 %	Green	\$40,861,397	\$37,724,415	(\$3,136,982)	-7 %	Q3 2017
1123983	WTC ESI 13 REHAB PHASE 1	Green	Red	1/13/2017	350	791	441	126 %	Red	\$4,410,856	\$7,257,499	\$2,846,643	64 %	Q3 2017
1124339	WTC BW SECONDARY FOAM MGMT	Red	Red	10/6/2017	345	746	401	116 %	Red	\$724,621	\$1,314,264	\$589,643	81 %	Q3 2017
1125202	WTC EW RELOCATE SAMPLING SYS	Green	Yellow	11/3/2017	720	815	95	13 %	Red	\$1,908,206	\$2,601,930	\$693,723	36 %	Q3 2017
1125316	WTC VASHON LIFT STATION UPGRAD	Green	Green	1/26/2018	694	689	-5	0 %	Yellow	\$3,385,078	\$3,782,580	\$397,502	11 %	Q3 2017
1126030	WTC BW IPS AIR BALANCING&HVAC	Green	Red	10/31/2017	324	749	425	131 %	Yellow	\$1,333,132	\$1,492,623	\$159,491	11 %	Q3 2017
1126048	WTC BW IPS WETWELL CORR REPAIR	Green	Red	10/31/2017	324	749	425	131 %	Red	\$1,485,985	\$1,708,892	\$222,906	15 %	Q3 2017
1127059	WTC WP REPLC INCINERATOR FLARE	Green	Red	8/30/2018	559	653	94	16 %	Green	\$4,825,164	\$4,801,658	(\$23,506)	0 %	Q3 2017
1128127	WTC WP DRY POLYMER FEEDER REPL	Green	Red	11/26/2018	453	672	219	48 %	Green	\$1,100,769	\$1,100,184	(\$586)	0 %	Q3 2017
1129093	WTC HENDERSON/MLK JR WWTS IMPROVEMENTS	Green	Red	10/16/2018	391	462	71	18 %	Green	\$1,810,658	\$1,810,658	\$0	0 %	Q3 2017
<b>3641 PUBLIC TRANS CONST-UNREST - Transit</b>														
1111967	TD REPLACE CSC PAINT BOOTH FAN	Green	Yellow	10/24/2016	2,169	2,485	316	14 %	Red	\$2,026,159	\$2,577,613	\$551,454	27 %	Q3 2017
1111999	TDC LIFTS FOR HYBRID BATTERIES ON BUSES AT NB, EB,CB,RB	Green	Green	12/25/2017	1,144	680	-464	-40 %	Green	\$2,606,949	\$2,606,949	\$0	0 %	Q3 2017
1116214	TD REPLACE SB SWITCHES & XMFR	Green	Red	4/26/2017	764	898	134	17 %	Green	\$1,308,260	\$1,308,260	\$0	0 %	Q3 2017
1123322	TDC EB GENERATOR REPL	Green	Yellow	7/21/2017	730	812	82	11 %	Green	\$1,941,892	\$1,941,892	\$0	0 %	Q3 2017
1124529	TDC FMS ICU REPLACEMENT	Green	Green	8/13/2016	561	544	-17	-3 %	Green	\$1,598,124	\$1,339,783	(\$258,341)	-16 %	Q3 2017
1125636	TDC Demo of AB/CB Old Ops	Green	Red	3/1/2018	758	898	140	18 %	Green	\$3,409,705	\$3,409,705	\$0	0 %	Q3 2017
1125637	TDC Demolition of Frye WH	Green	Red	3/1/2018	758	898	140	18 %	Green	\$1,582,959	\$1,582,959	\$0	0 %	Q3 2017

# Q3 2017 Baseline Report

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<b>3641 PUBLIC TRANS CONST-UNREST - Transit</b>														
1127390	TDC B. BASE O&M ROOF REPL	●	●	5/10/2017	414	343	-71	-17 %	●	\$2,606,949	\$1,758,068	(\$848,881)	-32 %	Q3 2017
1128946	TDC NBVM ROOF REPLACE	●	●	1/11/2018	451	451	0	0 %	●	\$2,954,700	\$2,954,700	\$0	0 %	Q3 2017
<b>3642 PUBLIC TRANS REVENUE FLEET CAPITAL - Transit</b>														
1130164	TDC WSDOT 40' HYDBID BUS	●	●	6/30/2019				0 %	●	\$129,600,000	\$129,600,000	\$0	0 %	Q3 2017
1130165	TDC WSDOT 60' HYBRID BRT	●	●	3/31/2019				0 %	●	\$49,500,000	\$49,500,000	\$0	0 %	Q3 2017
1130166	TDC WSDOT 60' HYBRID BUS	●	●	3/31/3019				0 %	●	\$201,000,000	\$201,000,000	\$0	0 %	Q3 2017
1130168	TDC 40' BATTERY EL BUS	●	●	3/31/2018				0 %	●	\$7,280,000	\$7,280,000	\$0	0 %	Q3 2017
<b>3855 COUNTY ROAD MAJOR MAINTENANCE - Roads Services Division</b>														
1131274	RSD 2017-18 GUARDRAIL PRESVTN	●	●	5/31/2018	302	302	0	0 %	●	\$4,800,000	\$4,800,000	\$0	0 %	Q3 2017
1131387	RSD 2017 CW PVMNT PRESERVATION	●	●	7/31/2018	637	637	0	0 %	●	\$14,900,000	\$14,900,000	\$0	0 %	Q3 2017
1131693	RSD UNION HILL@225 BOXCULVRT	●	▲	11/17/2017	629	695	66	10 %	●	\$1,100,000	\$1,100,000	\$0	0 %	Q3 2017
<b>3860 COUNTY ROAD CONSTRUCTION - Roads Services Division</b>														
1116885	RSD WOODINVILLE DUVALL ITS	▲	◆	5/4/2017	479	990	511	106 %	●	\$1,438,000	\$1,347,684	(\$90,316)	-6 %	Q3 2017
1124962	RSD SKY RV BR #999Z(MNY CK)RPR	●	●	10/17/2017	578	564	-14	-2 %	▲	\$1,473,900	\$1,634,783	\$160,883	10 %	Q3 2017
1124968	RSD KC 2014 SFTY HF SURF TRMTS	●	▲	11/13/2017	723	768	45	6 %	●	\$2,480,034	\$2,480,034	\$0	0 %	Q3 2017
1125296	RSD S 96 ST&8 AVE S SINKHOLE	●	●	8/22/2018	516	516	0	0 %	●	\$1,038,930	\$1,038,930	\$0	0 %	Q3 2017
1126438	RSD XPIPE NE 124 ST&164 AVE NE	●	▲	11/14/2017	371	420	49	13 %	●	\$1,498,143	\$1,498,142	(\$1)	0 %	Q3 2017
1126441	RSD S 96 ST STORMWATER PIPES	●	●	8/22/2018	614	608	-6	0 %	●	\$2,082,942	\$2,082,942	\$0	0 %	Q3 2017
1127129	RSD 2016 O/L S 320 PEASLEY CYN	●	▲	9/13/2017	761	774	13	1 %	●	\$1,750,000	\$1,750,000	\$0	0 %	Q3 2017
1127801	RSD 2016 BITUMINOUS SURF TRTMT	●	●	12/1/2017	760	760	0	0 %	●	\$2,400,000	\$2,400,000	\$0	0 %	Q3 2017
1127802	RSD 2016 HOT MIX ASPHALT O/L	●	●	12/1/2017	760	760	0	0 %	●	\$3,534,000	\$3,534,000	\$0	0 %	Q3 2017
1129877	RSD HGH FRICTION SRF TREATMENT	●	▲	11/13/2017	455	500	45	9 %	●	\$1,165,500	\$1,165,500	\$0	0 %	Q3 2017
1131582	RSD NE WD/DVL CULVERT REPL	●	●	10/11/2017	610	615	5	0 %	●	\$131,700	\$131,700	\$0	0 %	Q3 2017

## Q3 2017 Baseline Report

Agency: All, Fund:All, Year: 2017, Qtr: 3rd Quarter, Cost Status: All, Schedule Status: All, Scope Status: All, Project: All

Project Number	Project Name	Scope Status	Schedule Status	Current Substantial Completion Date	Baseline Duration	Current Duration	Variance at Completion (VAC)	% VAC	Cost Status	Baseline Budget at Completion (BAC)	Current Estimate At Completion (EAC)	Cost Variance At Completion (CVAC)	% CVAC	Report Date
<b>3865 KING COUNTY ROAD CONSTRUCTION - Roads Services Division</b>														
1131132	RSD 2017-18 NEW GRDL CONSTRUCT			4/30/2108	33,173	33,173	0	0 %		\$1,350,000	\$1,350,000	\$0	0 %	Q3 2017
<b>3901 SOLID WASTE CONSTRUCTION - Solid Waste</b>														
1048385	SW FACTORIA RECYCLING and TS			8/21/2017	2,405	2,319	-86	-3 %		\$88,820,000	\$93,619,241	\$4,799,241	5 %	Q3 2017
<b>3910 LANDFILL RESERVE - Solid Waste</b>														
1115992	SOLID WASTE AREA 8 DEVELOPMENT/FACILITY RELOCATION			12/28/2018	1,555	1,555	0	0 %		\$73,306,931	\$73,306,344	(\$587)	0 %	Q3 2017
1124105	SW CEDAR HILLS LANDFILL GAS PIPELINE UPGRADE			3/10/2017	456	465	9	1 %		\$6,386,232	\$6,380,619	(\$5,613)	0 %	Q3 2017
<b>3951 BLDG REPAIR/REPL SUBFUND - Facilities Mgmt</b>														
1117106	DES FMD CHILD/FAM JUSTICE CTR			7/23/2020	1,963	1,963	0	0 %		\$211,955,000	\$219,454,999	\$7,499,999	3 %	Q3 2017
1122048	DES FMD AFIS PROPERTY MANAGEMENT UNIT PLANNING			1/23/2019	506	604	98	19 %		\$9,798,961	\$9,798,961	\$0	0 %	Q3 2017
1125015	DES FMD YESLER BRIDGE UTILITIES RELOCATION			4/3/2018	749	749	0	0 %		\$2,003,548	\$2,003,548	\$0	0 %	Q3 2017
1130205	DES FMD DPD CONSOLIDATION			7/9/2018	476	476	0	0 %		\$10,788,495	\$10,492,480	(\$296,015)	-2 %	Q3 2017