

PREA AUDIT: AUDITOR'S SUMMARY REPORT

ADULT PRISONS & JAILS



Name of facility:		King County Correctional Facility (KCCF)	
Physical address:		500 5 th Avenue Seattle, WA 98104	
Date report submitted:		October 12, 2014	
Auditor Information		Katherine Brown	
Address:		12121 Little Road Suite 286 Hudson, Florida 34667	
Email:		kbrown2828@yahoo.com	
Telephone number:		727-470-4123	
Date of facility visit:		May 12-14, 2014	
Facility Information			
Facility mailing address: <i>(if different from above)</i>			
Telephone number:			
KCCF is:	<input type="checkbox"/> Military	<input checked="" type="checkbox"/> County	<input type="checkbox"/> Federal
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Municipal	<input type="checkbox"/> State
	<input type="checkbox"/> Private not for profit		
Facility Type:	<input checked="" type="checkbox"/> Jail	<input type="checkbox"/> Prison	
Name of PREA Compliance Manager:		Corinna Hyatt	Title: Major
Email address: Corinna.Hyatt@kingcounty.gov		Telephone number:	206-477-5060
Agency Information			
Name of agency:		King County Correctional Facility (KCCF)	
Governing authority or parent agency: <i>(if applicable)</i>		King County	
Physical address:		500 5 th Ave. Seattle, WA 98104	
Mailing address: <i>(if different from above)</i>			
Telephone number:		206-296-1234	
Agency Chief Executive Officer			
Name: William Hayes		Title:	Department Director
Email address: William.Hayes@kingcounty.gov		Telephone number:	206-477-6514
Agency-Wide PREA Coordinator			
Name: Danotra McBride		Title:	Project/Program Manager IV
Email address: Danotra.McBride@kingcounty.gov		Telephone number:	206-477-6514

AUDIT FINDINGS

NARRATIVE:

The audit of King County Correctional facility was conducted on May 12-14, 2014 by Katherine Brown, Certified PREA auditor. The areas toured were a total of 92 tanks/housing units. There are 31 medical/psych units 44 general population males/female tanks and 24 close management and administrative detention/segregation tanks. Plus the kitchen, laundry, programs area, and work areas.

An entrance meeting was held with facility staff. The following people were in attendance: Director Hayes; Major Hyatt; Danotra McBride, Project/Program Manager.

Following the entrance meeting I toured the King County Correctional Facility from 08:30 am to 11:45. On the tour with me was Major Hyatt and Danotra Mc Bride.

The night before the audit I asked for an alpha listing of all inmates housed at KCCF and randomly selected one inmate from each housing unit, I also interviewed one limited English speaking inmate. I also asked for any inmate who was transgender/intersex, or any with disabilities. I interviewed one hearing impaired and one developmentally disabled inmate, one transgender and one bi-sexual; eight Non English speaking, four administrative segregation inmates. I asked for a shift roster and randomly selected officers to interview. I interviewed eighteen random staff interviews; two intake officers; 2 training officers; two investigators and one Volunteer/Contractor.

I reviewed training records; personnel files and medical records to confirm the standards.

There were nine sexual assault/harassment allegation cases, all relatively recent (within the past year) four had been unfounded; three unsubstantiated; two substantiated. (It should be noted the nine cases I reviewed were for both King County Correctional Facility and Maleng Regional Justice Center).

DESCRIPTION OF FACILITY CHARACTERISTICS:

The Department of Adult and Juvenile Detention (DAJD) is one component in the complex, inter-related structure of the King County Criminal Justice System. The Department is responsible for almost 34,000 bookings a year and houses a daily average of 1,900 pre-and post-adjudicated misdemeanants and felons. The Department also contracts to house misdemeanants from cities, and the state. DAJD operates two adult detention facilities, the Maleng Regional Justice Center (MRJC) in Kent which opened in 1997 and the King County Correctional Facility (KCCF) in downtown Seattle which opened in 1986. The adult facilities house inmates who are awaiting trial or who have been sentenced by the court to one year or less of incarceration.

King County Correctional Facility is located at 500 Fifth Avenue, Seattle WA, and is a high rise facility. The jail is in downtown Seattle across from the courthouse. The jail and court house are attached by both a skywalk and a tunnel. Security at the jail is appropriate for the security level of inmates housed there. Staff have access to doors using a secure card access. All movement on the elevators are controlled by central control. Visitors must register and provide a photo ID in order to receive a visitor's badge. All brief cases go through an x-ray machine ad

staff and visitors must go through a magnetometer. No cellphones are permitted inside, lockers are provided for the secure storage of personal items.

The jail occupies floors four - eleven of the complex and house 1,192 male/female inmates. Inmate housing is located on floors seven - eleven. Each floor is divided into three wings: North, South and East Wings. Each wing in the North and East wings contain four tanks (units) and the south wing on floors seven - ten contain eight tanks. The south wing of floor one has been converted to office space and training areas.

SUMMARY OF AUDIT FINDINGS:

Number of standards exceeded: 4

Number of standards met: 38

Number of standards not met:

Number of standard not applicable: 1

Standard number here 115.11 Zero Tolerance

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 Policy statement (1-3) A 2 &3. Based on interview with PREA Coordinator and PREA compliance manager, Facility Major and Facility Commander and review of organizational chart.

King County Correctional Facility has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment and outlines King County Correctional Facility's approach to preventing, detecting, and responding to such conduct.

The agency employs an upper-level, agency-wide PREA coordinator with sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards.

There is a designated PREA compliance manager with sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards

Standard number here 115.12 Contracting with other agencies for confinement of inmates

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A 2 Based on interview with agency's contract compliance manager and review of DOC contract page 13; Reviewed new contract with Pierce County Sheriff's Department.

All contracts include the entity's obligation to adopt and comply with the PREA standards.

Any new contract or contract renewal provides for agency contract monitoring to ensure that the contractor is complying with the PREA standards.

Standard number here 115.13 Supervision and monitoring

X. Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A.4 and 1.02.010 A 1-6 and 4.01.019 A 7. Based on interview with Facility Major: PREA Compliance Manager and PREA Coordinator and review of PREA planned coverage variance; staffing plan; CO Dream sheet Coverage Plan; 2013/13 CT Coverage Plan and Parameters; 2013/14 Sgt. Coverage plan and parameters; 2014 Budget deployment. Security/Surveillance log/detention- surveillance log.

King County Correctional Facility has developed, documented, and made its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing and uses video monitoring, to protect inmates against sexual abuse.

In circumstances where the staffing plan was not complied with, the facility documented and justified all deviations from the plan.

King County Correctional Facility completes an annual review, in consultation with the PREA coordinator required by § 115.11, to assess, determine, and document whether adjustments are needed.

Standard number here	115.14 Youthful inmates
-----------------------------	-------------------------

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

X. Not Applicable

Auditor comments, including corrective actions needed if does not meet standard

Juvenile are housed at Maleng Regional Justice Center (MRJC)

Standard number here	115.15 Limits to cross gender viewing and searches
-----------------------------	--

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

4.01.004 A 1 & B; 4.01.004 and 1.03.033; 6.03.007 B-4 A-5 based on interview of random staff and inmates and review of strip search record; CO Job Description Announcement; Code of Ethics; Notice to all Professionals & Volunteers 1-24-14; PREA standards-employment Promo Process; Lesson plan Cross gender pat searches; training rosters: As of April 20, 2014 85% of staff trained.

The facility does not conduct cross-gender strip searches or cross-gender visual body cavity searches (meaning a search of the anal or genital opening) except in exigent circumstances or when performed by medical practitioners. In the event a cross gender search is done the facility documents all cross-gender strip searches and cross-gender visual body cavity searches.

The facility has policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks. Such policies and procedures require staff of the opposite gender to announce their presence when entering an inmate housing unit.

Upon the interviews with staff and inmates it was discovered that not all staff are following the procedure. Most inmates and staff interviewed stated staff did not make an announce in the housing units. As I moved through the housing units I was not announced nor was the PREA Coordinator or Compliance Manager.

During the Corrective Action period DAJD initiated random spot checks by supervisory staff to monitor and improve compliance with this requirement at both facilities. It is the expectation that officers make this announcement as outlined in policy, and DAJD plans to conduct quality studies/checks to measure, monitor and improve adherence to policy.

The facility does not search or physically examine a transgender or intersex inmate for the sole purpose of determining the inmate's genital status. If the inmate's genital status is unknown, it is determined during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner.

King County Correctional Facility trains security staff in how to conduct cross-gender pat-down searches, and searches of transgender and intersex inmates, in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs.

Standard number here

115.16 inmates with disabilities and limited English speaking

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.01.012; 6.04.001; 7.01.001 (1) (3); 7.08.001 (1) (4) Based on random inmate and staff interviews and based on interview with limited English speaking inmates and review of staff language stipend; Language bank 1-800-643-2255; PREA video information; PREA PowerPoint.

King County Correctional Facility takes appropriate steps to ensure inmates with disabilities (including, for example, inmates who are deaf or hard of hearing, those who are blind or have low vision, or those who have intellectual, psychiatric, or speech disabilities), have an equal opportunity to participate in or benefit from all aspects of King County Correctional Facility's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. During the course of the audit it was discovered that deaf or limited English speaking inmates were not provided the same level of training as the general population inmates.

During the Corrective Action period DAJD currently has the Inmate Handbook available in English and Spanish languages. As of June 2, 2014 they began issuing Inmate Handbooks in the inmate bedrolls so all receive a copy. To improve inmate comprehension and understanding of PREA, they have updated the Inmate Orientation Video (including the PREA portion) and add closed captioning for deaf inmates. There is also a full video translated into Spanish for the largest limited-English speaking population. They have also added closed captioning to the existing version of the PREA Inmate Orientation Video as a resource for the hearing impaired population. DAJD also has access to an Emergency Sign Language Interpreter Program (ESLIP) via Jail Health Services that can be accessed as needed.

King County Correctional Facility does not rely on inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under § 115.64, or the investigation of the inmate's allegations.

Standard number here 115.17 Hiring and promotion decisions

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

1.03.016 4.b.(3) & 5 a; 1.03.052. Based on interview with Human Resource Director and Interview with the Captain in charge of investigations who job it is to run the criminal histories. Also review of personnel files, 5yr. tracking spreadsheet log and review of PREA employment/Promotional Process signature sheet

King County Correctional Facility does not hire or promote anyone who may have contact with inmates, and does not enlist the services of any contractor who may have contact with inmates, who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution, has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to

consent or refuse; or has been civilly or administratively adjudicated to have engaged in the activity described above.

King County Correctional Facility considers any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates.

King County Correctional Facility performs a criminal background records check before enlisting the services of any contractor who may have contact with inmates.

King County Correctional Facility conducts criminal background records checks at least every five years of current employees and contractors who may have contact with inmates.

Standard number here 115.18 Upgrades to facilities and technology
--

X. Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Based on interview with Director and Major, review of camera upgrades as a result of DoJ audit 6 years ago. As a result of this audit they received funding for 170 new cameras between the two facilities. There are over 400 cameras at KCCF.

When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, King County Correctional Facility considers how such technology may enhance King County Correctional Facility's ability to protect inmates from sexual abuse. There have been no substantial or modifications to existing facilities.

Standard number here 115.21 evidence protocol and forensic medical exams

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

J.B.05; 6.04.001 B-3; JB-05. Based on interview with SANE/SAFE staff and PREA compliance manager and review of Harborview Medical Center; WA state recommended guidelines for Sexual Assault Emergency Medical Evaluation; Harborview Brochure; viewed website <http://dept.washington.edu/hcsats/resource.html#program>; MOU between King County &

King County Sexual Assault Resource Center; MOU between KC Dept. of Adult/Juvenile & KC Sheriff's Office; MOU between KC Dept. of Adult/Juvenile & Seattle Police Department. Conducted a telephone interview with Director of KSARC.

To the extent King County Correctional Facility is responsible for investigating allegations of sexual abuse, King County Correctional Facility follows a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions.

King County Correctional Facility offers all victims of sexual abuse access to forensic medical examinations, through King County Sexual Assault Resource Center, without financial cost, where evidentiary or medically appropriate. Such examinations are performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs).

King County Correctional Facility makes available to the victim a victim advocate from a rape crisis center.

As requested by the victim, a victim advocate accompanies and supports the victim through the forensic medical examination process and investigatory interviews and are provide emotional support, crisis intervention, information, and referrals.

Standard number here 115.22 referrals of allegations for investigations
--

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

1.05.001; 6.04.001 A-12; 1.05.001 Special Investigative Unit; SOP Sexual Assault Unit. Based on interview with director and investigative staff; reviewed nine investigative files. Posted on www.kingcounty.gov/courts/detention/PREA

King County Correctional Facility ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment.

King County Correctional Facility has a policy that ensures allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potential criminal behavior. King County Correctional Facility publishes such policy on its website. King County Correctional Facility documents all such referrals.

Standard number here 115.31 Employee training
--

- X. Exceeds Standard (substantially exceeds requirement of standard)

- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

1.07.004; 6.04.001 A-5. Based on interview with random staff and review of New Employee Orientation from <http://nicic.gov/library/prea> and sign in sheets. All new DAJD employee receive detailed PREA training in person at new employee orientation.

King County Correctional Facility trains all employees who have contact with inmates on:

- (1) Its zero-tolerance policy for sexual abuse and sexual harassment;
- (2) How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures;
- (3) Inmates’ right to be free from sexual abuse and sexual harassment;
- (4) The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment;
- (5) The dynamics of sexual abuse and sexual harassment in confinement;
- (6) The common reactions of sexual abuse and sexual harassment victims;
- (7) How to detect and respond to signs of threatened and actual sexual abuse;
- (8) How to avoid inappropriate relationships with inmates;
- (9) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates; and
- (10) How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities.

The training is tailored to the gender of the inmates at the facility.

King County Correctional Facility documents, through employee signature that employees understand the training they have received.

Standard number here	115.32 Volunteer and contractors training
-----------------------------	---

- X. Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A-6 Based on interview with volunteer and contractors and review of Volunteer Contractor Training; signed acknowledgement sheet; Training for Contracted Professionals. Training is also provided to court personnel, maintenance staff not employed by DAJD i.e. air conditioner repairman, plumbers any outside contractor coming into KCCF.

King County Correctional Facility ensures all volunteers and contractors who have contact with inmates have been trained on their responsibilities under King County Correctional Facility’s sexual abuse and sexual harassment prevention, detection, and response policies and procedures.

The level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with inmates, but all volunteers and contractors who have contact with inmates are notified of King County Correctional Facility's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.

King County Correctional Facility has documentation confirming that volunteers and contractors understand the training they have received.

Standard number here	115.33 Inmate education
-----------------------------	-------------------------

- Exceeds Standard (substantially exceeds requirement of standard)
- Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not; meet standard

6.01.012 A-8; 6.04.001 B. 1; 7.08.00 Based on interview with random inmates and intake staff; review of PowerPoint; Intake video; Inmate Handbook pg. 2-4; PREA education at Intake signed sheet; Language stipend; and posters.

During the intake process, inmates receive information explaining King County Correctional Facility's zero-tolerance policy regarding sexual abuse and sexual harassment and how to report incidents or suspicions of sexual abuse or sexual harassment. During the interviews the inmates stated they had not received this training, some said they were given a sheet of paper and told to sign something.

Within 30 days of intake, King County Correctional Facility provides a comprehensive education to inmates either in person or through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding agency policies and procedures for responding to such incidents. There is no evidence of a comprehensive education, you show a video however, this is not comprehensive when the inmates do not understand what is being showed.

During my interview with 8 non English speaking inmates and one deaf inmate, who was able to read lips none of them were able to tell me what PREA was nor did they know how to report it. The deaf inmate said that he is very frustrated by the fact of his limited ability to communicate and needed a sign language interpreter. The true non English speaking inmates do not understand the written material they are provided and the video is in English. The education level of these individuals may be an issue as well, so they don't have the ability to read and understand what they are reading.

During the Corrective Action period DAJD currently has the Inmate Handbook available in English and Spanish languages. As of June 2, 2014 they began issuing Inmate Handbooks in the inmate bedrolls so all receive a copy. To improve inmate comprehension and

understanding of PREA, they have updated the Inmate Orientation Video (including the PREA portion) and add closed captioning for deaf inmates. There is also a full video translated into Spanish for the largest limited-English speaking population. They have also added closed captioning to the existing version of the PREA Inmate Orientation Video as a resource for the hearing impaired population. DAJD also has access to an Emergency Sign Language Interpreter Program (ESLIP) via Jail Health Services that can be accessed as needed.

Standard number here 115.34 Specialized training: Investigators
--

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A-5; Based on interview with investigative staff and review of NIC course PREA Investigating Sexual Abuse in a Confinement Setting; IIU & SIU Staff training

In addition to the general training provided to all employees King County Correctional Facility ensures the in house investigators have received training in conducting such investigations in confinement settings.

Specialized training includes techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. King County Correctional Facility maintains documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations.

Standard number here 115.35 Specialized training: Medical and mental health care

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Seattle King County Jail Health Services Policy J-C-09; review of JHS PREA training Preventing & Responding to Inmate Sexual Victimization 3/24/14

King County Correctional Facility ensures that all full and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment; how to preserve physical evidence of sexual abuse; how to respond effectively and professionally to

victims of sexual abuse and sexual harassment; and how and to whom to report allegations or suspicions of sexual abuse and sexual harassment.

King County Correctional Facility maintains documentation that medical and mental health practitioners have received the training.

Medical and mental health care practitioners also receive the training mandated for employees under 115.31 or for contractors and volunteers under § 115.32.

Standard number here 115.41 Screening for risk of victimization and abusiveness
--

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.01.002; 6.01.005 A-1; J.B.05 (9/3/13); 6.04.001 B. 1. (c); 6.01.005 A-2. Based on interview with random inmates and intake staff responsible for screening. Review of Score Sheets and Risk Determination Factors. Only limited staff have access to the risk screening form only Medical, Mental Health and Major as well as PREA Manager. Per Classification inmates are seen within seven days and then every 30 days.

All inmates are assessed during an intake screening and upon transfer to another facility for risk of being sexually abused by other inmates or sexually abusive toward other inmates.

Intake screening ordinarily takes place within 72 hours of arrival at the facility.

Such assessments are conducted using an objective screening instrument.

The intake screening considers, at a minimum, the following criteria to assess inmates for risk of sexual victimization:

- (1) Whether the inmate has a mental, physical, or developmental disability;
- (2) The age of the inmate;
- (3) The physical build of the inmate;
- (4) Whether the inmate has previously been incarcerated;
- (5) Whether the inmate's criminal history is exclusively nonviolent;
- (6) Whether the inmate has prior convictions for sex offenses against an adult or child;
- (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming;
- (8) Whether the inmate has previously experienced sexual victimization;
- (9) The inmate's own perception of vulnerability; and
- (10) Whether the inmate is detained solely for civil immigration purposes.

The initial screening considers prior acts of sexual abuse, prior convictions for violent offenses, and history of prior institutional violence or sexual abuse, as known to King County Correctional Facility, in assessing inmates for risk of being sexually abusive.

Within 30 days from the inmate's arrival at the facility, the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening.

An inmate's risk level is reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness.

Inmates are not disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked.

King County Correctional Facility implements appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates.

Standard number here 115.42 Use of screening information

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B. 2 (a) (b); 6.01.005 pg. 1&2; 6.03.007 D.(1-7),Based on interview with PREA compliance manager and staff responsible for risk screening.

In deciding whether to assign transgender or intersex inmates to a facility for male or female inmates and in making other housing and programming assignments, King County Correctional Facility considers on a case by case basis whether a placement would ensure the inmates health and safety and whether placement would present management or security problems. During the audit it was discovered it is the practice to place transgender and intersex inmates automatically in segregation. You have a very good policy in place however there is no evidence it is in practice. Transgender and intersex inmates need to be given the option of going to general population. They cannot be segregated just because of their sexual orientation. You need to train all management staff on this policy and ensure that every available housing option is considered.

Placement and programing assignments for each transgender or intersex inmate is reassessed at least twice a year to review any threats to safety experienced by the inmate.

A transgender or intersex inmate's own view with respect to his or her own safety shall be given serious consideration. The one transgender inmate I interviewed stated she wanted to go to general population, when asked which housing unit she wanted to go to she said male housing because she understood the problem with going to the female unit. This is in your policy but not currently in practice.

Transgender and intersex inmates are given the opportunity to shower separately from other inmates.

King County Correctional Facility uses information from the risk screening to decide housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive.

King County Correctional Facility makes individualized determinations about how to ensure the safety of each inmate.

All of this is in policy but none of it is in practice: During Corrective Action period DAJD developed and completed Transgender Policy training for all supervisor-level staff up to the Director, and all Classifications staff. In addition to providing this training, several managers recently attended a LGBTQ Panel discussion on June 11th, 2014 to help inform the training plans. As of August 28, 2014 training on the Transgender policy was initiated and all supervisory personnel and classification have gone through this training to ensure the PREA standard as well as agency policy is adhered to.

**Standard
number here**

115.43 Protective custody

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B. 2. C.; 6.03.001 B 2. (b). Based on interview with Major, staff who supervise segregated inmates.

Inmates placed in segregated housing for LGTBI, shall have access to programs, privileges, education and work opportunities to the extent possible. If KCCF restricts access to programs, privileges, education or work opportunities, KCCF shall document: the opportunities that have been limited; the duration of the limitations and the reasons for such limitations. According to the interview with the transgender and bi-sexual inmate they are not afforded the same opportunities as GP. During the Corrective Action period on June 9th, 2014 DAJD managers and leadership discussed the need to follow their policy and allow LGBTI inmates the opportunity to be housed in GP. It is their intent to proceed with this as an option for their LGBTI inmates and not place them in segregated housing based solely on their LGBTI status. Also, the DAJD Training Sergeant was tasked with researching how other correctional facilities manage their protective custody population to provide DAJD with additional ideas and information on how best to manage this population.

KCCF shall assign such inmates to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged, and such an assignment shall not ordinarily exceed period of 30 days. During the Corrective Action period a new procedure was put in place that states "Within 72 hours of becoming aware of a transgender, intersex

or gender variant inmate within a Department facility, the Corrections Programs Administrator (CPA) (or designee), and a Corrections Captain, shall meet with the Psychiatric Services Provider (or designee), and the JHS Medical Director (or designee) to assess the inmate's medical, psychological and housing needs. All guidelines laid out within this policy shall be considered in an attempt to determine the inmate's vulnerability and to ensure the least restrictive housing placement."

Inmates at high risk for sexual victimization are not placed in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers.

Standard number here 115.51 Inmate reporting

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

5.02.003 C. 1; 6.04.001 A (8-9) Based on interviews with random staff and inmates and review of Inmate Handbook pgs. 204; Ombudsman's Office report of incident #1716631; King County Sexual Assault Resource Center.

King County Correctional Facility provides multiple internal ways for inmates to privately report sexual abuse and sexual harassment, retaliation by other inmates or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents.

King County Correctional Facility provides at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of King County Correctional Facility, and that is able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials, allowing the inmate to remain anonymous upon request. Inmates detained solely for civil immigration purposes are provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security.

Staff accept reports made verbally, in writing, anonymously, and from third parties and are promptly document any verbal reports.

King County Correctional Facility provides a method for staff to privately report sexual abuse and sexual harassment of inmates.

Standard number here 115.52 exhaustion of administrative remedies
--

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.002 A (9) (13) & B (4&5); D 1 (e) and E (1-3) Review of grievances.

King County Correctional Facility does not impose a time limit on when an inmate may submit a grievance regarding an allegation of sexual abuse.

King County Correctional Facility does not require an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse.

King County Correctional Facility ensures that an inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint, and such grievance is not referred to a staff member who is the subject of the complaint.

King County Correctional Facility issues a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance.

Third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, are permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse, and are also permitted to file such requests on behalf of inmates.

King County Correctional Facility has established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse.

After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, King County Correctional Facility immediately forwards the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action is taken, and provides an initial response within 48 hours, and issues a final agency decision within 5 calendar days. The initial response and final agency decision documents King County Correctional Facility's determination whether the inmate is in substantial risk of imminent sexual abuse and the action taken in response to the emergency grievance.

King County Correctional Facility may discipline an inmate for filing a grievance related to alleged sexual abuse only where King County Correctional Facility demonstrates that the inmate filed the grievance in bad faith.

Standard number here

115.53 Inmate access to outside confidential support services

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B 3 a. 10. Based on interview with random inmates and review of Harborview Center for Sexual Assault & Traumatic Stress; MOU between King County & King County Sexual Assault Resource Center. Ombudsman phone call is not recorded.

The facility provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations. The facility enables reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible.

The facility informs inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws.

King County Correctional Facility maintains a memoranda of understanding with King County Sexual Assault Resource.

Standard number here 115.54 Third party reporting
--

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Reviewed 3rd party reporting through KSARC, Ombudsman and Brochure

King County Correctional Facility has a method to receive third-party reports of sexual abuse and sexual harassment and distributes publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate.

Standard number here 115.61 Staff and agency reporting duties
--

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. (9 & 10) & J.B.04 Federal Sexual Assault Reporting Regulations; Based on interviews with random staff; Major and medical/mental health staff. Seattle Police Department conduct investigations. There are no Youthful Offenders housed at this facility.

King County Correctional Facility requires all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of King County Correctional Facility; retaliation against inmates or staff who reported such an incident; and any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.

Apart from reporting to designated supervisors or officials, staff do not reveal any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions.

The facility reports all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators.

Standard number here 115.62 Agency protection duties

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. 7 Based on interviews with random staff, and Major

When King County Correctional Facility learns that an inmate is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the inmate.

Standard number here 115.63 Reporting to other confinement facilities
--

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B 3 (b) (7) (a&b) Based on interview with Director and Major.

Upon receiving an allegation that an inmate was sexually abused while confined at another facility, the head of the facility that received the allegation notifies the head of the facility or appropriate office of King County Correctional Facility where the alleged abuse occurred.

Such notification is provided as soon as possible, but no later than 72 hours after receiving the allegation.

King County Correctional Facility documents that it has provided such notification.

The facility head or agency office that receives such notification ensures the allegation is investigated in accordance with these standards.

Standard number here 115.64 Staff first responder duties

Exceeds Standard (substantially exceeds requirement of standard)

X.Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B. 3. (a); J.B.05 3 (e); J.B. 04 Federal Sexual Assault Reporting. Based on interview with security staff who are first responders, random staff and inmates who reported sexual abuse. Reviewed PREA Response Containment Checklist, implemented 1/2014 has not been needed since implementation.

Upon learning of an allegation that an inmate was sexually abused, the first security staff member to respond to the report are: separate the alleged victim and abuser; preserve and protect any crime scene until appropriate steps can be taken to collect any evidence; if the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating; and if the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.

If the first staff responder is not a security staff member, the responder are be required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff.

Standard number here 115.65 Coordinated response

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

MOU's with Seattle Police Department and Public Health-Seattle & King County. Based on interview with Major.

The facility has a written institutional plan to coordinate actions taken in response to an incident of sexual abuse, among staff first responders, medical and mental health practitioners, investigators, and facility leadership. Currently there is no written institutional plan.

During the Corrective Action plan King County developed a PREA Coordinated Response Plan effective 06.12.14.

Standard number here	115.66 Preservation of ability to protect inmates from contact with abusers
-----------------------------	---

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

3.01.035 A. 11. Based on interview with director and review of Code of Conduct. There are 9 guilds that KCCF has to negotiate with.

Neither King County Correctional Facility nor any other governmental entity responsible for collective bargaining on King County Correctional Facility's behalf entered into or renewed any collective bargaining agreement or other agreement that limits King County Correctional Facility's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted.

Standard number here	115.67 Agency protection against retaliation
-----------------------------	--

- Exceeds Standard (substantially exceeds requirement of standard)
- X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A 11 & 14 & 15. Based on interview with director, Major, HRSDM and PREA Coordinator. Reviewed DAJD PREA Retaliation Monitoring Plan. HR director is tasked with

monitoring staff retaliation and the PREA Coordinator is tasked with monitoring inmate retaliation.

King County Correctional Facility has a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff, and are designate which staff members or departments are charged with monitoring retaliation.

King County Correctional Facility has multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations.

For at least 90 days following a report of sexual abuse, King County Correctional Facility monitors the conduct and treatment of inmates or staff who reported the sexual abuse and of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff, and are act promptly to remedy any such retaliation. Items King County Correctional Facility monitors include any inmate disciplinary reports, housing, or program changes, or negative performance reviews or reassignments of staff. King County Correctional Facility continues such monitoring beyond 90 days if the initial monitoring indicates a continuing need.

In the case of inmates, such monitoring includes periodic status checks. Currently the inmate is seen within 72 days. PREA coordinator was advised this was too long she needed to make contact with the inmate within a week of the incident and check on the inmates' status, inform the inmate she will follow up with him in a week and if he needed her further to contact her. Than start a monthly follow up until such time as she and the inmate felt there was no longer a threat.

During the Corrective Action period On June 12th, 2014 a meeting was held to discuss how retaliation relative to PREA allegations will be monitored to adhere to standard. At the meeting it was agreed that the King County Correctional Facility and Regional Justice Center retaliation monitoring responsibility would change from the PREA Coordinator and Human Resources Delivery Manager to the Investigations Unit. This retaliation monitoring is now documented on the PREA Log where other information about each PREA case is documented. In addition to this change, the Standard Operating Procedure (SOP) 6.04.001 PREA has now been updated to reflect the decision change made on 6/12/14.

If any other individual who cooperates with an investigation expresses a fear of retaliation, King County Correctional Facility are take appropriate measures to protect that individual against retaliation.

Standard number here 115.68 Post allegation protective custody

Exceeds Standard (substantially exceeds requirement of standard)

Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Based on interview with Major and inmates in segregation for risk of sexual victimization.

Any use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse is subject to the requirements of 115.43. During the audit it was discovered it is the practice to place transgender and intersex inmates automatically in segregation. You have a very good policy in place however there is no evidence it is in practice. Transgender and intersex inmates need to be given the option of going to general population. They cannot be segregated just because of their sexual orientation. You need to train all management staff on this policy and ensure that every available housing option is considered.

During the Corrective Action period on June 9th, 2014 DAJD managers and leadership discussed the need to follow their policy and allow LGBTI inmates the opportunity to be housed in GP. It is their intent to proceed with this as an option for their LGBTI inmates and not place them in segregated housing based solely on their LGBTI status. Also, the DAJD Training Sergeant was tasked with researching how other correctional facilities manage their protective custody population to provide DAJD with additional ideas and information on how best to manage this population.

Standard number here

115.71 Criminal and administrative agency investigation

- Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 ; 1.05.001 pg. 2 # 4-7 Based on interview with investigative staff and review of SOP Special Assault Unit; NIC training for investigators in Correctional Settings; IIU Manual Draft dated 4/30/14 Chapter 3 pg. 25; Letter dated 2-16-14 attempt to conduct interview of victim at other facility DOC 788958. SIU primarily does administrative investigations and Seattle Police Department conduct criminal investigations.

When King County Correctional Facility conducts its own investigations into allegations of sexual abuse and sexual harassment, it does so promptly, thoroughly, and objectively for all allegations, including third-party and anonymous reports.

Where sexual abuse is alleged, King County Correctional Facility uses investigators who have received special training in sexual abuse investigations pursuant to 115.34.

Investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data; interviews alleged victims, suspected perpetrators, and witnesses; and reviews prior complaints and reports of sexual abuse involving the suspected perpetrator.

When the quality of evidence appears to support criminal prosecution, King County Correctional Facility conducts compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution.

The credibility of an alleged victim, suspect, or witness is assessed on an individual basis and is not determined by the person's status as inmate or staff. No agency requires an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation of such an allegation.

Administrative investigations include efforts to determine whether staff actions or failures to act contributed to the abuse; and are documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings.

Criminal investigations are documented in a written report that contains a thorough description of physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible.

Substantiated allegations of conduct that appears to be criminal are referred for prosecution.

King County Correctional Facility retains all written reports for as long as the alleged abuser is incarcerated or employed by King County Correctional Facility, plus five years.

The departure of the alleged abuser or victim from the employment or control of the facility or agency are not provide a basis for terminating an investigation.

Standard number here 115.72 Evidentiary standard for administrative investigation
--

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Based on interview with investigative staff and review of IIU Manual draft dated 4/30/14 Chapter 3 pg. 25

King County Correctional Facility imposes no standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated.

Standard number here 115.73 Reporting to inmates

Exceeds Standard (substantially exceeds requirement of standard)

X Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

1.05.001 2. B. (2- 6); 6.04.001 A. 16; Based on interview with Major; investigative staff; inmate who reported sexual assault; reviewed investigative files.

Following an investigation into an inmate’s allegation that he or she suffered sexual abuse in an agency facility, King County Correctional Facility informs the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded.

If King County Correctional Facility did not conduct the investigation, it are request the relevant information from the investigative agency in order to inform the inmate.

Following an inmate’s allegation that a staff member has committed sexual abuse against the inmate, King County Correctional Facility are subsequently inform the inmate (unless King County Correctional Facility has determined that the allegation is unfounded) whenever the staff member is no longer posted within the inmate’s unit; the staff member is no longer employed at the facility; King County Correctional Facility learns that the staff member has been indicted on a charge related to sexual abuse within the facility; or King County Correctional Facility learns that the staff member has been convicted on a charge related to sexual abuse within the facility.

Following an inmate’s allegation that he or she has been sexually abused by another inmate, King County Correctional Facility subsequently informs the alleged victim whenever King County Correctional Facility learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or King County Correctional Facility learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.

All such notifications or attempted notifications are documented. During the course of the audit it was discovered that there was no documented proof of this notification being done. The auditor suggested creating a form that would capture all the required elements of the standard. During the Corrective Action period a new form titled SIU PREA Closure Letter Template was created.

An agency’s obligation to report under this standard are terminate if the inmate is released from King County Correctional Facility’s custody.

Standard number here	115.76 Disciplinary sanctions for staff
-----------------------------	---

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. 13 and review of Inmate Handbook

Staff are subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.

Termination is the presumptive disciplinary sanction for staff who have engaged in sexual abuse.

Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.

All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies.

Standard number here

115.77 Corrective action for contractors and volunteers

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

Based on interview with Major and review of PREA Security Orientation for Contractors

Any contractor or volunteer who engages in sexual abuse are be prohibited from contact with inmates and are be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.

The facility takes appropriate remedial measures, and are consider whether to prohibit further contact with inmates, in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.

Standard number here

115.78 Disciplinary sanctions for inmates

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.02.002 I. 1. (h) 6.02.002 pg. 10 Rule #208. Based on interview with medical/mental health staff and review of Inmate Handbook

Inmates are subject to disciplinary sanctions pursuant to a formal disciplinary process following an administrative finding that the inmate engaged in inmate-on-inmate sexual abuse or following a criminal finding of guilt for inmate-on-inmate sexual abuse.

Sanctions are commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories.

The disciplinary process considers whether an inmate's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed.

King County Correctional Facility disciplines an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact.

A report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred does not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation.

King County Correctional Facility prohibits all sexual activity between inmates and may discipline inmates for such activity.

Standard number here

115.81 Medical and Mental health screening; history of sexual abuse

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

J.B.05 ; Based on interview with staff responsible for risk screening and medical/mental health staff and review of electronic medical records; J-B-05 Procedure in the Event of Sexual Assault 12/31/13 & J.B.05 Report of History of Sexual Assault/Sexual Abuse or Harassment 8/30/13; J.B.05 Safety 8/30/13 3 (d)

If the screening indicates that an inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening.

If the screening indicates that an inmate has previously perpetrated sexual abuse/prior sexual victimization, whether it occurred in an institutional setting or in the community, staff are ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening.

Any information related to sexual victimization or abusiveness that occurred in an institutional setting is strictly limited to medical and mental health practitioners and other staff, as necessary, to inform treatment plans and security and management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law.

Medical and mental health practitioners are obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting.

Standard number here 115.82 Access to emergency medical and mental health services

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 B. 3.6.; pg. 3 e (i) (ii) (iii) J. B.05 Based on interview with medical and mental health staff and inmates who reported sexual abuse. Review of electronic medical record and MOU with Harborview medical by State law the sexual assault exam must be billed to and paid by Washington State Crime Victims Compensation fund.

Inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment.

If no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders take preliminary steps to protect the victim and are immediately notify the appropriate medical and mental health practitioners.

Inmate victims of sexual abuse while incarcerated are offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate.

Treatment service is provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

Standard 115.83 ongoing medical and mental health care for sexual abuse victims
--

number here

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

J. B. 05 (3) (e) Report of History of Sexual Assault/Sexual Abuse or Harassment; JHS SLP Report of History of Sexual Assault/Sexual Abuse. Based on interview with medical/mental health staff and inmates who reported sexual assault.

The facility offers medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.

The evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody.

The facility provides such victims with medical and mental health services consistent with the community level of care.

Inmate victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.

Treatment services are provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.

Standard
number here

115.86 Sexual abuse incident reviews

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

4.03.010 A.3 Sexual Abuse Incident Review Committee. Based on interview with Major, PREA 6-04.001 A.17 compliance manager; incident review team; Sexual Abuse Incident Review

The facility conducts a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded. This review ordinarily occurs within

30 days of the conclusion of the investigation. The review team includes upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners.

The review team considers whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse; whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; and they examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; assess the adequacy of staffing levels in that area during different shifts; assess whether monitoring technology should be deployed or augmented to supplement supervision by staff.

Standard number here 115.87 Data collection
--

- Exceeds Standard (substantially exceeds requirement of standard)
- X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)
- Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. 18; 2013/2014 PREA spreadsheet

King County Correctional Facility collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.

King County Correctional Facility aggregate the incident-based sexual abuse data at least annually.

The incident-based data collected include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice.

King County Correctional Facility maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.

Upon request, King County Correctional Facility provides all such data from the previous calendar year to the Department of Justice no later than June 30.

Standard number here 115.88 Data review for corrective action
--

- Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. 18. Based on interview with PREA coordinator and review of annual report and 2013/2014 PREA spreadsheet; review of website.

King County Correctional Facility reviews data collected and aggregated in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: identifying problem areas; taking corrective action on an ongoing basis; and preparing an annual report of its findings and corrective actions for each facility, as well as King County Correctional Facility as a whole.

Such report includes a comparison of the current year's data and corrective actions with those from prior years and provides an assessment of King County Correctional Facility's progress in addressing sexual abuse.

King County Correctional Facility's report is approved by King County Correctional Facility head and made readily available to the public through its website kingcounty.gov/courts/detention/PREA.asp

King County Correctional Facility redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility, but must indicate the nature of the material redacted.

Standard number here

115.89 Data storage, publication and destruction

Exceeds Standard (substantially exceeds requirement of standard)

X. Meets Standard (substantial compliance; complies in all material ways with the standard for the relevant review period)

Does Not Meet Standard (requires corrective action)

Auditor comments, including corrective actions needed if does not meet standard

6.04.001 A. 18. Review of website. Review of memo dated 12-18-12 Re: IIU Investigation File Retention

King County Correctional Facility ensures that data collected pursuant to § 115.87 is securely retained.

King County Correctional Facility makes all aggregated sexual abuse data, from facilities under its direct control, readily available to the public at least annually through its website kingcounty.gov/courts/detention/PREA.asp.

Before making aggregated sexual abuse data publicly available, King County Correctional Facility removes all personal identifiers.

King County Correctional Facility maintains sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection unless Federal, State, or local law requires.

Katherine Brown

Auditor Signature

October 12, 2014

Date